Agenda Item #11

STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commission Members

From: Vincent W. Dinan, Staff Auditor

Date: November 30, 2007

Subject: *Summary Report – 2006 MCEA Candidate Audits*

Cc: Jonathan Wayne, Executive Director

In 2006, the Commission staff initiated a program to audit, on a selective basis, the campaign receipts and expenditures of gubernatorial and legislative candidates who had qualified for Maine Clean Election Act (public) funding. The results of those efforts are summarized in the attached document.

Our report addresses the examination process, the method used to select candidates for audit, and it also provides a comprehensive overview of findings arrayed by candidate and by office. We have made recommendations based on findings of violations, and have also identified campaign practices that we believe should be either changed or eliminated.

We believe that the information discussed in the report provides useful insights into both the accomplishments and the challenges of the Clean Election program. In addition, we have endeavored to provide suggestions for improved program administration to be implemented as we move into the 2008 election cycle.

Attachment

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MAINE COMMISSION ON GOVERNMENTAL
ETHICS AND ELECTION PRACTICES

Summary Report
2006 MCEA Candidate Audits

Audits of Gubernatorial and Legislative Candidates Funded Under the Provisions of the Maine Clean Election Act

Prepared by Vincent W. Dinan, Staff Auditor
Approved by Jonathan Wayne, Executive Director

DECEMBER 2007

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INTRODUCTION

In 2006, the State of Maine Commission on Governmental Ethics and Election Practices (Commission) began auditing campaign receipts and expenditures of candidates who received public funding authorized by the Maine Clean Election Act (MCEA). The audits concentrated on transactions recorded in campaign finance reports submitted by the candidates during their 2006 primary and general election campaigns.

AUDIT PROGRAM OBJECTIVES

The overarching objective of the Commission's 2006 audit program was to assess candidate compliance with the terms of MCEA and the Commission's rules. Other objectives included:

- Detection and reporting violations of the MCEA.
- Identification of campaign finance and reporting requirements which create barriers to compliance by MCEA candidates.
- Reporting on emerging policy matters relative to MCEA compliance and enforcement to assist the Commission in their rule-making deliberations.

Campaign audits were also intended to assist the Commission staff in identifying and addressing training requirements for MCEA participants, their treasurers, and other campaign staff.

PROGRAM DESIGN AND SAMPLE SELECTION

The Commission's audit program was based on the principles set forth in the *Government Auditing Standards* of the U.S. Government Accountability Office, as well as other generally accepted auditing standards, with the focus on the program objectives described above. Available Commission staff resources limited the number of audits that could be undertaken within the 2006 election cycle, so legislative campaigns were selected using a statistical random sampling technique. All gubernatorial campaigns were audited due to the large disbursements of public funds to the candidates.

The Commission auditor developed an audit sample of 20 percent of the candidates for seats in both the 2006 House of Representatives and the Senate races. The sample selection is delineated in the following exhibits:

- Total MCEA candidate populations by candidate name and electoral district – Exhibit I
- Candidates selected for audit – House of Representatives – Exhibit II
- Candidates selected for audit – Senate – Exhibit III

As the exhibits indicate, 48 House candidates and 13 Senate candidates were selected for audit. In addition, the Commission initiated three special purpose audits outside of the normal sample selection.

One candidate was audited because of lateness in returning unexpended Clean Election funds; another was audited because he had received MCEA funds as both a House candidate and a replacement Senate candidate; and a third was selected based on his level of matching funds expenditure.

Audit Results

The 2006 election cycle was the first since public funding became available to candidates where the Commission launched a formal, comprehensive, and highly structured audit effort. The results have been both encouraging and instructive for the Commission staff. Complete audit findings are catalogued in Exhibit IV, and are summarized in the following table:

Audit Findings	Gubernatorial Candidates (4)	House Candidates (49)	Senate Candidates (15)	Total (68)
No exceptions or deficiencies	**0**	**34**	**10**	**44**
Undocumented or Incomplete Documentation of Expenditures	**3**	**9**	**3**	**15**
Unreported Expenditures	**2**	**2**	**1**	**5**
Misreported Expenditures	**3**	**3**	**2**	**8**
Unallowable Expenditures	**1**	**1**	**0**	**2**
Commingling of Funds	**0**	**5**	**2**	**7**
Personal Use of MCEA Funds	**0**	**2**	**1**	**3**
Unexplained Excess Balance in Campaign Bank Account	**0**	**1**	**1**	**2**
Expenditures in Excess of Maximum Allowable	**1**	**2**	**1**	**4**
Overpayment of Returned MCEA Funds	**0**	**1**	**0**	**1**
Uncontrolled Expenditures	**1**	**0**	**0**	**1**
Questioned Fair Market Value Estimate of Campaign Equipment	**1**	**0**	**0**	**1**
Failure to Reimburse In-Kind Contribution	**0**	**0**	**1**	**1**
Contributions in Excess of Maximum Allowable	**0**	**0**	**1**	**1**
Conflict of Interest	**1**	**0**	**0**	**1**
Failure to Sell Campaign Equipment after Election	**0**	**1**	**0**	**1**
Referral to AG for Possible Criminal Prosecution	**0**	**1**	**0**	**1**

The complete distribution of audit findings associated with each candidate is provided in Exhibit IV.

It should be noted that, quite significantly, the majority of legislative candidates passed the audit with no exceptions. Although the audits of gubernatorial campaigns all disclosed some level of discrepancy, most of the errors identified involved non-critical data recording mistakes and related inaccuracies based on what the Commission staff believes was incomplete understanding of provisions of the statute and the Commission's rules.

In addition, the most widespread area of non-compliance the auditor encountered among both gubernatorial and legislative candidates was that of records maintenance and retention. Gubernatorial candidates in particular seemed to experience great difficulty in obtaining basic invoices for services rendered by media, i.e., television, radio, print, outlets. The absence of such documentation was the principal source of audit discrepancies among the candidates for governor. Few candidates – gubernatorial and legislative – had all of the required documentation on hand that was needed to support their campaign expenditures. In most cases, however (except as noted above), the campaigns were able to obtain the records necessary within a reasonable amount of time. Again, this type of discrepancy seems to be attributable to a lack of understanding of the statutory provisions and regulations concerning documentation of contributions and expenditures. The Commission staff believes that the issues described above can be overcome in the future with improved candidate and campaign worker education and training.

In contrast, the auditor did identify certain critical errors which must be remedied if the Commission is to maintain the integrity of the Clean Election program. In particular, the Commission staff is concerned with the small number of candidates who converted MCEA funds to personal use, and candidates who commingled MCEA funds with funds in their personal bank accounts.

The staff is also concerned about practices found in some campaigns that may not currently be prohibited by law, but do create opportunities for misuse of public funds. These issues include:

- Potential conflicts of interest that arise when a campaign official with responsibility for disbursing MCEA funds is also the recipient of such disbursements.
- Campaign consultants who invoice their services on a lump sum basis without providing the details of the costs being billed, or who do not disclose services or procurements subcontracted to other vendors.
- Candidates who interpret the statute and regulations over-broadly, and use MCEA funds to reward campaign workers with gift certificates, meals and parties. While this did not appear to be a huge problem, there were ample indications that some candidates made too liberal use of public funds to reward those individuals whose efforts made a positive impact on their campaigns.
- Purchase of campaign equipment – particularly computers – that must be sold after the election at "fair market value", and which is frequently sold to the candidates' families, campaign volunteers and friends at such a low "fair market value" price that it can only be construed as a thinly veiled reward to the purchaser for campaign services.

Most of the matters discussed above have been or are being addressed by the Commission in their rule-

making, in the development of proposals for legislative changes, or through better candidate education and training.

CONCLUSIONS

Based on the audit results described above, as well as the staff's determination of relative impact on candidate accountability for use of MCEA funds and the integrity of the public financing process, violations and candidate issues of non-compliance are ranked as follows:

Violation	Number of Audits	Rate of Occurrence	Ranked in Importance
Undocumented or Incomplete documentation of Expenditures	15	22%	2
Misreported Expenditures	8	2%	5
Commingling of Funds	7	10%	3
Unreported Expenditures	5	7%	4
Expenditures in Excess of Maximum Allowable	4	6%	8
Personal Use of Public Funds	3	4%	1
Unallowable Expenditures	2	3%	7
Unexplained Ending Balance in Campaign Bank Account	2	3%	6
All Others	8	12%	9

Notes: *Rate of Occurrence* is expressed as a percentage derived as *No. of Occurrences/Total No. of Audits. Ranked in Importance* is the staff's estimate of the finding's relative importance based on its general materiality within the context of the examinations made by the auditor.

The Commission staff believes that the majority of audit findings represents administrative errors, and were for the most part inadvertent. Moreover, the staff believes that these administrative mistakes did not negatively impact the proper utilization of Clean Election funding in a substantive way. This is not to underestimate the seriousness of those findings regarding the misuse of public funds, or other abuses of the state's ethics rules and the privileges and obligations attendant on receipt of MCEA funds.

The Commission staff further concludes that focused efforts by the staff and by candidates in the areas that follow will significantly improve candidate compliance in future election periods:

- Early, more frequent, and perhaps mandatory training for candidates and their treasurers regarding the provisions of the Maine Clean Election Act and the Commission's implementing rules.
- Aggressive oversight of campaign banking practices, including the deposit of all campaign funds, i.e., contributions, MCEA distributions, interest payments, vendor credits, into the campaign bank account, and disbursement of all campaign expenditures from the campaign bank account.
- Continuing emphasis on correct documentation of campaign expenditures in communications with campaign staff.
- More attention paid to qualifying period reporting, including purchases and utilization of money orders and documentation of seed money contributions and expenditures.

Exhibits

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Exhibit I. MCEA Candidate Population

2006 AUDIT SUMMARY

Candidate Population

Office	District	Last	First	Party	ID
Representative	1	Jackson	Troy	Democratic	1
Representative	2	Theriault	Charles	Democratic	2
Representative	3	Learnard	Robert	Democratic	3
Representative	4	Bernard	Charles	Democratic	4
Representative	4	Edgecomb	Peter	Republican	5
Representative	5	Fischer	Jeremy	Democratic	6
Representative	5	Smith	Zachary	Republican	7
Representative	6	Clark	Tyler	Republican	8
Representative	6	Lundeen	Jacqueline	Democratic	9
Representative	7	Churchill	John	Republican	10
Representative	8	Cleary	Richard	Democratic	11
Representative	8	Flewelling	Dale	Republican	12
Representative	9	Cameron	Jeanne	Democratic	13
Representative	10	Clark	Herbert	Democratic	14
Representative	11	McLeod	Everett	Republican	15
Representative	11	Turner	Beth	Democratic	16
Representative	12	Gifford	Jeffery	Republican	17
Representative	12	Kirkpatrick	David	Democratic	18
Representative	13	Duchesne	Robert	Democratic	19
Representative	13	Gavett	Andrew	Republican	20
Representative	14	Donovan	Riley	Republican	21
Representative	15	Dunbar	Scott	Republican	22
Representative	15	Norton	Jacqueline	Democratic	23
Representative	16	Blanchette	Patricia	Democratic	24
Representative	17	Faircloth	Sean	Democratic	25
Representative	17	Kasten	John	Republican	26
Representative	18	Dunn	Michael	Democratic	27
Representative	19	Cain	Emily	Democratic	28
Representative	19	Cowan	Lance	Republican	29
Representative	20	Hall	Darren	Republican	30
Representative	20	Pratt	Benjamin	Democratic	31
Representative	22	Greeley	Christian	Republican	32
Representative	22	Lamborn	Ben	Democratic	33
Representative	23	Richardson	David	Republican	34
Representative	23	Shepley	Donald	Democratic	35
Representative	24	Denis	Craig	Democratic	36
Representative	24	Thomas	Douglas	Republican	37
Representative	25	Dort	Richard	Democratic	38
Representative	26	Annis	James	Republican	39
Representative	26	Philbrick	Daniel	Democratic	40
Representative	27	Erdo	Julius	Democratic	41

Exhibit I. (continued) MCEA Candidate Population					
Office	District	Last	First	Party	ID
Representative	28	Cray	Dean	Republican	42
Representative	28	Hogate	Jeanne	Democratic	43
Representative	29	Clement	Arthur	Democratic	44
Representative	30	McFadden	Howard	Republican	45
Representative	31	Alexander	Judith	Republican	46
Representative	31	Perry	Anne	Democratic	47
Representative	32	Emery	Harold	Republican	48
Representative	32	Prescott	Harold	Democratic	49
Representative	33	Norton	Clifford	Democratic	50
Representative	34	Eaton	Robert	Democratic	51
Representative	35	Koffman	Theodore	Democratic	52
Representative	35	Partridge	David	Republican	53
Representative	36	Pingree	Hannah	Democratic	54
Representative	37	Bauer	F. Robert	Unenrolled	55
Representative	37	Schatz	James	Democratic	56
Representative	37	Sullivan	Kerry	Republican	57
Representative	38	Savage	Steven	Democratic	58
Representative	39	Higgins	David	Democratic	59
Representative	40	Leclerc	Brenda	Democratic	60
Representative	40	Rosen	Kimberley	Republican	61
Representative	41	Weddell	Lance	Democratic	62
Representative	42	Gilbert	Donna	Democratic	63
Representative	43	Ash	Walter	Democratic	64
Representative	43	Giles	Jayne	Republican	65
Representative	44	Misluk	Peter Patrick	Democratic	66
Representative	44	Walker	Robert	Republican	67
Representative	45	Harmon	R. Ryan	Republican	68
Representative	45	Piotti	John	Democratic	69
Representative	46	Bowen	Stephen	Republican	70
Representative	46	Miramant	David	Democratic	71
Representative	47	Mazurek	Edward	Democratic	72
Representative	48	Glover	Nancy	Democratic	73
Representative	48	Rector	Christopher	Republican	74
Representative	49	Cowan	Diane	Democratic	75
Representative	49	Richardson	Wesley	Republican	76
Representative	50	Pieh	Wendy	Democratic	77
Representative	51	Dickens	Ellen	Democratic	78
Representative	51	McKane	Jonathan	Republican	79
Representative	52	McKeen	William	Republican	80
Representative	52	Miller	Elizabeth	Democratic	81
Representative	53	Rines	Peter	Democratic	82
Representative	53	Sutter	William	Republican	83
Representative	54	Fletcher	Kenneth	Republican	84

Exhibit I. (continued) MCEA Candidate Population					
Office	District	Last	First	Party	ID
Representative	54	Fredette	Kevin	Democratic	85
Representative	55	Cotta	H. David	Republican	86
Representative	55	Thompson	Judd	Democratic	87
Representative	56	Silsby	Kimberly	Democratic	88
Representative	57	Crockett	Patsy Garside	Democratic	89
Representative	57	Ellis	Rachel	Republican	90
Representative	58	Browne	William	Republican	91
Representative	58	LeGendre	Alexis	Democratic	92
Representative	59	Hanley	Stephen	Democratic	93
Representative	60	Prescott	Kerri	Republican	94
Representative	60	Scease	Jane	Democratic	95
Representative	61	Bailey	William	Republican	96
Representative	61	MacDonald	W. Bruce	Democratic	97
Representative	62	Gethicker	Shawn	Republican	98
Representative	62	Watson	Thomas	Democratic	99
Representative	63	McKenna	Amy	Republican	100
Representative	63	Priest	Charles	Democratic	101
Representative	64	Garrison	Chester	Republican	102
Representative	64	Percy	Leila	Democratic	103
Representative	65	Grose	Carol	Democratic	104
Representative	65	Wasserott	Susan	Republican	105
Representative	66	Frans	David	Green Independent	106
Representative	66	Gerzofsky	Stanley	Democratic	107
Representative	66	Rider	Todd	Republican	108
Representative	67	Berry	Seth	Democratic	109
Representative	67	Hobart	Brian	Republican	110
Representative	68	Beaulieu	Michael	Republican	111
Representative	68	Desgrosseilliers	Sheila	Democratic	112
Representative	69	Potvin	Ronald	Republican	113
Representative	69	Simpson	Deborah	Democratic	114
Representative	70	Carrier	Guy	Republican	115
Representative	70	Samson	Mark	Democratic	116
Representative	71	Babine, Sr.	John	Republican	117
Representative	71	Makas	Elaine	Democratic	118
Representative	72	Hughes	David	Republican	119
Representative	72	Walcott	William	Democratic	120
Representative	73	Lachance	Laurier	Republican	121
Representative	73	Wagner	Richard	Democratic	122
Representative	74	Craven	Margaret	Democratic	123
Representative	74	Painter	John	Republican	124
Representative	76	Canavan	Marilyn	Democratic	125
Representative	77	Duperry	Christopher	Republican	126
Representative	77	Trinward	Pamela	Democratic	127

Exhibit I. (continued) MCEA Candidate Population					
Office	**Disrict**	**Last**	**First**	**Party**	**ID**
Representative	78	Conover	Jill	Democratic	128
Representative	78	Purnell II	Kevin	Republican	129
Representative	79	Sirois	David	Republican	130
Representative	79	Treat	Sharon	Democratic	131
Representative	80	Smith	Nancy	Democratic	132
Representative	81	Souther	Clark	Democratic	133
Representative	82	Flood	Patrick	Republican	134
Representative	82	Jenkins	Priscilla	Democratic	135
Representative	83	Jones	Deane	Democratic	136
Representative	84	Finch	Edward	Democratic	137
Representative	84	Reny	Joshua	Republican	138
Representative	85	Finley	Donna	Republican	139
Representative	85	Hatch	Pamela	Democratic	140
Representative	86	Curtis	Philip	Republican	141
Representative	86	Susi	Ted	Democratic	142
Representative	87	Pineau	Raymond	Democratic	143
Representative	87	Reid	William	Republican	144
Representative	88	Pinkham Sr.	Wright	Republican	145
Representative	88	Tessier	Paul	Democratic	146
Representative	89	Harvell	Lance	Republican	147
Representative	89	Mills	Janet	Democratic	148
Representative	90	Collins	Maxine	Democratic	149
Representative	90	Saviello	Thomas	Unenrolled	150
Representative	91	Carter	Timothy	Democratic	151
Representative	92	Patrick	John	Democratic	152
Representative	92	Smith	Paula	Republican	153
Representative	93	Arsenault	Reginald	Democratic	154
Representative	93	Hotham	Randy	Republican	155
Representative	94	Hanley	Bruce	Republican	156
Representative	94	Hayes	Terry	Democratic	157
Representative	95	Gedat	Roy	Democratic	158
Representative	96	Bryant-Deschenes	Joan	Republican	159
Representative	96	Sirois	Lawrence	Democratic	160
Representative	97	Muse	Roberta	Republican	161
Representative	97	Turner	Marlee	Democratic	162
Representative	98	Rollins	Sheila	Democratic	163
Representative	98	Sykes	Richard	Republican	164
Representative	99	Cressey	Philip	Republican	165
Representative	99	Smith	Katherine	Democratic	166
Representative	100	Bradley	James	Democratic	167
Representative	100	Hamper	James	Republican	168
Representative	101	Bossie	Thomas	Democratic	169
Representative	102	Moore	Gary	Republican	170

Exhibit I. (continued) MCEA Candidate Population					
Office	District	Last	First	Party	ID
Representative	102	Shaw	Michael	Democratic	171
Representative	103	Bauer	Lu	Democratic	172
Representative	104	Berube	Robert	Republican	173
Representative	104	Grant	Prudence	Democratic	174
Representative	105	Rheault	Christopher	Democratic	175
Representative	105	Vaughan	Michael	Republican	176
Representative	106	Migliaccio	Marguerite	Republican	177
Representative	106	Webster	David	Democratic	178
Representative	107	Bicknell	Brian	Republican	179
Representative	107	Woodbury	Richard	Unenrolled	180
Representative	108	Damon	Donna	Democratic	181
Representative	109	Graham	Anne	Democratic	182
Representative	110	Bryant	Mark	Democratic	183
Representative	110	Haskell	Clayton	Republican	184
Representative	111	Heckman	Geoffrey	Democratic	185
Representative	111	Plummer	Gary	Republican	186
Representative	112	Savage	David	Republican	187
Representative	113	Brautigam	John	Democratic	188
Representative	114	Forbis	Sharon	Republican	189
Representative	114	Marley	Boyd	Democratic	190
Representative	115	Cummings	Glenn	Democratic	191
Representative	116	Gauger	Janette	Republican	192
Representative	116	Harlow	Charles	Democratic	193
Representative	117	Haskell	Anne	Democratic	194
Representative	118	Eder	John	Green Independent	195
Representative	118	Hinck	Jon	Democratic	196
Representative	119	Adams	Herbert	Democratic	197
Representative	119	Reading	Matthew	Green Independent	198
Representative	120	Ferland	Jeffrey	Republican	199
Representative	120	Meiklejohn	Benjamin	Green Independent	200
Representative	120	Rand	Anne	Democratic	201
Representative	121	Dill	Cynthia	Democratic	202
Representative	121	Duddy	Jennifer	Republican	203
Representative	122	Bliss	Lawrence	Democratic	204
Representative	122	Nixon	Paul	Republican	205
Representative	123	Crosby	Gary	Republican	206
Representative	123	Eberle	Jane	Democratic	207
Representative	124	Feeney	David	Republican	208
Representative	124	Kaenrath	Bryan	Democratic	209
Representative	125	Cramer	Lyle	Republican	210
Representative	125	Peoples	Ann	Democratic	211
Representative	126	Driscoll	Tim	Democratic	212
Representative	126	Munday	Tatia	Republican	213

Exhibit I. (continued) MCEA Candidate Population					
Office	**District**	**Last**	**First**	**Party**	**ID**
Representative	127	McDonough	John	Republican	214
Representative	128	Pendleton	Peggy	Democratic	215
Representative	128	Tallarico	Leo	Green Independent	216
Representative	129	Freeman	Harold	Republican	217
Representative	130	Farrington	David	Democratic	218
Representative	130	Harnden	Ryan	Republican	219
Representative	131	Marean	Donald	Republican	220
Representative	132	Hogan	George	Democratic	221
Representative	133	Pilon	Donald	Democratic	222
Representative	134	Cushing	John	Republican	223
Representative	134	Valentino	Linda	Democratic	224
Representative	135	Beaudoin	Paulette	Democratic	225
Representative	137	Casavant	Alan	Democratic	226
Representative	137	Seavey	H. Stedman	Republican	227
Representative	138	Campbell Sr.	James	Republican	228
Representative	138	Sloan	Lacey Marie	Democratic	229
Representative	139	Jacobsen	Lawrence	Republican	230
Representative	139	Wagner	Joseph	Democratic	231
Representative	140	Connor	Gary	Democratic	232
Representative	140	Perreault	Sylvia	Republican	233
Representative	141	Babbidge	Christopher	Democratic	234
Representative	142	Boland	Andrea	Democratic	235
Representative	143	Reagan	Debra	Republican	236
Representative	143	Tuttle	John	Democratic	237
Representative	144	Chamberlain	Donna	Democratic	238
Representative	144	Nass	Joan	Republican	239
Representative	145	Burns	Richard	Democratic	240
Representative	145	Stone	Oscar	Republican	241
Representative	146	Gould	Bonnie	Republican	242
Representative	146	Scharff	Adam	Democratic	243
Representative	147	Cilluffo	Anthony	Democratic	244
Representative	148	Beavers	Roberta	Democratic	245
Representative	149	Hill	Dawn	Democratic	246
Representative	150	Weaver	Windol	Republican	247
Representative	151	Wheeler	Walter	Democratic	248
Senate Candidates					
Senate	1	Bowman	Peter	Democratic	1
Senate	1	Lemont	Kenneth	Republican	2
Senate	2	Nass	Richard	Republican	3
Senate	2	Wright	Thomas	Democratic	4
Senate	3	Courtney	Jonathan	Republican	5
Senate	3	Hanslip	Joseph	Democratic	6
Senate	4	Staples	Amanda	Republican	7

Exhibit I. (continued) MCEA Candidate Population					
Office	District	Last	First	Party	ID
Senate	5	Hobbins	Barry	Democratic	8
Senate	5	Kewish	Charity	Republican	9
Senate	6	Bartlett II	Philip	Democratic	10
Senate	6	Willett	Jane	Republican	11
Senate	7	Bromley	Lynn	Democratic	12
Senate	7	Glynn	Kevin	Republican	13
Senate	7	Louis	Keith	Green Independent	14
Senate	8	Babin	David	Republican	15
Senate	8	Perchinski	Kelsey	Green Independent	16
Senate	8	Strimling	Ethan	Democratic	17
Senate	9	Brannigan	Joseph	Democratic	18
Senate	9	Fernald	David	Republican	19
Senate	10	Edmonds	Betheda	Democratic	20
Senate	11	Simpson	John	Democratic	21
Senate	11	Turner	Karl	Republican	22
Senate	12	Kelly	Lani	Republican	23
Senate	13	Hastings	David	Republican	24
Senate	13	Medd	Marjorie	Democratic	25
Senate	14	Bryant	Bruce	Democratic	26
Senate	14	Greaney	Leonard	Republican	27
Senate	15	Desgrosseilliers	Edward	Democratic	28
Senate	15	Snowe-Mello	Lois	Republican	29
Senate	16	Poulin	Larry	Republican	30
Senate	16	Rotundo	Margaret	Democratic	31
Senate	17	Greenwood	Randall	Republican	32
Senate	17	Nutting	John	Democratic	33
Senate	18	Gooley	Walter	Republican	34
Senate	18	Holden	Richard	Democratic	35
Senate	19	Benoit	Paula	Republican	36
Senate	19	Mayo III	Arthur	Democratic	37
Senate	20	King	Kathleen	Democratic	38
Senate	21	McCormick	Earle	Republican	39
Senate	21	Rines	Brian	Democratic	40
Senate	22	Barrows	Scott	Democratic	41
Senate	22	Savage	Christine	Republican	42
Senate	23	Chase	Gail	Democratic	43
Senate	23	Weston	Carol	Republican	44
Senate	24	Mitchell	Elizabeth	Democratic	45
Senate	24	Nutting	Robert	Republican	46
Senate	25	Davis	Thomas	Republican	47
Senate	25	Marrache	Lisa	Democratic	48
Senate	26	Hatch	Paul	Democratic	49
Senate	26	Mills	S. Peter	Republican	50

Exhibit I. (continued) MCEA Candidate Population					
Office		**Last**	**First**	**Party**	**ID**
Senate	27	Libby Jones	Sharon	Democratic	51
Senate	28	Fredette	Kenneth	Republican	52
Senate	29	Finlay	Thomas	Democratic	53
Senate	29	Kadey	Dana	Unenrolled	54
Senate	29	Raye	Kevin	Republican	55
Senate	30	Gibbs	Deborah	Unenrolled	56
Senate	30	Joyce	Patrick	Republican	57
Senate	30	Schneider	Elizabeth	Democratic	58
Senate	31	Rosen	Richard	Republican	59
Senate	31	Spellman	Ruth-Marie	Democratic	60
Senate	32	Perry	Joseph	Democratic	61
Senate	33	Poulin	Mary	Democratic	62
Senate	34	Beckwith	J. Chipman	Democratic	63
Senate	34	Sherman	Roger	Republican	64
Senate	35	Martin	Cathy	Republican	65
Senate	35	Martin	John	Democratic	66

Exhibit II. Audit Sample Selection - House

2006 AUDIT SUMMARY
Audit Sample Selection
House of Representatives Candidates

ID	NAME	SALU-TATION	PARTY
7	Smith, Zachary	Mr.	R
84	Thompson, Judd	Rep.	D
240	Scharff, Adam	Mr.	D
39	Cray, Dean	Mr.	R
198	Dill, Cynthia	Ms.	D
192	Haskell, Anne	Ms.	D
107	Simpson, Deborah	Ms.	D
223	Casavant, Alan	Mr.	D
183	Heckman, Geoffrey	Mr.	D
157	Bryant-Deschenes, Joan	Rep.	R
102	Gerzofsky, Stanley	Rep.	D
232	Boland, Andrea	Ms.	D
146	Collins, Maxine	Ms.	D
205	Feeney, David	Mr.	R
37	Philbrick, Daniel	Mr.	D
59	Gilbert, Donna	Ms.	D
168	Moore, Gary	Rep.	R
216	Marean, Donald	Rep.	R
38	Erdo, Julius	Mr.	D
100	Grose, Carol	Rep.	D
230	Perreault, Sylvia	Ms.	R
163	Cressey, Philip	Rep.	R
134	Reny, Joshua	Mr.	R
229	Connor, Gary	Mr.	D
44	Perry, Anne	Rep.	D
186	Brautigam, John	Rep.	D
29	Pratt, Benjamin	Mr.	D
203	Crosby, Gary	Mr.	R
103	Berry, Seth	Mr.	D
79	Rines, Peter	Rep.	D
83	Cotta, H. David	Mr.	R
189	Cummings, Glenn	Rep.	D
114	Lachance, Laurier	Mr.	R
241	Cilluffo, Anthony	Mr.	D
5	Edgecomb, Peter	Rep.	R
121	Conover, Jill	Ms.	D
153	Hotham, Randy	Rep.	R
219	Cushing, John	Mr.	R

ID	NAME	SALU-TATION	PARTY
125	Greenwood, Randall	Mr.	R
178	Woodbury, Richard	Rep.	U
10	Churchill, John	Rep.	R
17	Turner, Beth	Ms.	D
182	Haskell, Clayton	Mr.	R
76	McKane, Jonathan	Rep.	R
161	Rollins, Sheila	Ms.	D
188	Marley, Boyd	Rep.	D
50	Bauer, F. Robert	Mr.	U
136	Hatch, Pamela	Ms.	D

Research Randomizer Results:

1 Set of 48 Unique Numbers Per Set

Range: From 1 to 245 -- Unsorted

Set 1

Exhibit III. Audit Sample Selection - Senate

2006 AUDIT SUMMARY

Audit Sample Selection

Senate Candidates

ID	NAME	SALUTATION	PARTY
51	Fredette, Kenneth	Mr.	R
39	Rines, Brian	Mr.	D
10	Kewish, Charity	Ms.	R
61	Poulin, Mary	Ms.	D
55	Gibbs, Deborah	Ms.	U
60	Perry, Joseph	Sen.	D
23	Hastings, David	Sen.	R
11	Bartlett, Phillip	Sen.	D
4	Courtney, Jonathan	Sen.	R
36	King, Kathleen	Ms.	D
5	Hanslip, Joseph	Mr.	D
48	Hatch, Paul	Mr.	D
19	Edmonds, Betheda	Sen.	D
Research Randomizer Results:			
1 Set of 13 Unique Numbers Per Set			
Range: From 1 to 65 -- Unsorted			
Set 1			

Exhibit IV.

2006 PRIMARY AND GENERAL ELECTIONS

SUMMARY OF AUDIT EXAMINATIONS AND REPORTS

CANDIDATE NAME	OFFICE	REPORT NO.	No Audit Exceptions	Undocumented / Incomplete Doc.of Expenditures	Unreported Expenditures	Mis-reported Expenditures	Unallowable Expenditures	Commingling of Funds	Personal Use of Public Funds	Unexplained Excess Balance in Campaign Bank Account	Expenditures in Excess of Max. Allow.	Overpayment of Returned MCEA Funds	Uncontrolled Expenditures	Questioned Fair Mkt. Val.of Equip. Re-Sale	Failure to Reimburse/ In-Kind Contib.	Contribution in Excess of the Max.	Conflict of Interest	Failure to Sell Campaign Equip. after the Election	Referred to AG for Possible Criminal Prosecution
S. Peter Mills	Governor	2006-GV001		X		X	X												
Barbara Merrill	Governor	2006-GV002		X	X	X											X		
Chandler Woodcock	Governor	2006-GV003			X								X	X					
Patricia LaMarche	Governor	2006-GV004		X		X					X								
Senate candidates																			
Kenneth Fredette	Senate	2006-SEN001	X																
Brian Rines	Senate	2006-SEN002				X													
Mary Poulin	Senate	2006-SEN003	X																
Charity Kewish	Senate	2006-SEN004	X																
Deborah Gibbs	Senate	2006-SEN005	X																

Exhibit IV. (continued)

2006 PRIMARY AND GENERAL ELECTIONS

SUMMARY OF AUDIT EXAMINATIONS AND REPORTS

CANDIDATE NAME	OFFICE	REPORT NO.	No Audit Exceptions	Undocumented / Incomplete Doc.of Expenditures	Unreported Expenditures	Mis-reported Expenditures	Unallowable Expenditures	Commingling of Funds	Personal Use of Public Funds	Unexplained Excess Balance in Campaign Bank Account	Expenditures in Excess of Max. Allow.	Overpayment of Returned MCEA Funds	Uncontrolled Expenditures	Questioned Fair Mkt. Val.of Equip. Re-Sale	Failure to Reimburse/ In-Kind Contib.	Contribution in Excess of the Max.	Conflict of Interest	Failure to Sell Campaign Equip. after the Election	Referred to AG for Possible Criminal Prosecution
Joseph Perry	Senate	2006-SEN006		X				X	X										
David Hastings	Senate	2006-SEN007	X																
Phillip Bartlett	Senate	2006-SEN008	X																
Jonathan Courtney	Senate	2006-SEN009	X																
Kathleen King	Senate	2006-SEN010	X																
Joseph Hanslip	Senate	2006-SEN011									X				X	X			
Betheda Edmonds	Senate	2006-SEN012	X																
Paul Hatch	Senate	2006-SEN013		X	X	X		X		X									
Randall Greenwood	Senate	2006-SEN014	X																
Earle McCormick	Senate	2006-SEN015		X															

House Candidates																			
Zachary Smith	House of Rep.	2006-HR001	X																
Judd Thompson	House of Rep.	2006-HR002	X																
Dean Cray	House of Rep.	2006-HR003	X																
Cynthia Dill	House of Rep.	2006-HR004	X																
Adam Scharff	House of Rep.	2006-HR005	X																
Anne Haskell	House of Rep.	2006-HR006	X																
Geoffrey Heckman	House of Rep.	2006-HR007				X													
Alan Casavant	House of Rep.	2006-HR008	X																
Stanley Gerzofsky	House of Rep.	2006-HR009	X																
Joan Bryant-Deschenes	House of Rep.	2006-HR010						X											
Andrea Boland	House of Rep.	2006-HR011	X																
Daniel Philbrick	House of Rep.	2006-HR012	X																
Donna Gilbert	House of Rep.	2006-HR013	X																
Gary Moore	House of Rep.	2006-HR014	X																
Sylvia Perrault	House of Rep.	2006-HR015	X																
Donald Marean	House of Rep.	2006-HR016						X											
Julius Erdo	House of Rep.	2006-HR017	X																
Joshua Reny	House of Rep.	2006-HR018	X																
Phillip Cressey	House of Rep.	2006-HR019		X														X	
Anne Perry	House of Rep.	2006-HR020	X																
John Brautigam	House of Rep.	2006-HR021	X																

Exhibit IV. (continued)
2006 PRIMARY AND GENERAL ELECTIONS
SUMMARY OF AUDIT EXAMINATIONS AND REPORTS

CANDIDATE NAME	OFFICE	REPORT NO.	No Audit Exceptions	Undocumented / Incomplete Doc.of Expenditures	Unreported Expenditures	Mis-reported Expenditures	Unallowable Expenditures	Commingling of Funds	Personal Use of Public Funds	Unexplained Excess Balance in Campaign Bank Account	Expenditures in Excess of Max. Allow.	Overpayment of Returned MCEA Funds	Uncontrolled Expenditures	Questioned Fair Mkt. Val.of Equip. Re-Sale	Failure to Reimburse/ In-Kind Contib.	Contribution in Excess of the Max.	Conflict of Interest	Failure to Sell Campaign Equip. after the Election	Referred to AG for Possible Criminal Prosecution
Gary Connor	House of Rep.	2006-HR022	X																
Deborah Simpson	House of Rep.	2006-HR023	X																
Maxine Collins	House of Rep.	2006-HR024	X																
David Feeney	House of Rep.	2006-HR025						X	X										
Carol Grose	House of Rep.	2006-HR026	X																
Benjamin Pratt	House of Rep.	2006-HR027	X																
Gary Crosby	House of Rep.	2006-HR028	X																
Seth Berry	House of Rep.	2006-HR029	X																
Peter Rines	House of Rep.	2006-HR030	X																
H. David Cotta	House of Rep.	2006-HR031	X																
Glenn Cummings	House of Rep.	2006-HR032		X		X													

House Candidates																			
Laurier Lachance	House of Rep.	2006-HR033	X																
Anthony Ciluffo	House of Rep.	2006-HR034										X							
Peter Edgecomb	House of Rep.	2006-HR035	X																
Jill Conover	House of Rep.	2006-HR036	X																
Randy Hotham	House of Rep.	2006-HR037	X																
John Cushing	House of Rep.	2006-HR038			X						X								
Randall Greenwood	House of Rep.	2006-HR039	X																
Richard Woodbury	House of Rep.	2006-HR040	X																
John Churchill	House of Rep.	2006-HR041		X							X								
Beth Turner	House of Rep.	2006-HR042	X																
Clayton Haskell	House of Rep.	2006-HR043	X																
Jonathan McKane	House of Rep.	2006-HR044		X															
Sheila Rollins	House of Rep.	2006-HR045		X															
Boyd Marley	House of Rep.	2006-HR046		X															
F. Robert Bauer	House of Rep.	2006-HR047		X	X														
Pamela Hatch	House of Rep.	2006-HR048		X				X		X									
William Walcott	House of Rep.	2006-HR049		X		X	X	X	X										X

No Reporting or Accounting Deficiencies Summary

Office	# without/total # audited	% with no deficiencies
Gubernatorial Candidates	0/4	0%
Senate Candidates	10/15	67%
House Candidates	34/49	69%

SUMMARY REPORT
2006 MCEA CANDIDATE AUDITS

Commission on Governmental Ethics and Election Practices
Mailing: 135 State House Station, Augusta, Maine 04333
Location: 242 State Street, Augusta, Maine

Phone: 207-287-4179
Fax: 207-287-6775
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