



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

Commission Meeting 7/23/2015  
Agenda Item #2

To: Commissioners  
From: Jonathan Wayne, Executive Director  
Date: July 13, 2015  
Re: Potential Finding of Violation and Penalty/Lisa H. Willey

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Lisa H. Willey was a Maine Clean Election Act candidate for the Maine House of Representatives in the 2014 elections. She was not elected to the Legislature. Her campaign treasurer was her father.

Previous penalty of \$500 for filing substantially incomplete reports. When Ms. Willey filed her five regularly scheduled campaign finance reports between May and December 2014, the reports contained *a total of two campaign expenditures*. At the request of the Commission staff, she later amended the reports in February 2015 to reflect her actual expenditures. The Commission staff deemed four of the originally filed reports to be late because they were substantially incomplete.

At your April 29, 2015 meeting, you considered preliminary late-filing penalties totaling \$16,537 for the four late reports. In support of her request for a waiver, Ms. Willey mentioned having difficulties with the Commission's e-filing system. At your April 29, 2014, meeting, you reduced the late-filing penalties to \$500. Ms. Willey has paid those penalties.

Two expenditure entries in campaign finance reports were inaccurate

Because of the unusual under-reporting, the Commission staff directed the auditor to conduct a "special purpose" audit of her campaign. The audit report (attached) contained only one finding. The two expenditures which the campaign entered in the originally filed July and October reports apparently did not happen:

42-Day Post-Primary Report (originally filed 7/22/2014)

Vendor: WalMart

Date: 5/30/2014

Amount: \$193.81

Remark: Printing Supplies

11-Day Pre-General Report (originally filed 10/25/2014)

Vendor: Brill Lumber

Date: 10/11/2014

Amount: \$400.00

Remark: Sign Making Supplies

When the candidate amended the reports in February 2015, she did not delete these entries.

Lack of explanation by the candidate for these two entries. The Commission staff met with the candidate after the Commission's April 29, 2015 meeting to resolve outstanding audit issues. The candidate appeared to be in doubt that these two payments were made.

- With regard to the incorrect "expenditure" of \$400 to Brill Lumber on 10/11/2014, the candidate actually did make a payment of \$336.00 to Brill Lumber on 10/14/2014 (which was part of the February 2, 2015 amendment). So, it is *possible* that, when originally filing the report on 10/25/2014, the candidate or her father entered the \$400 expenditure as an estimate or a placeholder, but it is impossible to know without further explanation from the candidate.
- When she filed her post-primary election report on 7/22/2014, the candidate reported paying \$193.81 to Walmart on May 30, 2014 for printing supplies. During the April 29, 2015 meeting with the candidate, Ms. Willey said that she did not make any sizeable campaign purchases from Walmart. So, the reason for this entry in the Commission's e-filing system remains a mystery. She *did* buy printing supplies two days later on June 2, 2014 from Staples in the amount of \$138.18.

The candidate was provided an opportunity to respond to a draft version of the audit report in writing, but she did not submit a response. The best explanation we have received from the candidate was contained in an email dated May 27, 2015 (attached) stating that she "entered an expense twice and another expense unintentionally."

May 28, 2015 Meeting of the Commission

The Commission staff notified Ms. Willey that the Commission would consider a further violation of law at its meeting on May 28, 2014 for the inclusion of the two false entries. The Commission staff expected her to attend the May 28 meeting to explain the entries. On the day of the meeting, she emailed the Commission to say that she was not coming due to illness.

Notice of July 23, 2015 Meeting

At your request, on June 2, 2015, the Commission staff requested that Ms. Willey attend your July 23, 2015 meeting to show why she should not be found in violation of 21-A M.R.S.A. § 1004-A(5):

A person that makes a material false statement or that makes a statement that includes a material misrepresentation in a document that is required to be submitted to the commission, or that is submitted in response to a request by the commission, may be assessed a penalty not to exceed \$5,000.

I followed up with a letter on July 9, 2015 confirming that the Commission is requesting her attendance at your July 23 meeting. As of today, she has not responded.

Recommendation by Commission Staff – Based on the facts available at this time, the Commission staff recommends finding that Ms. Willey violated 21-A M.R.S.A. § 1004-A(5) by including two false expenditure entries in campaign finance reports. In our view, each false entry constitutes a material false statement.

The statute authorizes you to assess a penalty of up to \$5,000 for the violation, which you may wish to consider. We are not recommending a penalty at this time – in part because the candidate may appear at the meeting to provide more information. Also, the candidate has already been subjected to a significant punishment (\$500) for her faulty financial reporting. We suspect that the staff time incurred by the State of Maine in collecting a second penalty will outweigh the deterrent or punitive value of the penalty.

Thank you for your consideration of this agenda item.

## Wayne, Jonathan

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**From:** Lisa Willey  
**Sent:** Wednesday, May 27, 2015 4:37 PM  
**To:** Wayne, Jonathan; Burke, Emma  
**Subject:** Lisa Willey

Dear Mr. Wayne and the Ethics commission,

I will be at the hearing tomorrow morning to provide an explanation for my incorrect submittal for the candidate's expenditure report. I did find the website difficult to use at times and have since spoken to other candidates who agreed with me, and I spoke with a person who was employed by our state government who also agreed with me. That being said, I believe that I entered an expense twice and another expense unintentionally. I did not receive any financial benefit from this accidental accounting keystroke; quite the opposite in fact I have been monetarily penalized due to my inexpertise with using the website. I also would like to appeal to you by pointing out that I am not a professional treasurer, neither is my treasurer. I have not had to do this type of data entry before now. Yes, I ran as a candidate before, but was traditional and did not raise or spend funds. I reported my expenses by a phone call to a staff member.

I will be there tomorrow morning at the hearing but I don't know how much more I can say.

Thank you,  
Lisa Willey

PS The Green leadership is very happy to hear that ethics staffers will be willing to come to Portland and give a hands on tutorial of the website. I even have the location picked out.



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

June 2, 2015

Lisa Willey  
P.O. Box 193  
South Casco, Maine 04077

**Re: Notice of Potential Violation and Opportunity to Respond**

Dear Ms. Willey:

This letter is to provide you with notice of a potential finding of violation and penalty and to request your attendance at a July 23, 2015 meeting of the Maine Commission on Governmental Ethics and Election Practices.

At its meeting on May 28, 2015, the Commission considered the audit of your 2014 campaign as a Maine Clean Election Act candidate. In the audit, the Commission's Auditor found that two of the expenditures you included in 2014 campaign finance reports were not made:

Vendor: WalMart  
Date: 5/30/2014  
Amount: \$193.81  
Remark: Printing Supplies  
(Report filed 7/22/2014)

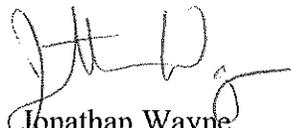
Vendor: Brill Lumber  
Date: 10/11/2014  
Amount: \$400.00  
Remark: Sign Making Supplies  
(Report filed 10/25/2014)

You did not provide any response to the draft audit to explain these entries.

The Commissioners request that you attend the Commission's meeting on July 23, 2015 to show why the Commission should not find that you violated 21-A M.R.S.A. § 1004-A(5) by making material false statements or material misrepresentations in campaign finance reports filed with the Commission. The meeting will be held at 9:00 a.m. at our office at 45 Memorial Circle in Augusta. Please note that the Commission may assess a civil penalty of up to \$5,000 for a violation of § 1004-A(5) (statute on next page).

To supplement your attendance at the meeting, you are welcome to submit any written response by June 19, 2015. Please email me at [Jonathan.Wayne@maine.gov](mailto:Jonathan.Wayne@maine.gov) if you have any questions.

Sincerely,

  
Jonathan Wayne  
Executive Director

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE  
WEBSITE: [WWW.MAINE.GOV/ETHICS](http://WWW.MAINE.GOV/ETHICS)

PHONE: (207) 287-4179

FAX: (207) 287-6775

## 21-A M.R.S.A. §1004-A. PENALTIES

The commission may assess the following penalties in addition to the other monetary sanctions authorized in this chapter.

**1. Late campaign finance report.** A person that files a late campaign finance report containing no contributions or expenditures may be assessed a penalty of no more than \$100.

**2. Contribution in excess of limitations.** A person that accepts or makes a contribution that exceeds the limitations set out in section 1015, subsections 1 and 2 may be assessed a penalty of no more than the amount by which the contribution exceeded the limitation.

**3. Contribution in name of another person.** A person that makes a contribution in the name of another person, or that knowingly accepts a contribution made by one person in the name of another person, may be assessed a penalty not to exceed \$5,000.

**4. Substantial misreporting.** A person that files a campaign finance report that substantially misreports contributions, expenditures or other campaign activity may be assessed a penalty not to exceed \$5,000.

**5. Material false statements.** A person that makes a material false statement or that makes a statement that includes a material misrepresentation in a document that is required to be submitted to the commission, or that is submitted in response to a request by the commission, may be assessed a penalty not to exceed \$5,000.

When the commission has reason to believe that a violation has occurred, the commission shall provide written notice to the candidate, party committee, political action committee, committee treasurer or other respondent and shall afford them an opportunity to appear before the commission before assessing any penalty. In determining any penalty under subsections 3, 4 and 5, the commission shall consider, among other things, the level of intent to mislead, the penalty necessary to deter similar misconduct in the future and the harm suffered by the public from the incorrect disclosure. A final determination by the commission may be appealed to the Superior Court in accordance with Title 5, chapter 375, subchapter 7 and the Maine Rules of Civil Procedure Rule 80C.

Penalties assessed pursuant to this section that have not been paid in full within 30 days after issuance of a notice of the final determination may be enforced in accordance with section 1004-B.



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
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135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

July 9, 2015

**Be Email and Regular Mail**

Lisa Willey  
P.O. Box 193  
South Casco, Maine 04077

Dear Ms. Willey:

This letter confirms that the Maine Commission on Governmental Ethics and Election Practices is requesting your attendance at a July 23, 2015 meeting to consider an additional finding of violation and potential civil penalty. *Please email me at [Jonathan.Wayne@maine.gov](mailto:Jonathan.Wayne@maine.gov) to let me know whether you will attend the meeting.*

At their last meeting on May 28, 2015, the Commissioners considered the audit of your 2014 campaign as a Maine Clean Election Act candidate. The Commission's Auditor found that two of the expenditures you included in 2014 campaign finance reports were not made:

Vendor: WalMart  
Date: 5/30/2014  
Amount: \$193.81  
Remark: Printing Supplies  
(Report filed 7/22/2014)

Vendor: Brill Lumber  
Date: 10/11/2014  
Amount: \$400.00  
Remark: Sign Making Supplies  
(Report filed 10/25/2014)

The Commissioners request that you attend the Commission's meeting on July 23, 2015 to show why the Commission should not find that you violated 21-A M.R.S.A. § 1004-A(5) by making material false statements or material misrepresentations in campaign finance reports filed with the Commission. The meeting will be held at 9:00 a.m. at our office at 45 Memorial Circle in Augusta.

Please note that the Commission may assess a civil penalty of up to \$5,000 for a violation of § 1004-A(5) (statute on next page). *For that reason, the Commission staff recommends that you attend the meeting to explain the two entries.* If you have any questions concerning the Commission's consideration of this item, please email me or call me at 287-4179. Thank you.

Sincerely,

Jonathan Wayne  
Executive Director

## 21-A M.R.S.A. §1004-A. PENALTIES

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**5. Material false statements.** A person that makes a material false statement or that makes a statement that includes a material misrepresentation in a document that is required to be submitted to the commission, or that is submitted in response to a request by the commission, may be assessed a penalty not to exceed \$5,000.

When the commission has reason to believe that a violation has occurred, the commission shall provide written notice to the candidate, party committee, political action committee, committee treasurer or other respondent and shall afford them an opportunity to appear before the commission before assessing any penalty. In determining any penalty under subsections 3, 4 and 5, the commission shall consider, among other things, the level of intent to mislead, the penalty necessary to deter similar misconduct in the future and the harm suffered by the public from the incorrect disclosure. A final determination by the commission may be appealed to the Superior Court in accordance with Title 5, chapter 375, subchapter 7 and the Maine Rules of Civil Procedure Rule 80C.

Penalties assessed pursuant to this section that have not been paid in full within 30 days after issuance of a notice of the final determination may be enforced in accordance with section 1004-B.



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

May 19, 2015

Lisa H. Willey  
PO Box 193  
South Casco, ME 04077

Subject: 2014 Campaign Audit – Final Audit Report

Dear Ms. Willey:

Enclosed is the final report of the Commission's audit of your 2014 House campaign contributions and expenditures. We anticipate presenting the report to our commissioners at their meeting on Thursday, May 28, 2015. You will be invited to attend the meeting for the purpose of discussing the audit findings with the members of the Commission. Jonathan Wayne, the Commission's Executive Director, will contact you in advance to schedule your appearance.

Call me at (207) 255-6618 or Jonathan at (207) 287-4179 if you have any questions or concerns regarding the report or its presentation at the Commission meeting.

Thank you for your cooperation during the audit process and for helping us meet the objectives of the Commission's audit program.

Sincerely,

A handwritten signature in black ink, appearing to read "Vincent W. Dinan".

Vincent W. Dinan  
Commission Auditor

Enclosure

Cc: Jonathan Wayne



STATE OF MAINE  
COMMISSION ON GOVERNMENTAL ETHICS  
AND ELECTION PRACTICES  
135 STATE HOUSE STATION  
AUGUSTA, MAINE  
04333-0135

May 19, 2015  
Audit Report No. 2014-SP002  
Candidate: Lisa H. Willey  
House District 66

### Background

Lisa H. Willey was a candidate for election to the Maine House of Representatives, District 66, in the 2014 general election. Ms. Willey was certified for Maine Clean Election Act (MCEA) funding on May 1, 2014. Under the terms of the Act, MCEA candidates are required to submit reports of contributions received, campaign expenditures, equipment purchases and dispositions, and outstanding campaign debt for specified periods during the election cycle.

### Audit Scope

The auditor examined selected contributions made to the campaign, and certain expenditures made during the following reporting periods:

- Seed Money
- 11 Day Pre-Primary
- 42 Day Post-Primary
- 42 Day Pre-General
- 11 Day Pre-General
- 42 Day Post-General

The transactions examined were recorded in the campaign's accounting and banking records. The audit's purpose was to determine if the identified contributions and expenditures (1) were properly approved by the candidate or his or her authorized representative; (2) were adequately documented as evidenced by original vendor invoices and cancelled checks or other acceptable third party disbursement documentation; (3) were properly reported to the Commission; and (4) complied in all material respects with the requirements of the Maine Clean Election Act and the Commission's rules.

### Audit Findings and Recommendations

#### **Overview**

The campaign of Lisa H. Willey was not in the statistical random sample of 2014 campaigns selected for audit. After identifying significant reporting discrepancies following the general election, the Commission staff requested the Auditor to undertake an examination of the candidate's campaign finances. In February, 2015, the Auditor informed Ms. Willey by letter that an audit was being initiated; attached to the letter was a list of financial transactions constituting about 85 percent of the candidate's reported campaign expenditures for which she was asked to provide documentary support.

#### **Finding No. 1 – Campaign Expenditures Reported in Error**

The Willey campaign reported expenditures in two different reporting periods that the candidate was unable to substantiate, as follows:

2014 Campaign Audit  
Candidate: Lisa H. Willey  
Final Audit Report

42 Day Pre-Primary Report

Vendor: WalMart  
Date: 5/30/2014  
Amount: \$193.81  
Remark: Printing Supplies

Eleven Day Pre-General Period

Vendor: Brill Lumber  
Date: 10/11/2014  
Amount: \$400.00  
Remark: Sign Making Supplies

The audit examination of the campaign's bank records disclosed no transactions in the amounts above. Moreover, at a meeting with the Commission's Auditor after the Commission's April 29, 2015 meeting, the candidate appeared to be in doubt that these two payments were made and had no credible explanation to explain the circumstances which led to the erroneous expense reports. The Auditor noted that the candidate's reported cash balance after the 42 Day Post-General reporting period was a negative \$590.25. By off-setting the ending balance with the total of the unsupported expenditures discussed above, the resulting balance is a negligible (\$3.56). Finally, however, the Auditor was unable, based on the records available, to determine whether the transactions above actually occurred.

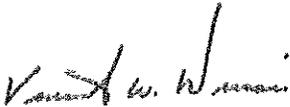
Standard – 21-A M.R.S.A. §1125(12) states in part that “certified candidates shall report any money collected, all campaign expenditures, obligations, refunds received by a candidate or agent of that candidate and related activities to the commission according to procedures developed by the commission.”

Recommendations – The Commission staff recommends that the Commission find the candidate in violation of 21-A M.R.S.A. §1125(12) by reporting two expenditures that apparently did not occur. Although the Commission may assess penalties of up to \$10,000 for violations of the MCEA, the Commission staff recommends no financial penalty for the misreporting, in light of the \$500 late-filing penalty assessed against the candidate for substantially incomplete reports at the Commission's April 29, 2015 meeting.

Candidate's Comments on the Audit Finding

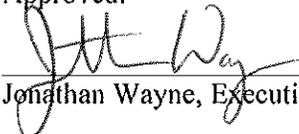
The candidate chose not to provide written comments on the audit finding.

Respectfully Submitted,



Vincent W. Dinan, Auditor

Approved:

  
Jonathan Wayne, Executive Director

42-Day Post-Primary  
Original

Commission on Governmental Ethics and Election Practices  
Mail: 135 State House Station, Augusta, Maine 04333  
Office: 45 Memorial Circle, Augusta, Maine  
Website: [www.maine.gov/ethics](http://www.maine.gov/ethics)  
Phone: 207-287-4179  
Fax: 207-287-6775



**2014 CAMPAIGN FINANCE REPORT**  
**FOR MCEA CANDIDATES**

COMMITTEE		TREASURER	
LISA H WILLEY PO BOX 193 SO. CASCO, ME 04077  EMAIL: LWILLEY1@GMAIL.COM		BERNARD L WILLEY PO BOX 312 SO. CASCO, ME 04077 PHONE: (207) 655-7639 EMAIL: BLWILLEY2@YAHOO.COM	
REPORT	DUE DATE	REPORTING PERIOD	
42-DAY POST-PRIMARY	07/22/2014	05/28/2014 - 07/15/2014	

**FINANCIAL ACTIVITY SUMMARY**

CASH ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH BALANCE FROM LAST REPORT	\$486.00	
2. SEED MONEY CONTRIBUTIONS	\$0.00	\$135.00
3. MAINE CLEAN ELECTION ACT PAYMENTS & AUTHORIZATIONS	\$4,724.00	\$5,090.00
4. SALE OF CAMPAIGN PROPERTY (SCHEDULE E, PART 2)	\$0.00	\$0.00
5. OTHER CASH RECEIPTS (INTEREST, ETC.,)	\$0.00	\$0.00
6. MINUS EXPENDITURES (SCHEDULE B)	\$193.81	\$208.81
7. CASH BALANCE AT CLOSE OF PERIOD	\$5,016.19	
OTHER ACTIVITY		
8. IN-KIND SEED MONEY CONTRIBUTIONS	\$0.00	\$0.00
9. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD (SCHEDULE D)	\$0.00	

I, BERNARD L WILLEY, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: BERNARD L WILLEY  
REPORT FILED ON: 07/22/2014  
LAST MODIFIED: 07/22/2014  
PRINTED: 07/13/2015  
COMMITTEE ID: 7805

**SCHEDULE A  
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

- |                                          |                                        |
|------------------------------------------|----------------------------------------|
| 1 = Individual                           | 9 = Candidate / Candidate Committee    |
| 2 = Candidate/ Spouse/ Domestic Partner  | 10 = General Treasury Transfer         |
| 3 = Commercial Source                    | 11 = Transfer from Previous Campaign   |
| 4 = Nonprofit Organization               | 12 = Contributors giving \$50 or less  |
| 5 = Political Action Committee           | 13 = Contributors giving \$100 or less |
| 6 = Political Party Committee            | 14 = Contributors giving \$200 or less |
| 7 = Ballot Question Committee            | 15 = MCEA Payment                      |
| 8 = Other Candidate/ Candidate Committee | 16 = Financial Institution             |

DATE RECEIVED	CONTRIBUTOR	OCCUPATION AND EMPLOYER	TYPE	AMOUNT
6/13/2014	MCEA Payment		15	\$4,724.00
<b>TOTAL CASH CONTRIBUTIONS</b>				<b>\$4,724.00</b>

**SCHEDULE B  
EXPENDITURES**

<b>EXPENDITURE TYPES</b>				
CNS	Campaign consultants	POL	Polling and survey research	
CON	Contribution to other candidate, party, committee	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones, etc.)	PRO	Other professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.	
PHO	Phone banks, automated telephone calls			
<b>DATE OF EXPENDITURE</b>	<b>PAYEE</b>	<b>REMARK</b>	<b>TYPE</b>	<b>AMOUNT</b>
5/30/2014	WALMART N.WINDHAM WINDHAM, ME 04062	PRINTING SUPPLIES	LIT	\$193.81
<b>TOTAL EXPENDITURES FOR CANDIDATE:</b>				\$193.81





Commission on Governmental Ethics and Election Practices  
Mail: 135 State House Station, Augusta, Maine 04333  
Office: 45 Memorial Circle, Augusta, Maine  
Website: [www.maine.gov/ethics](http://www.maine.gov/ethics)  
Phone: 207-287-4179  
Fax: 207-287-6775

## 2014 CAMPAIGN FINANCE REPORT

### FOR MCEA CANDIDATES

COMMITTEE		TREASURER	
LISA H WILLEY PO BOX 193 SO. CASCO, ME 04077  EMAIL: LWILLEY1@GMAIL.COM		BERNARD L WILLEY PO BOX 312 SO. CASCO, ME 04077 PHONE: (207) 655-7639 EMAIL: BLWILLEY2@YAHOO.COM	
REPORT	DUE DATE	REPORTING PERIOD	
42-DAY POST-PRIMARY	07/22/2014	05/28/2014 - 07/15/2014	

#### FINANCIAL ACTIVITY SUMMARY

CASH ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH BALANCE FROM LAST REPORT	\$411.21	
2. SEED MONEY CONTRIBUTIONS	\$0.00	\$135.00
3. MAINE CLEAN ELECTION ACT PAYMENTS & AUTHORIZATIONS	\$4,724.00	\$5,090.00
4. SALE OF CAMPAIGN PROPERTY (SCHEDULE E, PART 2)	\$0.00	\$0.00
5. OTHER CASH RECEIPTS (INTEREST, ETC.,)	\$0.00	\$0.00
6. MINUS EXPENDITURES (SCHEDULE B)	\$1,556.73	\$1,646.52
7. CASH BALANCE AT CLOSE OF PERIOD	\$3,578.48	
OTHER ACTIVITY		
8. IN-KIND SEED MONEY CONTRIBUTIONS	\$0.00	\$0.00
9. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD (SCHEDULE D)	\$0.00	

I, EMMA BURKE, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: EMMA BURKE  
REPORT FILED ON: 07/22/2014  
LAST MODIFIED: 02/02/2015  
PRINTED: 07/13/2015  
COMMITTEE ID: 7805

**SCHEDULE A  
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

- |                                          |                                        |
|------------------------------------------|----------------------------------------|
| 1 = Individual                           | 9 = Candidate / Candidate Committee    |
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| 6 = Political Party Committee            | 14 = Contributors giving \$200 or less |
| 7 = Ballot Question Committee            | 15 = MCEA Payment                      |
| 8 = Other Candidate/ Candidate Committee | 16 = Financial Institution             |

DATE RECEIVED	CONTRIBUTOR	OCCUPATION AND EMPLOYER	TYPE	AMOUNT
6/13/2014	MCEA Payment		15	\$4,724.00
<b>TOTAL CASH CONTRIBUTIONS</b>				<b>\$4,724.00</b>

**SCHEDULE B  
EXPENDITURES**

**EXPENDITURE TYPES**

CNS Campaign consultants	POL Polling and survey research
CON Contribution to other candidate, party, committee	POS Postage for U.S. Mail and mail box fees
EQP Equipment (office machines, furniture, cell phones, etc.)	PRO Other professional services
FND Fundraising events	PRT Print media ads only (newspapers, magazines, etc.)
FOD Food for campaign events, volunteers	RAD Radio ads, production costs
LIT Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL Campaign workers' salaries and personnel costs
MHS Mail house (all services purchased)	TRV Travel (fuel, mileage, lodging, etc.)
OFF Office rent, utilities, phone and internet services, supplies	TVN TV or cable ads, production costs
OTH Other	WEB Website design, registration, hosting, maintenance, etc.
PHO Phone banks, automated telephone calls	

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
5/30/2014	WALMART N.WINDHAM WINDHAM, ME 04062	PRINTING SUPPLIES	LIT	\$193.81
6/2/2014	RITE AID UNKNOWN FALMOUTH, ME 04105	FOOD FOR CAMPAIGN WORKERS	FOD	\$4.12
6/2/2014	SHAWS UNKNOWN WINDHAM, ME 04062	FOOD FOR CAMPAIGN WORKERS	FOD	\$23.99
6/2/2014	STAPLES UNKNOWN WINDHAM, ME 04062	OFFICE SUPPLIES, PAPER, INK, ETC	OFF	\$138.18
6/6/2014	BIG APPLE UNKNOWN RAYMOND, ME 04071	GASOLINE FOR CAMPAIGNING PURPOSES	TRV	\$20.16
6/16/2014	HAYES TRUE VALUE UNKNOWN BRIDGTON, ME 04009	EQUIPMENT FOR A FUNDRAISING PARTY	FND	\$43.00
6/30/2014	HOME DEPOT UNKNOWN WINDHAM, ME 04062	SUPPLIES FOR T SHIRTS, SIGNS, ETC	OTH	\$96.27
7/7/2014	AMAZON.COM UNKNOWN UNKNOWN, WA 98101	ENVELOPES	OTH	\$16.75
7/7/2014	DR. DON'S BUTTONS UNKNOWN GLENDALE, AZ 85308	BUTTON MAKING SUPPLIES	OTH	\$669.77
7/7/2014	YUMMYEARTH.COM UNKNOWN RAMSEY, NJ 07446	LOLLIPOPS FOR EVENTS	OTH	\$38.45
7/10/2014	DR. DON'S BUTTONS UNKNOWN GLENDALE, AZ 85308	BUTTON MAKING SUPPLIES	OTH	\$178.10
7/10/2014	JOHNNY'S SELECTED SEEDS UNKNOWN ALBION, ME 04910	SEEDS FOR SEED PACKET FLYERS	OTH	\$108.26

7/11/2014	STAPLES UNKNOWN WINDHAM, ME 04062	OFFICE SUPPLIES, MARKERS, POSTER BOARD	OFF	\$25.87
<b>TOTAL EXPENDITURES FOR CANDIDATE:</b>				\$1,556.73



Commission on Governmental Ethics and Election Practices  
 Mail: 135 State House Station, Augusta, Maine 04333  
Office: 45 Memorial Circle, Augusta, Maine  
 Website: [www.maine.gov/ethics](http://www.maine.gov/ethics)  
 Phone: 207-287-4179  
 Fax: 207-287-6775

**2014 CAMPAIGN FINANCE REPORT**  
**FOR MCEA CANDIDATES**

COMMITTEE		TREASURER	
LISA H WILLEY PO BOX 193 SO. CASCO, ME 04077  EMAIL: LWILLEY1@GMAIL.COM		BERNARD L WILLEY PO BOX 312 SO. CASCO, ME 04077 PHONE: (207) 655-7639 EMAIL: BLWILLEY2@YAHOO.COM	
REPORT	DUE DATE	REPORTING PERIOD	
11-DAY PRE-GENERAL	10/24/2014	09/17/2014 - 10/21/2014	

**FINANCIAL ACTIVITY SUMMARY**

CASH ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH BALANCE FROM LAST REPORT	\$5,016.19	
2. SEED MONEY CONTRIBUTIONS	\$0.00	\$135.00
3. MAINE CLEAN ELECTION ACT PAYMENTS & AUTHORIZATIONS	\$0.00	\$5,090.00
4. SALE OF CAMPAIGN PROPERTY (SCHEDULE E, PART 2)	\$0.00	\$0.00
5. OTHER CASH RECEIPTS (INTEREST, ETC.,)	\$0.00	\$0.00
6. <i>MINUS</i> EXPENDITURES (SCHEDULE B)	\$400.00	\$608.81
7. CASH BALANCE AT CLOSE OF PERIOD	\$4,616.19	
OTHER ACTIVITY		
8. IN-KIND SEED MONEY CONTRIBUTIONS	\$0.00	\$0.00
9. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD (SCHEDULE D)	\$0.00	

I, BERNARD L WILLEY, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: BERNARD L WILLEY  
 REPORT FILED ON: 10/25/2014  
 LAST MODIFIED: 10/25/2014  
 PRINTED: 07/13/2015  
 COMMITTEE ID: 7805

**SCHEDULE B  
EXPENDITURES**

<b>EXPENDITURE TYPES</b>				
CNS	Campaign consultants	POL	Polling and survey research	
CON	Contribution to other candidate, party, committee	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones, etc.)	PRO	Other professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.	
PHO	Phone banks, automated telephone calls			
<b>DATE OF EXPENDITURE</b>	<b>PAYEE</b>	<b>REMARK</b>	<b>TYPE</b>	<b>AMOUNT</b>
10/11/2014	BRILL LUMBER RTE. 117 BRIDGTON, ME 04009	SIGN MAKING SUPPLIES	LIT	\$400.00
<b>TOTAL EXPENDITURES FOR CANDIDATE:</b>				\$400.00



11-Day Pre-General  
Amended

Commission on Governmental Ethics and Election Practices  
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**2014 CAMPAIGN FINANCE REPORT**  
**FOR MCEA CANDIDATES**

COMMITTEE		TREASURER	
LISA H WILLEY PO BOX 193 SO. CASCO, ME 04077  EMAIL: LWILLEY1@GMAIL.COM		BERNARD L WILLEY PO BOX 312 SO. CASCO, ME 04077 PHONE: (207) 655-7639 EMAIL: BLWILLEY2@YAHOO.COM	
REPORT	DUE DATE	REPORTING PERIOD	
11-DAY PRE-GENERAL	10/24/2014	09/17/2014 - 10/21/2014	

**FINANCIAL ACTIVITY SUMMARY**

CASH ACTIVITY		
	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH BALANCE FROM LAST REPORT	\$2,636.32	
2. SEED MONEY CONTRIBUTIONS	\$0.00	\$135.00
3. MAINE CLEAN ELECTION ACT PAYMENTS & AUTHORIZATIONS	\$0.00	\$5,090.00
4. SALE OF CAMPAIGN PROPERTY (SCHEDULE E, PART 2)	\$0.00	\$0.00
5. OTHER CASH RECEIPTS (INTEREST, ETC.,)	\$0.00	\$0.00
6. MINUS EXPENDITURES (SCHEDULE B)	\$1,022.06	\$3,610.74
7. CASH BALANCE AT CLOSE OF PERIOD	\$1,614.26	
OTHER ACTIVITY		
8. IN-KIND SEED MONEY CONTRIBUTIONS	\$0.00	\$0.00
9. TOTAL UNPAID DEBTS AT CLOSE OF PERIOD (SCHEDULE D)	\$0.00	

I, EMMA BURKE, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: EMMA BURKE  
REPORT FILED ON: 10/25/2014  
LAST MODIFIED: 02/02/2015  
PRINTED: 07/13/2015  
COMMITTEE ID: 7805

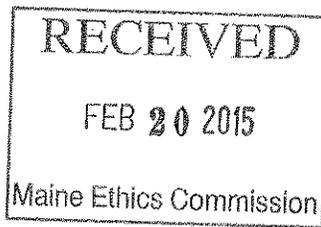
**SCHEDULE B  
EXPENDITURES**

**EXPENDITURE TYPES**

CNS Campaign consultants	POL Polling and survey research
CON Contribution to other candidate, party, committee	POS Postage for U.S. Mail and mail box fees
EQP Equipment (office machines, furniture, cell phones, etc.)	PRO Other professional services
FND Fundraising events	PRT Print media ads only (newspapers, magazines, etc.)
FOD Food for campaign events, volunteers	RAD Radio ads, production costs
LIT Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL Campaign workers' salaries and personnel costs
MHS Mail house (all services purchased)	TRV Travel (fuel, mileage, lodging, etc.)
OFF Office rent, utilities, phone and internet services, supplies	TVN TV or cable ads, production costs
OTH Other	WEB Website design, registration, hosting, maintenance, etc.
PHO Phone banks, automated telephone calls	

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
9/17/2014	STAPLES UNKNOWN WINDHAM, ME 04062	PRINTING, ETC	OFF	\$42.25
10/11/2014	BRILL LUMBER RTE. 117 BRIDGTON, ME 04009	SIGN MAKING SUPPLIES	LIT	\$400.00
10/14/2014	AUBUCHON UNKNOWN NAPLES, ME 04055	SPRAYPAINT	OTH	\$9.90
10/14/2014	BRILL LUMBER UNKNOWN BRIDGTON, ME 04009	PLYWOOD AND STAKES FOR SIGNS	OTH	\$336.98
10/14/2014	HAYES TRUE VALUE UNKNOWN BRIDGTON, ME 04009	SIGN SUPPLIES	OTH	\$27.66
10/14/2014	LOWES UNKNOWN WINDHAM, ME 04062	SIGN SUPPLIES	OTH	\$21.08
10/14/2014	LOWES UNKNOWN WIND, ME 04062	SIGN SUPPLIES	OTH	\$36.35
10/15/2014	LOWES UNKNOWN WINDHAM, ME 04062	SIGN SUPPLIES	OTH	\$63.45
10/15/2014	THE SIGN STORE UNKNOWN AUBURN, ME 04210	STENCIL DESIGN AND CREATION	LIT	\$84.39
<b>TOTAL EXPENDITURES FOR CANDIDATE:</b>				\$1,022.06





via email

Emma Burke  
Maine Ethics Commission

2/15/15

Dear Emma Burke,

This letter is a response to the audit and also the violations and penalty letter that I received from your office.

As you can see from my audit and bank statements that I created a bank account strictly for the purpose of my campaign. I then only used the debit card associated with the campaign account to make purchases, except for the two occasions in which I hired and paid workers who used their professional skills, and on those occasions I withdrew cash from that account. I saved my receipts and kept my purchases and activities above board.

As far as the electronic filing goes, I did have some troubles. Maybe that is partially my fault for not fully understanding the process. There were a few times when I thought the filing had officially gone through, as I received an email the next day. Apparently a couple had gone through. I did have quite a few problems trying to make amendments, which were simple password issues and Emma Burke helped me with that. I was also on the website within the day prior to a deadline filing, or what I thought was filing, for every deadline. I feel that I did try to do everything expected of me, and that I had no intention whatsoever to violate the deadlines and the ethics of a campaign. I can't stress this enough! I couldn't possibly pay the fines, as I have very little income and am a full time college student.

I am humbly requesting that my fines and violations are reviewed. I believe that I have done nothing ethically wrong while conducting my campaign. I returned unused funds, I only use my account in a transparent way, and I worked hard to amend my filings. I hope that you will also see that I had nothing to gain, financially or otherwise from the process.

Thank you.

Sincerely,  
Lisa Willey

3/22/15

To Whom It May Concern,

I am writing to you to further explain as best as I can some of the filing issues and to make a request for a fee waiver.

I know that this may be difficult to understand but I really did believe that I was entering my data correctly, as I would enter a figure and later get an email response saying that I my filing was completed, or words similar to that. In December, while working with Emma Burke, after many password issues, I was told the step by step process and I see now that either I was leaving out steps or sometimes I didn't "end" the filing properly and did not save the information. During the course of my campaign, you probably can see that I filed something everytime I was required to. Somehow I or the system didn't file it properly, but I was attempting to file. I never skipped a filing deadline. (as far as the attempt goes). As soon as I realized the errors, I spent hours putting together the information and filing it.

I am a very honest and transparent person, that's why I chose to run my campaign with Clean Elections. I am very bewildered and shaken to think that I am part of some kind of investigation or could be fined. I saved every receipt, ran everything through my campaign account, and can verify and explain all purchases. I didn't event spend all of the money.

I hope that you understand some of what happened. I can't even explain it completely myself, as I thought I was doing what was expected of me. In fact, the next time I hear about someone running for office I will counsel them on making sure they are pushing the right button.

Sincerely,  
Lisa Willey