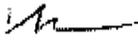


Agenda Item #6



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commission Members

From: Vincent W. Dinan, Staff Auditor 

Date: May 7, 2007

Subject: May, 2007 Candidate Audit Report Submittals

Materials submitted with the May, 2007 Commission packet include the six candidate audit reports listed below.

Candidate Name	District	Disposition
Sen. S. Peter Mills	2006 Gubernatorial Primary	See Commission Agenda
Rep. Philip A. Cressey	HD 99	See Commission Agenda
Gary J. Crosby	HD 123	No Exceptions
Rep. Benjamin M. Pratt	HD 20	No Exceptions
Laurier P. Lachance	HD 73	No Exceptions
Rep. Seth Berry	HD 67	No Exceptions

Audit Findings of "No Exceptions Noted" are submitted for information and file, and no additional action is required by the Commission.

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

March 29, 2007

Audit Report No. 2006-HR028

**Candidate: Gary J. Crosby
House District 123**

Background

Gary J. Crosby was a candidate for the Maine House of Representatives, District 123, in the 2006 general election. Mr. Crosby was certified by the Commission as an MCEA candidate on April 11, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate expenditures occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting Mr. Crosby's campaign finance transactions in the following percentages:

- Seed Money: expenditures – 100 percent.
- Six Day Pre-Primary: expenditures - 66 percent.
- 42 Day Post-Primary: expenditures – no expenditures reported.
- Six Day Pre-General: expenditures – 67 percent.
- 42 Day Post-General: expenditures – 88 percent.

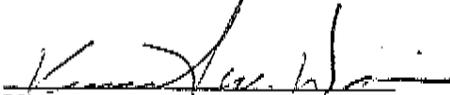
OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

Campaign Audit
Candidate: Gary J. Crosby
Page 2

Audit Findings and Recommendations

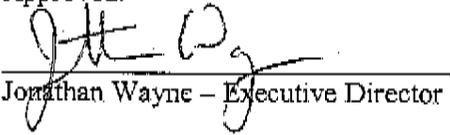
No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.



Vincent W. Dinan - Staff Auditor

Approved:



Jonathan Wayne - Executive Director



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

March 29, 2007

Audit Report No. 2006-HR027

**Candidate: Representative Benjamin M. Pratt
House District 20**

Background

Representative Benjamin M. Pratt ran successfully for a seat in the Maine House of Representatives, District 20, in the 2006 general election. Rep. Pratt was certified by the Commission as an MCEA candidate on April 21, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting Rep. Pratt's campaign finance transactions in the following percentages:

- Seed Money: contributions and expenditures – 40 percent (contributions) and 30 percent (expenditures).
- Six Day Pre-Primary: no material expenditures.

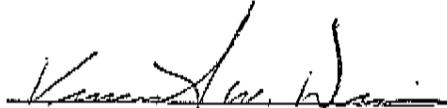
OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

- 42 Day Post-Primary: expenditures – 88 percent.
- Six Day Pre-General: expenditures – 96 percent.
- 42 Day Post-General: expenditures – 99 percent.

Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.



Vincent W. Dinan - Staff Auditor

Approved:



Jonathan Wayne - Executive Director



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

April 9, 2007

Audit Report No. 2006-HR033

**Candidate: Laurier P. Lachance
House District 73**

Background

Laurier P. Lachance was a candidate for the Maine House of Representatives, District 73, in the 2006 general election. Mr. Lachance was certified by the Commission as an MCEA candidate on March 16, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

The auditors examined documentation supporting Mr. Lachance's campaign finance transactions in the following percentages:

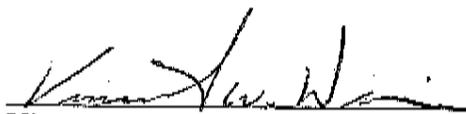
Campaign Audit
Candidate: Laurier P. Lachance
Page 2

- Seed Money: contributions and expenditures – No material receipts or expenditures.
- Six Day Pre-Primary: expenditures – None reported.
- 42 Day Post-Primary: expenditures – 98 percent.
- Six Day Pre-General: expenditures – 76 percent.
- 42 Day Post-General: expenditures – 92 percent.

Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.



Vincent W. Dinan - Staff Auditor

Approved:



Jonathan Wayne – Executive Director



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

April 25, 2007

Audit Report No. 2006-HR029

**Candidate: Representative Seth Berry
House District 67**

Background

Representative Seth Berry ran successfully for a seat in the Maine House of Representatives, District 67, in the 2006 general election. Rep. Berry was certified by the Commission as an MCEA candidate on April 13, 2006. MCEA candidates are required under the Act to submit reports of their receipts, expenditures, outstanding campaign debt, and equipment purchases and dispositions for specified periods during the election cycle.

Audit Scope

Examination of selected candidate contribution and expenditure transactions occurring during the following campaign reporting periods:

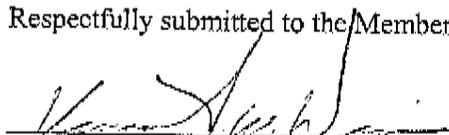
- Seed Money
- Six Day Pre-Primary
- 42 Day Post-Primary
- Six Day Pre-General
- 42 Day Post-General

Transactions subject to review were those recorded in the candidate's accounting records and reported to the Commission. The audit's purpose was to determine if the identified receipts and payments (1) were properly approved by the candidate or his authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable disbursement documentation; and (3) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

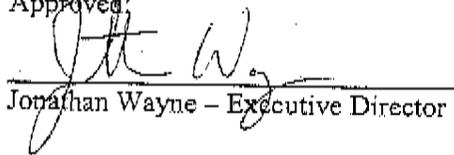
Audit Findings and Recommendations

No exceptions were noted.

Respectfully submitted to the Members of the Commission for information and file.


Vincent W. Dinan - Staff Auditor

Approved:


Jonathan Wayne - Executive Director

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS