

Exhibit

#14



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Jonathan Wayne

From: Gavin O'Brien, Candidate Registrar

Date: January 22, 2006

Re: Late-filing of Bernard Ayotte's 6-day pre-general report

Bernard Ayotte was a privately financed candidate for State Representative. He filed his 6-day pre-general report on November 4, three days after the deadline. All of Mr. Ayotte's reports were filed on paper. I am inclined to recommend not accepting his waiver request for the following reasons:

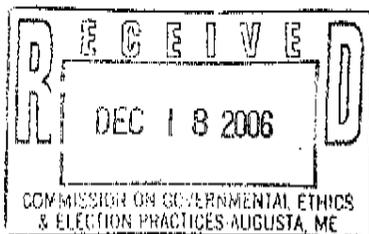
- Mr. Ayotte had previously filed regular reports in the primary election and accelerated reports in the general election, so he was aware of the proper reporting procedures and deadlines.
- This was the second instance of late reporting during the general election cycle.
- In his letter requesting a waiver, Mr. Ayotte provided no mitigating circumstances that prevented him from filing his report on time.
- I called Mr. Ayotte on November 2 and assisted him in filling out his report. He did not file the report until November 4, however.

Dec 18 06 01:00p

MILLER'S CARIBOU

207-498-6167

p.1



Caswell, Maine 04750
December 18, 2006

Dear Gavin;

I received your final notice from the Ethics Commission concerning the penalty in regards to my late filing of the 6-day pre-general finance report. I certainly do apologize for any inconvenience this oversight may have caused but I can sincerely convey to you that my wife and I were certainly under the impression that it had been taken care of the day you helped us fill out the form over the phone. I now understand that my mistake was that I did not submit the form immediately. My wife, whom I had designated as treasurer, and myself did not fully understand how to fill out the form correctly and therefore we called you for your help. Therefore I would formally like to request a waiver of the penalty. As you are perhaps aware I purposely chose to do the traditional campaign method because I did not want my campaign effort to be a burden to the Maine taxpayer.

Sincerely

Bernard L.A. Ayotte

To: Gavin O'Brian
Candidate Registrar

Comm. on Gov. Ethics and Election Practices
135 State House Station
Augusta, Maine 04333-0135

*Fax this copy
287-6775 B.A.*



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

FINAL NOTICE

December 14, 2006

Mr. Bernard L. A. Ayotte
1469 Van Buren Rd.
Caswell, ME 04750

BY CERTIFIED MAIL

Dear Mr. Ayotte:

The Ethics Commission notified you on November 13, 2006 of the preliminary determination of a penalty in the amount of \$195.14 for the late filing of your 6-day pre-general campaign finance report. Our records indicate that you have not paid the penalty or requested a waiver by the Commission.

Please pay the penalty in full within 30 days of the date of this letter. If the Commission does not receive payment, the Election Law requires the Commission to refer the penalty to the Attorney General's Office for collection.

If you would like a waiver of the penalty, you may request a waiver by faxing or mailing a written request no later than January 2, 2007. The Commission will decide on the waiver request at its February meeting. The request can be in the form of a brief letter stating the reasons why the report was late and why a waiver should be granted. The letter may be faxed to 287-6775.

If you have any questions regarding this matter, please telephone me at 287-4709.
Thank you.

Sincerely,

Gavin O'Brien
Candidate Registrar

enc.: Original penalty notice
cc: Treasurer

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

November 13, 2006

Mr. Bernard L. A. Ayotte
1469 Van Buren Rd.
Caswell, ME 04750

BY CERTIFIED MAIL

Dear Mr. Ayotte:

RE: Late 6-Day Pre-General Campaign Finance Report Due 11/1/2006.

You filed a 6-Day Pre-General campaign finance report on 11/4/2006. A penalty must be assessed for late reports based on the amount of financial activity conducted during the filing period, the number of calendar days a report is filed late, and the candidate's filing record. Based on the prescribed statutory formula, the preliminary determination of the penalty for the late filing of your report would be \$195.14. Please refer to the enclosed penalty matrix for more details on how the penalty is computed. If you agree with this preliminary determination of the amount of the penalty, you may use the enclosed billing statement to pay that penalty.

If you have a reason for filing late, you may request the Commission to make a final penalty determination. Any request for a Commission determination must be made within 10 calendar days of receipt of this notice, beginning on the day you sign its receipt. If this notice has been refused or left unclaimed at the post office, the 10-day period begins on the day the post office indicates it has given first notice of a certified letter. Upon receipt of your request for a Commission determination, we will schedule you to appear and will notify you of the date and time of the next Commission meeting. You or a person you designate may then appear personally before the Commission or you may send a written statement for the Commission's consideration. A statement must be notarized and contain a full explanation of the reason you filed late. Statements should be sent to the address shown on this letterhead. The Commission will notify you of the disposition of your case within 10 days after its determination.

NOTE: The Commission may waive penalties for late reports only in cases where tardiness is due to mitigating circumstances. The law defines "mitigating circumstances" as: 1) a valid emergency determined by the Commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; 2) an error by the Commission staff; 3) failure to receive notice of the filing deadline; or (4) other circumstances determined by the Commission that warrant mitigation of the penalty, based upon relevant evidence presented that a *bona fide* effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service.

The Maine Clean Election Act requires all revenues distributed to certified candidates from the fund to be used for campaign-related purposes. Commission guidelines regarding permissible campaign-related expenditures do not include the payment of a penalty as a permissible expenditure.

Sincerely,

Gavin O'Brien
Candidate Registrar

cc: Treasurer

OFFICE LOCATED AT: 242 STATE STREET, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

PENALTY MATRIX FOR LATE CANDIDATE REPORT FILINGS

BASIS FOR PENALTIES 21-A M.R.S.A. Section 1020-A(4)

The penalty for late filing of a required report is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days the report is filed late, as follows:

For the first violation, 1%

For the second violation, 3%

For the third and each subsequent violation, 5%

A penalty begins to accrue at 5:00 p.m. on the day the report is due.

Example: The treasurer files the candidate's report two (2) days late. The candidate has not had any previous late violations this biennium. The candidate reports a total of \$2,500 in contributions and \$1,500 in expenditures for the filing period. The penalty is calculated as follows:

\$2,500	Greater amount of the total contributions received or expenditures made during the filing period
<u>X .01</u>	Percent prescribed for first violation
\$25.00	One percent of total contributions
<u>X 2</u>	Number of calendar days late
\$50.00	Total penalty

Your penalty is calculated as follows:

Contributions/Expenditures: \$	<u>2161.17</u>
Percent prescribed:	<u>X 0.03</u>
	\$ _____
Number of days late:	<u>X 3</u>
Total penalty accrued:	\$ <u>195.14</u>

Any penalty of less than \$5 is waived.

Violations accumulate on reports with filing deadlines in a 2-year period that begins on January 1st of each even-numbered year. Waiver of a penalty does not nullify the finding of a violation.

A required report that is sent by certified or registered United States mail and postmarked at least 2 days before the deadline is not subject to penalty.

MAXIMUM PENALTIES 21-A M.R.S.A. Section 1020-A(5)

\$5,000 for reports required to be filed 42 days before an election (gubernatorial candidate only),
6 days before an election, 42 days after an election, and for 48-hour reports;

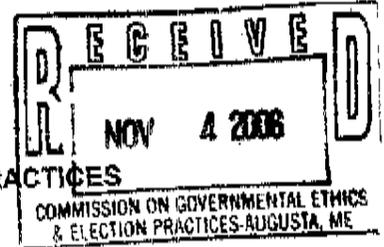
\$1,000 for semiannual reports.

For Commission use only

data entry _____

proofread _____

follow-up _____



STATE OF MAINE
 COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES
 Mail: 135 State House Station, Augusta, Maine 04333-0135
 Office: 242 State Street, Augusta, Maine
 Tel: (207) 287-4179 Fax: (207) 287-6775
 Website: www.maine.gov/ethics
 Electronic Filing: www.maine.gov/campaignfinance.com

2006 CAMPAIGN FINANCE REPORT
 PRIVATELY FINANCED CANDIDATES FOR
 THE LEGISLATURE AND COUNTY OFFICE

(Please Complete ALL Entries)

Name of CANDIDATE BERNARD L.A. AYOTTE

Mailing address 1469 VAN BUREN Rd

City, zip code CASWELL, ME 04750

Telephone number 325-4905 Fax _____ E-mail _____

Office Sought STATE REPRESENTATIVE District Number 3

Name of TREASURER PRISCILLA AYOTTE

Mailing address 1469 VAN BUREN Rd

City, zip code CASWELL, ME 04750

Telephone number 325-4905 Fax _____ E-mail _____

CHECK IF CHANGED
 SINCE PREVIOUS
 REPORT

CHECK IF CHANGED
 SINCE PREVIOUS
 REPORT

Type of Report	Due Date	Dates of Reporting Period
<input type="checkbox"/> January 2006 Semiannual*	January 17, 2006	Beginning of campaign - December 31, 2005
<input type="checkbox"/> 6-Day Pre-Primary	June 7, 2006	Last report (if any) - June 1, 2006
<input type="checkbox"/> 42-Day Post-Primary	July 25, 2006	June 2, 2006 - July 18, 2006
<input checked="" type="checkbox"/> 6-Day Pre-General	November 1, 2006	July 19, 2006 - October 26, 2006
<input type="checkbox"/> 42-Day Post-General	December 19, 2006	October 27, 2006 - December 12, 2006

*The January 2006 Semiannual Report is required only for county and legislative candidates who have raised or spent more than \$500 during 2005.

- Amendment to: _____
- Other (specify): _____
- Check if campaign had no activity for the reporting period (no other pages are required)

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT AND COMPLETE.

Priscilla Ayotte 11/04/06
 Treasurer's Signature Date

Bernard L.A. Ayotte 11/04/06
 Candidate's Signature Date

(Revised 11/05) (Duplicate as needed)

Title 21-A, §1020-A, Failure to file on time

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§1020-A. Failure to file on time

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of \$10. The commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection 1. [1995, c. 483, §15 (new).]

2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission before 5 p.m. on the date it is due. Except as provided in subsection 7, the commission shall determine whether a report satisfies the requirements for timely filing. The commission may waive a penalty if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; [1999, c. 729, §5 (amd).]

B. An error by the commission staff; [1999, c. 729, §5 (amd).]

C. Failure to receive notice of the filing deadline; or [1999, c. 729, §5 (amd).]

D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service. [1999, c. 729, §5 (new).]
[2003, c. 628, Pt. A, §3 (amd).]

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the commission of any late reports subject to a penalty. [1995, c. 625, Pt. B, §5 (amd).]

4. Basis for penalties.
[2001, c. 470, §7 (amd); T. 21-A, §1020-A, sub-§4 (rp).]

4-A. Basis for penalties. The penalty for late filing of a report required under this subchapter, except for accelerated campaign finance reports required pursuant to section 1017, subsection 3-B, is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

A. For the first violation, 1%; [2001, c. 714, Pt. PP, §1 (new); §2 (aff).]

B. For the 2nd violation, 3%; and [2001, c. 714, Pt. PP, §1 (new); §2 (aff).]