



John E. Baldacci, Governor      Brenda M. Harvey, Commissioner

Department of Health & Human Services  
 Office of Adult Mental Health Services  
**Bates v. DHHS Consent Decree**  
**January-March 2008, 3<sup>rd</sup> Quarter, State Fiscal Year 2008**  
[\*\*CONSENT DECREE REPORT\*\*](#)

**EXECUTIVE SUMMARY**

The DHHS Office of Adult Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the third quarter of state fiscal year 2008, covering the period from January through March 2008. Each document title is linked to the PDF version of the document on the [OAMHS website](#). Links to the Word (or Excel) versions are also listed.

DOCUMENT		DESCRIPTION
1	<b>Cover Letter, Quarterly Report</b> May 1, 2008  <a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a>	Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending March 31, 2008.
2	<b>Third Quarter Fiscal Year 2008 Report on Compliance Plan Standards: Community</b> May 1, 2008  <a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a>	This report lists and updates the standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement. This is the first time that this reporting format has been used.
3	<b>Consent Decree Quarterly Report (October 2006 Plan Components)</b> May 1, 2008  <a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a>	This matrix lists and updates the components of the Consent Decree Plan of October 2006 approved by the Court Master for improvements to the mental health system. It includes cumulative compliance activities by date, and indicates when work on a component is completed. This quarter the matrix was modified to include only those components that have not yet been completed: all completed components have been deleted for reporting purposes.
4	<b>Performance and Quality Improvement Standards</b> April 2008  <a href="#">Excel Version</a> or <a href="#">Adobe PDF</a>	Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, in both summary form at the beginning of the report and in full detail, including graphs, in the pages that follow.
5	<b>Public Education – Standard 34</b> January – March 2008  <a href="#">Excel Version</a> or <a href="#">Adobe PDF</a>	Amplifies Standard 34 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation. (5 pgs)
6	<b>Performance Quality and Improvement Standards, Appendix: Adult Mental Health</b>	Lists and describes of all the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards. (4 pgs)

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<p><b>Data Sources</b> <i>April 2008</i></p> <p><a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a></p>	
<p>7 <b>Cover Letter: Unmet Needs by CSN for FY 08 Q3</b> <i>April 2008</i></p> <p><a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a></p>	<p>Provides a brief introduction to the unmet needs report, providing some definitions of the data, initial findings and next steps.</p>
<p>8 <b>Unmet Needs by CSN for FY08 Q3</b> <i>April 2008</i></p> <p><a href="#">Excel Version</a> or <a href="#">Adobe PDF</a></p>	<p>Quarterly report drawn from the OAMHS Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ICI, ACT, and ICM) concerning consumers (class members and non-class members) who indicate a need for a service that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary). (24 pgs)</p>
<p>9 <b>March 25, 2008 CSN 5 Data Package</b></p> <p><a href="#">Adobe PDF</a></p>	<p>Memo prepared by Helen Hemminger of the Muskie School of Public Service presenting a 'dashboard' of unmet needs data specific to CSN 5 drawn from EIS.</p>
<p>10 <b>DHHS Office of Adult Mental Health Services Quality Management Plan/Community Based Services</b></p> <p><a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a></p>	<p>Plan recently developed by OAMHS to structure its quality management activities. The purpose of the plan is to describe office-wide quality management initiatives that will support data-based decision making and rapid identification and resolution of quality problems, and ensure that: new processes are well designed; data is systematically collected; and data is used for ongoing monitoring and improvement activities.</p>
<p>11 <b>Class Member Treatment Planning Review for the 3<sup>rd</sup> Quarter of Fiscal Year 2008</b> <i>April 2008</i></p> <p><a href="#">Adobe PDF</a> or <a href="#">Microsoft Excel</a></p>	<p>Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a standardized protocol.</p>
<p>12 <b>Community Hospital Utilization Review for the 3<sup>rd</sup> Quarter of Fiscal Year 2008</b> <i>April 2008</i></p> <p><a href="#">Adobe PDF</a> or <a href="#">Microsoft Excel</a></p>	<p>Aggregate report of Utilization Review Nurse review of all persons admitted into emergency involuntary, community hospital based beds funded by the Department of Health and Human Services Office of Adult Mental Health Services and MaineCare.</p>
<p>13 <b>Adult Mental Health Quarterly Crisis Report: 2<sup>nd</sup> Quarter, Fiscal</b></p>	<p>Aggregate report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis. This quarter marked the</p>

DOCUMENT		DESCRIPTION
	<b>Year 2008</b> <a href="#">Adobe PDF</a>	implementation of a new collection tool which will be refined to clarify definitions and reporting procedures for the future.
14	<b>MidCoast Hospital Contract 7/07 – 6/30/10</b> <a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a>	Sample hospital contract containing required Consent Decree compliance language.
15	<b>Riverview Psychiatric Center Performance Improvement Report</b> <i>Jan – March 2008</i> <a href="#">Microsoft Word</a> or <a href="#">Adobe PDF</a>	Reports on Riverview's compliance with specific indicators re: performance and quality, recording findings, problem, status, and actions for the 3 <sup>rd</sup> Quarter of FY 2008.