

### Class Member Treatment Planning Review

For the 3rd Quarter of Fiscal Year 2009

( January, February, March, 2009 )

<b>Note:</b>		For most questions, percentages are based on the number of 'yes' answers divided by the total number of reviews done. For some questions, the percentage is based on fewer than the total reviews done. That number is identified in the 'percent based on' row.						
		FY2008 Q2	FY2008 Q3	FY2008 Q4	FY2009 Q1	FY2009 Q2	FY2009 Q3	
Total Plans Reviewed		96	101	94	102	101	100	
<b>I. Releases</b>								
<b>1A.</b>	Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process?	Percent Yes	68.75%	90.48%	88.00%	90.00%	77.27%	71.43%
		Percent Based On	22 of 32	19 of 21	22 of 25	18 of 20	17 of 22	5 of 7
<b>1B.</b>	Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review?	Percent Yes	65.59%	59.41%	48.86%	58.00%	52.53%	67.01%
		Percent Based On	61 of 93	60 of 101	43 of 88	58 of 100	52 of 99	65 of 97
<b>1C.</b>	Does the record document that the consumer has a primary care physician (PCP)?	Percent Yes	84.21%	84.16%	82.98%	92.16%	89.11%	88.66%
		Percent Based On	80 of 95	85 of 101	78 of 94	94 of 102	90 of 101	86 of 97
<b>1D.</b>	If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP?	Percent Yes	62.50%	67.06%	70.51%	65.96%	73.33%	68.60%
		Percent Based On	50 of 80	57 of 85	55 of 78	62 of 94	66 of 90	59 of 86
<b>II. Treatment Plan</b>								
<b>2A.</b>	Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning?	Percent Yes	75.79%	71.00%	55.32%	46.08%	62.63%	84.85%
		Percent Based On	72 of 95	71 of 100	52 of 94	47 of 102	62 of 99	84 of 99
<b>2B.</b>	Does the record document that the treatment plan goals reflect the strengths of the consumer receiving services?	Percent Yes	94.79%	93.07%	91.49%	94.12%	90.10%	96.91%
		Percent Based On	91 of 96	94 of 101	86 of 94	96 of 102	91 of 101	94 of 97
<b>2C.</b>	Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services?	Percent Yes	92.71%	91.09%	89.36%	91.00%	95.05%	94.79%
		Percent Based On	89 of 96	92 of 101	84 of 94	91 of 100	96 of 101	91 of 96
<b>2D.</b>	Does the record document that the individual's potential need for crisis intervention and resolution services was considered with the consumer during treatment planning?	Percent Yes	86.46%	77.23%	91.49%	96.08%	90.10%	94.95%
		Percent Based On	83 of 96	78 of 101	86 of 94	98 of 102	91 of 101	94 of 99
<b>2E.</b>	Does the record document that the consumer has a crisis plan?	Percent Yes	37.50%	51.49%	53.19%	69.61%	62.00%	60.20%
		Percent Based On	36 of 96	52 of 101	50 of 94	71 of 102	62 of 100	59 of 98
<b>2F.</b>	If 2E. is no, is the reason documented?	Percent Yes	41.67%	32.65%	68.18%	96.77%	100.00%	100.00%
		Percent Based On	25 of 60	16 of 49	30 of 44	30 of 31	38 of 38	39 of 39
<b>2G.</b>	If 2E. is yes, has the crisis plan been reviewed as required every three months?	Percent Yes	33.33%	53.85%	50.00%	69.01%	48.39%	62.71%
		Percent Based On	12 of 36	28 of 52	25 of 50	49 of 71	30 of 62	37 of 59
<b>2H.</b>	If 2E. is yes, has the crisis plan been reviewed as required subsequent to a psychiatric crisis?	Percent Yes	11.11%	15.38%	19.05%	26.67%	10.00%	44.44%
		Percent Based On	4 of 36	8 of 52	4 of 21	4 of 15	2 of 20	8 of 18
<b>2I.</b>	Does the record document that the consumer has a mental health advance directive?	Percent Yes	6.25%	5.94%	7.45%	6.86%	6.06%	14.14%
		Percent Based On	6 of 96	6 of 101	7 of 94	7 of 102	6 of 99	14 of 99
<b>2J.</b>	If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer?	Percent Yes	0.00%	16.67%	57.14%	71.43%	16.67%	35.71%
		Percent Based On	0 of 6	1 of 6	4 of 7	5 of 7	1 of 6	5 of 14
<b>2K.</b>	If 2I. is no, is the reason why documented?	Percent Yes	11.11%	14.74%	28.74%	2.11%	100.00%	100.00%
		Percent Based On	10 of 90	14 of 95	25 of 87	2 of 95	93 of 93	85 of 85

III. Needed Resources								
<b>3A.</b>	Does the record document that natural supports (family/friends) are being accessed as a resource?	Percent Yes	87.50%	92.08%	90.43%	93.55%	90.10%	86.87%
		Percent Based On	84 of 96	93 of 101	85 of 94	87 of 93	91 of 101	86 of 99
<b>3B.</b>	If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource?	Percent Yes	33.33%	12.50%	44.44%	100.00%	100.00%	100.00%
		Percent Based On	4 of 12	1 of 8	4 of 9	6 of 6	10 of 10	13 of 13
<b>3C.</b>	Does the record document that generic resources (those resources that anyone can access) are being accessed?	Percent Yes	98.96%	96.04%	95.74%	98.92%	100.00%	94.90%
		Percent Based On	95 of 96	97 of 101	90 of 94	92 of 93	101 of 101	93 of 98
<b>3D.</b>	If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource?	Percent Yes	0.00%	25.00%	25.00%	0.00%	0.00%	0.00%
		Percent Based On	0 of 1	1 of 4	1 of 4	0 of 1	0 of 0	0 of 5
<b>3E.</b>	Does the record document a resource need that has not been provided according to/within the expected response time?	Percent Yes	22.92%	24.75%	31.91%	29.03%	21.78%	16.00%
		Percent Based On	22 of 96	25 of 101	30 of 94	27 of 93	22 of 101	16 of 100
<b>3F.</b>	Does the treatment plan reflect interim planning?	Percent Yes	70.00%	55.17%	43.33%	55.56%	40.91%	37.50%
		Percent Based On	14 of 20	16 of 29	13 of 30	15 of 27	9 of 22	6 of 16
<b>3G.</b>	Does the record document that the treatment team reconvened after the unmet need was identified?	Percent Yes	61.90%	34.48%	41.38%	59.26%	36.36%	37.50%
		Percent Based On	13 of 21	10 of 29	12 of 29	16 of 27	8 of 22	6 of 16
IV. Service Agreements								
<b>4A.</b>	Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions)	Percent Yes	31.25%	30.69%	26.60%	36.63%	36.00%	36.00%
		Percent Based On	30 of 96	31 of 101	25 of 94	37 of 101	36 of 100	36 of 100
<b>4B.</b>	If 4A. is yes, have service agreements been acquired?	Percent Yes	60.00%	38.71%	52.00%	59.46%	55.56%	58.33%
		Percent Based On	18 of 30	12 of 31	13 of 25	22 of 37	20 of 36	21 of 36
<b>4C.</b>	If 4A. is yes, are the service agreements current?	Percent Yes	50.00%	32.26%	48.00%	54.05%	61.11%	47.22%
		Percent Based On	15 of 30	10 of 31	12 of 25	20 of 37	22 of 36	17 of 36
V. Vocational Services								
<b>5A.</b>	Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments?	Percent Yes	83.16%	92.08%	94.68%	91.18%	92.08%	94.74%
		Percent Based On	79 of 95	93 of 101	89 of 94	93 of 102	93 of 101	90 of 95
<b>5B.</b>	Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review?	Percent Yes	66.32%	62.38%	71.28%	59.80%	69.39%	82.65%
		Percent Based On	63 of 95	63 of 101	67 of 94	61 of 102	68 of 98	81 of 98
VI. Comments								
<b>6A.</b>	Plan of correction requested?	Percent Yes	33.68%	32.53%	60.64%	75.00%	64.00%	47.92%
		Percent Based On	32 of 95	27 of 83	57 of 94	75 of 100	64 of 100	46 of 96
<b>6A1.</b>	Plan of correction for section 2A. (required when not all domains assessed) included?	Percent Yes	0.00%	93.10%	95.24%	96.36%	56.76%	100.00%
		Percent Based On	0 of 23	27 of 29	40 of 42	53 of 55	21 of 37	15 of 15
<b>6C.</b>	Plan of correction received?	Percent Yes	83.33%	75.00%	0.00%	34.67%	6.25%	26.09%
		Percent Based On	10 of 12	3 of 4	0 of 0	26 of 75	4 of 64	12 of 46
<b>6D.</b>	Were corrections made to the satisfaction of the CDC?	Percent Yes	72.73%	100.00%	0.00%	88.46%	100.00%	91.67%
		Percent Based On	8 of 11	3 of 3	0 of 0	23 of 26	4 of 4	11 of 12

Report Run by: Nathan Fitts

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