

Exhibit #15

Title 21-A, §1020-A, Failure to file on time

8. Failure to file report. The commission shall notify a candidate who has failed to file a report required by this subchapter, in writing, informing the candidate of the requirement to file a report. If a candidate fails to file a report after 3 written communications from the commission, the commission shall send up to 2 more written communications by certified mail informing the candidate of the requirement to file and that the matter may be referred to the Attorney General for criminal prosecution. A candidate who fails to file a report as required by this subchapter after the commission has sent the communications required by this subsection is guilty of a Class E crime.

[2003, c. 628, Pt. A, §5 (rpr).]

8-A. Penalties for failure to file report. The penalty for failure to file a report required under this subchapter may not exceed the maximum penalties as provided in subsection 5-A.

[2003, c. 628, Pt. A, §6 (new).]

9. List of late-filing candidates. The commission shall prepare a list of the names of candidates who are late in filing a report required under section 1017, subsection 2, paragraph C or D or section 1017, subsection 3-A, paragraph B or C within 30 days of the date of the election and shall make that list available for public inspection.

[1995, c. 483, §15 (new).]

10. Enforcement. The commission staff has the responsibility for collecting the full amount of any penalty and has all necessary powers to carry out this responsibility. Failure to pay the full amount of any penalty levied under this subchapter is a civil violation by the candidate, treasurer, political party or other person whose campaign finance activities are required by this subchapter to be reported. Thirty days after issuing the notice of penalty, the commission shall report to the Attorney General the name of any person who has failed to pay the full amount of any penalty. The Attorney General shall enforce the violation in a civil action to collect the full outstanding amount of the penalty. This action must be brought in the Superior Court for Kennebec County or the District Court, 7th District, Division of Southern Kennebec.

[1999, c. 426, §33 (amd).]

MRSA , §T.21A SEC.1020A/4,5 (AMD) .

IB 1995, Ch. 1, §15 (AMD) .

PL 1995, Ch. 483, §15 (NEW) .

PL 1995, Ch. 625, §B5 (AMD) .

RR 1995, Ch. 1, §10 (COR) .

RR 1995, Ch. 2, §38 (COR) .

PL 1999, Ch. 426, §32,33 (AMD) .

PL 1999, Ch. 729, §5 (AMD) .

PL 2001, Ch. 470, §11 (AFF) .

PL 2001, Ch. 470, §7,8 (AMD) .

PL 2001, Ch. 714, §P1 (AMD) .

PL 2001, Ch. 714, §P2 (AFF) .

PL 2003, Ch. 302, §4 (AMD) .

PL 2003, Ch. 448, §4 (AMD) .

PL 2003, Ch. 628, §A3-6 (AMD) .

RR 2003, Ch. 1, §14 (COR) .



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

FINAL NOTICE

December 15, 2006

Mr. David Hughes
34 Flowe Street
Lewiston, ME 04240

BY CERTIFIED MAIL

Dear Mr. Hughes:

The Ethics Commission notified you on November 13, 2006 of the preliminary determination of a penalty in the amount of \$130.86 for the late filing of your 6-Day Pre-General campaign finance report. Our records indicate that you have not paid the penalty or requested a waiver by the Commission.

Please pay the penalty in full within 30 days of the date of this letter. If the Commission does not receive payment, the Election Law requires the Commission to refer the penalty to the Attorney General's Office for collection.

If you would like a waiver of the penalty, you may request a waiver by faxing a written request no later than January 2, 2007. The Commission will decide on the waiver request at its February meeting. The request can be in the form of a brief letter stating the reasons why the report was late and why a waiver should be granted. The letter may be mailed to the Commission or faxed to 287-6775.

If you have any questions regarding this matter, please telephone me at 287-7651.
Thank you.

Sincerely,

Sandy Thompson
Candidate Registrar

cc. Treasurer (by regular mail)
Enc.: Original penalty notice

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<p>Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits.</p> <p>Article Addressed to: <i>Mr. David Hughes</i> <i>84 Howe Street</i> <i>LeWiston, ME 04240</i></p>	<p>A. Signature <i>x [Signature]</i> <input type="checkbox"/> Agent <input type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) _____ C. Date of Delivery <i>12/23/06</i></p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes If YES, enter delivery address below: <input type="checkbox"/> No</p>
<p>Article Number <i>ST</i> 7005 3110 0000 2803 6402 (Transfer from service label)</p>	<p>3. Service Type <input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Express Mail <input type="checkbox"/> Registered <input type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Insured Mail <input type="checkbox"/> C.O.D.</p> <p>4. Restricted Delivery? (Extra Fee) <input type="checkbox"/> Yes</p>
<p>S Form 3811, August 2001 Domestic Return Receipt 102595-02-M-1540</p>	

U.S. Postal Service™ CERTIFIED MAIL™ RECEIPT (Domestic Mail Only; No Insurance Coverage Provided)											
For delivery information visit our website at www.usps.com											
OFFICIAL USE											
<table border="1" style="width: 100%;"> <tr><td style="width: 60%;">Postage</td><td style="width: 40%;">\$</td></tr> <tr><td>Certified Fee</td><td></td></tr> <tr><td>Return Receipt Fee (Endorsement Required)</td><td></td></tr> <tr><td>Restricted Delivery Fee (Endorsement Required)</td><td></td></tr> <tr><td>Total Postage & Fees</td><td>\$</td></tr> </table>	Postage	\$	Certified Fee		Return Receipt Fee (Endorsement Required)		Restricted Delivery Fee (Endorsement Required)		Total Postage & Fees	\$	Postmark Here
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<table border="1" style="width: 100%;"> <tr><td colspan="2">Sent To <i>David Hughes</i></td></tr> <tr><td colspan="2">Street, Apt. No. or PO Box No.</td></tr> <tr><td colspan="2">City, State, ZIP+4</td></tr> </table>		Sent To <i>David Hughes</i>		Street, Apt. No. or PO Box No.		City, State, ZIP+4					
Sent To <i>David Hughes</i>											
Street, Apt. No. or PO Box No.											
City, State, ZIP+4											
PS Form 3800, June 2002 See Reverse for Instructions											

7005 3110 0000 2803 6402



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

November 13, 2006

Mr. David Hughes
34 Howe Street
Lewiston, ME 04240

BY CERTIFIED MAIL.

Dear Mr. Hughes:

RE: Late 6-Day Pre-General Campaign Finance Report Due 11/1/2006 by 5:00 p.m.

You filed a 6-Day Pre-General campaign finance report on 11/2/2006. A penalty must be assessed for late reports based on the amount of financial activity conducted during the filing period, the number of calendar days a report is filed late, and the candidate's filing record. Based on the prescribed statutory formula, the preliminary determination of the penalty for the late filing of your report is \$130.86. Please refer to the enclosed penalty matrix for more details on how the penalty is computed. If you agree with this preliminary determination of the amount of the penalty, you may use the enclosed billing statement to pay that penalty.

If you have a reason for filing late, you may request the Commission to make a final penalty determination. Any request for a Commission determination must be made within 10 calendar days of receipt of this notice, beginning on the day you sign its receipt. If this notice has been refused or left unclaimed at the post office, the 10-day period begins on the day the post office indicates it has given first notice of a certified letter. Upon receipt of your request for a Commission determination, we will schedule you to appear and will notify you of the date and time of the next Commission meeting. You or a person you designate may then appear personally before the Commission or you may send a written statement for the Commission's consideration. A statement must be notarized and contain a full explanation of the reason you filed late. Statements should be sent to the address shown on this letterhead. The Commission will notify you of the disposition of your case within 10 days after its determination.

NOTE: The Commission may waive penalties for late reports only in cases where tardiness is due to mitigating circumstances. The law defines "mitigating circumstances" as: 1) a valid emergency determined by the Commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; 2) an error by the Commission staff; 3) failure to receive notice of the filing deadline; or (4) other circumstances determined by the Commission that warrant mitigation of the penalty, based upon relevant evidence presented that a *bona fide* effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service.

The Maine Clean Election Act requires all revenues distributed to certified candidates from the fund to be used for campaign-related purposes. Commission guidelines regarding permissible campaign-related expenditures do not include the payment of a penalty as a permissible expenditure.

Sincerely,


Sandy Thompson
Candidate Registrar

cc. Treasurer

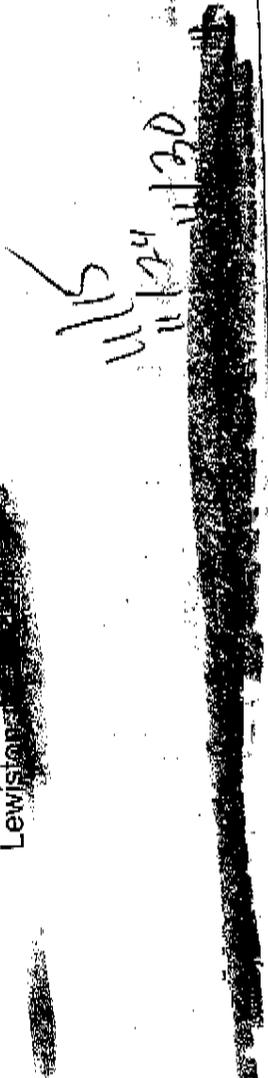
OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE 04333-0135

*Mailed Regular
Post 12/21/06*

UNCLAIMED

Mr. David Hughes
34 Howe Street
Lewiston



*11/15
11/24
11/30*

UNITED STATES POSTAGE
METRY BOWES
\$ 04.64⁰
02 1A
0004304087 NOV 13 2006
MAILED FROM ZIP CODE 04330



7002 2410 0000 9315

Provided)
ps.com
SE
mark
Here
for instructions



STATE OF MAINE
 COMMISSION ON GOVERNMENTAL ETHICS
 AND ELECTION PRACTICES
 135 STATE HOUSE STATION
 AUGUSTA, MAINE
 04333-0135

November 13, 2006

Mr. David Hughes
 34 Howe Street
 Lewiston, ME 04240

The Commission staff has made a preliminary determination, based upon application of the statutory formula, that a penalty of \$130.86 applies for the late filing of your 6-Day Pre-General campaign finance report. If you agree with this determination, please make your check or money order in that amount payable to "Treasurer, State of Maine," and send it, along with the bottom half of this letter, to the Commission on Governmental Ethics and Election Practices, 135 State House Station, Augusta, Maine 04333, within 30 days of the date noted above. Please see the instructions included in the attached letter if you would like the Commission to make a formal determination of any penalty to be assessed in this case.

Failure to pay the full amount of an assessed penalty is a civil violation. The Commission is required to report to the Attorney General the name of any person who fails to pay the full amount of any penalty. Please direct any questions you may have about this matter to Sandy Thompson 287-7651.

Cut Along Dotted Line

For Office Use Only:
 Account: CGEEP
 Fund: 014 Approp: 02

To Commission on Governmental Ethics and Election Practices
 135 State House Station
 Augusta, Maine 04333

From: Mr. David Hughes

RE: Penalty for late filing of 6-Day Pre-General Campaign Finance Report

Amount Enclosed: \$ _____

Check/M.O. No.: # _____

Please Make Check or Money Order Payable to Treasurer, State of Maine

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
 WEBSITE: WWW.MAINE.GOV/ETHICS

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

PENALTY MATRIX FOR LATE CANDIDATE REPORT FILINGS

BASIS FOR PENALTIES 21-A M.R.S.A. Section 1020-A(4)

The penalty for late filing of a required report is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days the report is filed late, as follows:

- For the first violation, 1%
- For the second violation, 3%
- For the third and each subsequent violation, 5%

A penalty begins to accrue at 5:00 p.m. on the day the report is due.

Example: The treasurer files the candidate's report two (2) days late. The candidate has not had any previous late violations this biennium. The candidate reports a total of \$2,500 in contributions and \$1,500 in expenditures for the filing period. The penalty is calculated as follows:

\$2,500	Greater amount of the total contributions received or expenditures made during the filing period
<u>X .01</u>	Percent prescribed for first violation
\$25.00	One percent of total contributions
<u>X 2</u>	Number of calendar days late
\$50.00	Total penalty

Example

Your penalty is calculated as follows:

Contributions/Expenditures: \$	<u>4,302</u>
Percent prescribed:	<u>X 3%</u>
{Pre primary } ^{1st} {report late}	\$ <u>130.86</u>
Number of days late:	<u>X 1</u>
Total penalty accrued:	\$ <u>130.86</u>

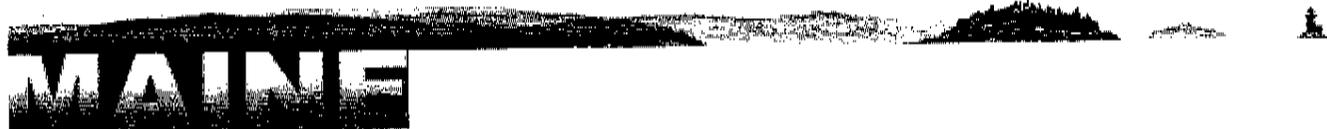
Any penalty of less than \$5 is waived.

Violations accumulate on reports with filing deadlines in a 2-year period that begins on January 1st of each even-numbered year. Waiver of a penalty does not nullify the finding of a violation.

A required report that is sent by certified or registered United States mail and postmarked at least 2 days before the deadline is not subject to penalty.

MAXIMUM PENALTIES 21-A M.R.S.A. Section 1020-A(5)

\$5,000 for reports required to be filed 42 days before an election (gubernatorial candidate only),
6 days before an election, 42 days after an election, and for 48-hour reports;
\$1,000 for semiannual reports.



Welcome to the Campaign Finance Electronic Filing System Site

[Menu](#) [Home](#) [Help](#) [Logout](#) [Change Password](#) [Admin Page](#)

2/2/2007

Home Page for David Hughes

CAMPAIGN YEAR 2006

REPORT TYPE	REPORTING PERIOD	DUE DATE	DATE FILED	STATUS	LAST MODIFIED	
Candidate Registration			7/28/2006	Filed	8/1/2006	Print
6-Day Pre-General	7/19/2006 to 10/26/2006	11/1/2006	11/2/2006 10:18:12 AM	Filed	11/2/2006 10:18:12 AM	Print
42-Day Post-General	10/27/2006 to 12/12/2006	12/19/2006	12/19/2006 4:10:12 PM	Filed	12/19/2006 4:10:12 PM	Print
24-Hr Report of Late Contributions and Expenditures		N/A	MULTIPLE			

Please click on the following buttons to Download schedule informations in excel format.

_report check: Sandy

11/2/2006

all called on 11/1

Office Sought	Last	First	Last Of Filed Date
Representative	Finch	Edward	10/27/2006 3:06:28 PM
Representative	Curtis	Philip	10/27/2006 2:12:59 PM
Senate	Mayo III	Arthur	10/27/2006 8:59:53 AM
Representative	McKeen	William	10/26/2006 12:34:15 PM
Sheriff	Corbett	David	10/25/2006 4:40:31 PM
County Commi	Chandler	Sallie	10/23/2006 9:51:40 PM
Representative	Hamper	James	10/17/2006 9:31:17 PM
County Commi	Furbish	Jeffrey	10/15/2006 8:50:33 PM
Senate	Ealey	Nelson	9/26/2006 8:29:54 PM
Representative	Mills	Janet	8/7/2006 1:39:57 PM
Representative	Scease	Jane	
Representative	Soule	Laura	
Representative	Painter	John	
Representative	Hughes	David	
Representative	Davis	Kimberly	
Representative	Fredette	Kevin	
Representative	Fisher	Mark	
County Commi	York	Gerald	
County Treasur	Parkman	David	
Representative	Craven	Margaret	
Representative	Gotta	H. David	
Representative	Bryant-Desche	Joan	
Representative	Gerzofsky	Stanley	

did file on 11/1
 7:15 2nd call
 check box in

ok 11/1/2006
 called filed 1 day early.

11/2 left, filed 1 day late
 721-8675
 (W) "no activity"
 (H) left message
 will file filed 1 day late
 will file filed meday late
 spouse on m @ 2:35 2 days
 (H) left message; will file 1/1
 will file; 1 day late
 (H) left message; filed on paper
 filed today 11/2
 "in progress" talked to treasurer yesterday
 "In progress" H - left message - filed on paper
 "stun couldn't get it up yesterday so no because put it in the mail - registered mail on 11/1 (day late)

for file by browser

- was having difficulty entering, let files off.
 < data: I emailed her copy of form.
 half done + then got kicked off ->

Copied document from message - do we need copies -?

spoty since due to change our form from alpha -> ?

Microsoft Access - [return of funds] Type a question for help

Candidate Info

Candidate ID#

Office Sought

District

Salutation

First Name

Middle Name

Last Name

Party

Registrar

Replacement

Enter Repayments

Date	Amount
	\$0.00
Total: <input type="text"/>	

Exclude from Mailings

Filter Options:

Show All

Authorized Balance \$310

Unauthorized Balance

Repayment Summary

	Returned	Remaining
Unauthorized Amount	\$0.00	\$0.00
Authorized Amount	\$0.00	\$140.73

Notes and Communications:

1/9/2007	Phone	Left message that funds needed to be returned. 740-0951
1/17/2007	Phone	Spoke to David, said he would return unspent funds. I also told him that his penalty was going to
1/17/2007	Phone	the 2/14 Comm mgt for referral. He needs to pay the penalty or come to mgt.
2/2/2007		

Record: of 317

Form View NUM