

**Class Member Treatment Planning Review**  
For the 3rd Quarter of Fiscal Year 2011

(January, February, March, 2011 )

Total Plans Reviewed		2010 Q4 103		2011 Q1 100		2011 Q2 98		2011 Q3 50	
<b>I Releases</b>									
1A	Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process?	84.0%	21 of 25	88.2%	15 of 17	100.0%	17 of 17	100.0%	24 of 24
1B	Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review?	88.0%	88 of 100	85.6%	83 of 97	87.6%	85 of 97	87.0%	40 of 46
1C	Does the record document that the consumer has a primary care physician (PCP)?	87.3%	89 of 102	87.8%	86 of 98	93.8%	91 of 97	92.0%	46 of 50
1D	If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP?	78.7%	70 of 89	83.7%	72 of 86	81.3%	74 of 91	82.6%	38 of 46
<b>II Treatment Plan</b>									
2A	Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning?	95.1%	97 of 102	98.0%	98 of 100	94.8%	91 of 96	96.0%	48 of 50
2B	Does the record document that the treatment plan goals reflect the strengths of the consumer receiving services?	99.0%	100 of 101	99.0%	96 of 97	96.9%	93 of 96	98.0%	49 of 50
2C	Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services?	98.0%	97 of 99	99.0%	98 of 99	97.9%	95 of 97	98.0%	49 of 50
2D	Does the record document that the individual's potential need for crisis intervention and resolution services was considered with the consumer during treatment planning?	97.1%	99 of 102	96.0%	95 of 99	94.8%	91 of 96	95.8%	46 of 48
2E	Does the record document that the consumer has a crisis plan?	66.0%	68 of 103	63.6%	63 of 99	79.4%	77 of 97	68.0%	34 of 50
2F	If 2E. is no, is the reason documented?	100.0%	35 of 35	100.0%	36 of 36	100.0%	20 of 20	100.0%	16 of 16
2G	If 2E. is yes, has the crisis plan been reviewed as required every three months?	79.4%	54 of 68	85.7%	54 of 63	87.0%	67 of 77	82.4%	28 of 34
2H	If 2E. is yes, has the crisis plan been reviewed as required subsequent to a psychiatric crisis?	42.9%	12 of 28	25.9%	7 of 27	55.6%	10 of 18	40.0%	4 of 10
2I	Does the record document that the consumer has a mental health advance directive?	5.9%	6 of 102	7.1%	7 of 99	2.1%	2 of 97	8.2%	4 of 49
2J	If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer?	50.0%	3 of 6	57.1%	4 of 7	50.0%	1 of 2	50.0%	2 of 4
2K	If 2I. is no, is the reason why documented?	100.0%	96 of 96	100.0%	92 of 92	100.0%	95 of 95	100.0%	45 of 45
<b>III Needed Resources</b>									
3A	Does the record document that natural supports (family/friends) are being accessed as a resource?	94.1%	95 of 101	89.8%	88 of 98	93.8%	91 of 97	96.0%	48 of 50
3B	If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource?	100.0%	6 of 6	100.0%	10 of 10	100.0%	6 of 6	100.0%	2 of 2

3C	Does the record document that generic resources (those resources that anyone can access) are being accessed?	100.0%	103 of 103	100.0%	97 of 97	95.9%	93 of 97	94.0%	47 of 50
3D	If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource?	N/A	0 of 0	N/A	0 of 0	0.0%	0 of 4	0.0%	0 of 3
3E	Does the record document a resource need that has not been provided according to/within the expected response time?	19.4%	20 of 103	18.2%	18 of 99	11.6%	11 of 95	14.3%	7 of 49
3F	Does the treatment plan reflect interim planning?	90.0%	18 of 20	77.8%	14 of 18	63.6%	7 of 11	85.7%	6 of 7
3G	Does the record document that the treatment team reconvened after the unmet need was identified?	75.0%	15 of 20	83.3%	15 of 18	54.5%	6 of 11	85.7%	6 of 7
<b>IV Service Agreements</b>									
4A	Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions)	45.1%	46 of 102	34.3%	34 of 99	45.4%	44 of 97	44.0%	22 of 50
4B	If 4A. is yes, have service agreements been acquired?	71.7%	33 of 46	67.6%	23 of 34	65.9%	29 of 44	77.3%	17 of 22
4C	If 4A. is yes, are the service agreements current?	69.6%	32 of 46	64.7%	22 of 34	63.6%	28 of 44	68.2%	15 of 22
<b>V Vocational Services</b>									
5A	Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments?	96.1%	99 of 103	96.9%	94 of 97	95.9%	93 of 97	90.0%	45 of 50
5B	Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review?	95.1%	98 of 103	93.9%	93 of 99	95.8%	92 of 96	96.0%	48 of 50
<b>VI Comments</b>									
6A	Plan of correction requested?	42.7%	44 of 103	40.4%	40 of 99	38.1%	37 of 97	30.6%	15 of 49
6A.1.	Plan of correction for section 2A. (required when not all domains assessed) included?	100.0%	5 of 5	100.0%	2 of 2	100.0%	5 of 5	100.0%	3 of 2
6C	Plan of correction received?	27.3%	12 of 44	50.0%	20 of 40	32.4%	12 of 37	46.7%	7 of 15
6D	Were corrections made to the satisfaction of the CDC?	66.7%	8 of 12	85.0%	17 of 20	100.0%	12 of 12	100.0%	7 of 7

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