



Class Member Treatment Planning Review

For the 2nd Quarter of Fiscal Year 2016

(October, November, December, 2015)

Total Plans Reviewed		2015 Q3 45	2015 Q4 49	2016 Q1 50	2016 Q2 50
I Releases					
1A	Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process?	100.0% 5 of 5	83.3% 15 of 18	100.0% 9 of 9	92.6% 25 of 27
1B	Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review?	100.0% 45 of 45	87.8% 43 of 49	100.0% 48 of 48	93.5% 43 of 46
1C	Does the record document that the consumer has a primary care physician (PCP)?	93.3% 42 of 45	93.8% 45 of 48	90.0% 45 of 50	90.0% 45 of 50
1D	If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP?	97.6% 41 of 42	77.8% 35 of 45	88.9% 40 of 45	86.7% 39 of 45
II Treatment Plan					

2A	Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning?	100.0% 45 of 45	78.7% 37 of 47	100.0% 49 of 49	100.0% 50 of 50
2C	Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services?	97.7% 43 of 44	100.0% 47 of 47	91.8% 45 of 49	100.0% 50 of 50
2I	Does the record document that the consumer has a mental health advance directive?	17.8% 8 of 45	6.3% 3 of 48	4.0% 2 of 50	8.0% 4 of 50
2J	If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer?	62.5% 5 of 8	100.0% 3 of 3	50.0% 1 of 2	50.0% 2 of 4
2K	If 2I. is no, is the reason why documented?	100.0% 37 of 37	100.0% 45 of 45	100.0% 48 of 48	100.0% 46 of 46
III Needed Resources					
3A	Does the record document that natural supports (family/friends) are being accessed as a resource?	91.1% 41 of 45	93.8% 45 of 48	90.0% 45 of 50	86.0% 43 of 50
3B	If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource?	100.0% 4 of 4	100.0% 3 of 3	100.0% 5 of 5	100.0% 7 of 7
3C	Does the record document that generic resources (those resources that anyone can access) are being accessed?	95.6% 43 of 45	89.6% 43 of 48	94.0% 47 of 50	94.0% 47 of 50
3D	If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource?	0.0% 0 of 2	0.0% 0 of 5	0.0% 0 of 3	0.0% 0 of 3
3E	Does the record document a resource need that has not been provided according to/within the expected response time?	11.1% 5 of 45	24.4% 11 of 45	32.0% 16 of 50	16.0% 8 of 50
3F	Does the treatment plan reflect interim planning?	80.0% 4 of 5	90.9% 10 of 11	81.3% 13 of 16	50.0% 4 of 8

3G	Does the record document that the treatment team reconvened after the unmet need was identified?	80.0%	4 of 5	90.9%	10 of 11	62.5%	10 of 16	75.0%	6 of 8
IV Service Agreements									
4A	Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions)	55.6%	25 of 45	46.9%	23 of 49	44.0%	22 of 50	66.0%	33 of 50
4B	If 4A. is yes, have service agreements been acquired?	80.0%	20 of 25	52.2%	12 of 23	68.2%	15 of 22	42.4%	14 of 33
4C	If 4A. is yes, are the service agreements current?	80.0%	20 of 25	52.2%	12 of 23	68.2%	15 of 22	42.4%	14 of 33
V Vocational Services									
5A	Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments?	100.0%	45 of 45	81.3%	39 of 48	100.0%	50 of 50	98.0%	49 of 50
5B	Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review?	86.7%	39 of 45	79.6%	39 of 49	91.8%	45 of 49	94.0%	47 of 50
VI Comments									
6A	Plan of correction requested?	17.8%	8 of 45	51.0%	25 of 49	26.0%	13 of 50	44.0%	22 of 50
6A.1.	Plan of correction for section 2A. (required when not all domains assessed) included?	N/A	0 of 0	100.0%	10 of 10	N/A	0 of 0	N/A	0 of 0
6C	Plan of correction received?	87.5%	7 of 8	96.0%	24 of 25	76.9%	10 of 13	22.7%	5 of 22
6D	Were corrections made to the satisfaction of the CDC?	100.0%	7 of 7	100.0%	24 of 24	100.0%	10 of 10	100.0%	5 of 5

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