



Class Member Treatment Planning Review

For the 2nd Quarter of Fiscal Year 2014

(October, November, December, 2013)

| Total Plans Reviewed | | 2013 Q3 50 | | 2013 Q4 49 | | 2014 Q1 50 | | 2014 Q2 49 | |
|-----------------------------|---|---------------|----------|---------------|----------|---------------|----------|---------------|----------|
| I Releases | | | | | | | | | |
| 1A | Does the record document that the agency has planned with and educated the consumer regarding releases of information at intake/initial treatment planning process? | 100.0% | 18 of 18 | 90.0% | 9 of 10 | 100.0% | 16 of 16 | 93.8% | 15 of 16 |
| 1B | Does the record document that the agency has planned with and educated the consumer regarding releases of information during each treatment plan review? | 83.3% | 40 of 48 | 85.7% | 42 of 49 | 80.4% | 37 of 46 | 72.9% | 35 of 48 |
| 1C | Does the record document that the consumer has a primary care physician (PCP)? | 92.0% | 46 of 50 | 91.8% | 45 of 49 | 90.0% | 45 of 50 | 98.0% | 48 of 49 |
| 1D | If 1C. is yes, has there been an attempt to obtain releases signed by the consumer for the sharing of information with the PCP? | 91.3% | 42 of 46 | 80.0% | 36 of 45 | 80.0% | 36 of 45 | 77.1% | 37 of 48 |
| II Treatment Plan | | | | | | | | | |
| 2A | Does the record document that the domains of housing, financial, social, recreational, transportation, vocational, educational, general health, dental, emotional/psychological, and psychiatric were assessed with the consumer in treatment planning? | 98.0% | 49 of 50 | 95.9% | 47 of 49 | 92.0% | 46 of 50 | 100.0% | 49 of 49 |
| 2B | Does the record document that the treatment plan goals reflect the strengths of the consumer receiving services? | 100.0% | 50 of 50 | 100.0% | 49 of 49 | 96.0% | 48 of 50 | 98.0% | 48 of 49 |
| 2C | Does the record document that the treatment plan goals reflect the barriers of the consumer receiving services? | 98.0% | 49 of 50 | 95.9% | 47 of 49 | 94.0% | 47 of 50 | 98.0% | 48 of 49 |
| 2D | Does the record document that the individual's potential need for crisis intervention and resolution services was considered with the consumer during treatment planning? | 96.0% | 48 of 50 | 100.0% | 49 of 49 | 98.0% | 49 of 50 | 100.0% | 49 of 49 |
| 2E | Does the record document that the consumer has a crisis plan? | 62.5% | 30 of 48 | 63.8% | 30 of 47 | 67.3% | 33 of 49 | 89.8% | 44 of 49 |
| 2F | If 2E. is no, is the reason documented? | 100.0% | 18 of 18 | 100.0% | 17 of 17 | 100.0% | 16 of 16 | 100.0% | 5 of 5 |
| 2G | If 2E. is yes, has the crisis plan been reviewed as required every three months? | 73.3% | 22 of 30 | 86.7% | 26 of 30 | 84.8% | 28 of 33 | 90.9% | 40 of 44 |
| 2H | If 2E. is yes, has the crisis plan been reviewed as required subsequent to a psychiatric crisis? | 50.0% | 3 of 6 | 77.8% | 7 of 9 | 100.0% | 7 of 7 | 87.5% | 7 of 8 |
| 2I | Does the record document that the consumer has a mental health advance directive? | 4.1% | 2 of 49 | 8.3% | 4 of 48 | 4.1% | 2 of 49 | 4.1% | 2 of 49 |
| 2J | If 2I. is yes, has the advance directive been reviewed at least annually by the CSW and consumer? | 0.0% | 0 of 2 | 0.0% | 0 of 4 | 0.0% | 0 of 2 | 100.0% | 2 of 2 |
| 2K | If 2I. is no, is the reason why documented? | 100.0% | 47 of 47 | 100.0% | 44 of 44 | 100.0% | 47 of 47 | 100.0% | 47 of 47 |
| III Needed Resources | | | | | | | | | |

| | | | | | | | | | |
|------------------------------|--|--------|----------|--------|----------|--------|----------|--------|----------|
| 3A | Does the record document that natural supports (family/friends) are being accessed as a resource? | N/A | 0 of 0 |
| 3B | If 3A. is no, has the worker discussed with the consumer the consideration of natural supports as a resource? | N/A | 0 of 0 |
| 3C | Does the record document that generic resources (those resources that anyone can access) are being accessed? | 75.0% | 3 of 4 | 50.0% | 1 of 2 | 75.0% | 3 of 4 | N/A | 0 of 0 |
| 3D | If 3C. is no, has the worker discussed with the consumer the consideration of generic resources as a resource? | 0.0% | 0 of 1 | 0.0% | 0 of 1 | 0.0% | 0 of 1 | N/A | 0 of 0 |
| 3E | Does the record document a resource need that has not been provided according to/within the expected response time? | 60.0% | 3 of 5 | 60.0% | 3 of 5 | 54.5% | 6 of 11 | 100.0% | 1 of 1 |
| 3F | Does the treatment plan reflect interim planning? | 100.0% | 3 of 3 | 100.0% | 3 of 3 | 100.0% | 6 of 6 | 100.0% | 1 of 1 |
| 3G | Does the record document that the treatment team reconvened after the unmet need was identified? | 0.0% | 0 of 3 | 0.0% | 0 of 3 | 0.0% | 0 of 6 | 0.0% | 0 of 1 |
| IV Service Agreements | | | | | | | | | |
| 4A | Does the record document that service agreements are required for this plan? (see paragraph 69 protocol for definitions) | 47.9% | 23 of 48 | 53.1% | 26 of 49 | 46.0% | 23 of 50 | 57.1% | 28 of 49 |
| 4B | If 4A. is yes, have service agreements been acquired? | 73.9% | 17 of 23 | 73.1% | 19 of 26 | 56.5% | 13 of 23 | 78.6% | 22 of 28 |
| 4C | If 4A. is yes, are the service agreements current? | 65.2% | 15 of 23 | 57.7% | 15 of 26 | 47.8% | 11 of 23 | 75.0% | 21 of 28 |
| V Vocational Services | | | | | | | | | |
| 5A | Does the record document that the vocational domain is addressed with the consumer on their initial/annual assessments? | 98.0% | 49 of 50 | 100.0% | 48 of 48 | 95.8% | 46 of 48 | 100.0% | 47 of 47 |
| 5B | Does the record document that the vocational domain is being addressed with the consumer at each 90 day treatment plan review? | 93.8% | 45 of 48 | 85.4% | 41 of 48 | 89.6% | 43 of 48 | 81.6% | 40 of 49 |
| VI Comments | | | | | | | | | |
| 6A | Plan of correction requested? | 30.0% | 15 of 50 | 53.1% | 26 of 49 | 52.0% | 26 of 50 | 30.6% | 15 of 49 |
| 6A.1. | Plan of correction for section 2A. (required when not all domains assessed) included? | 0.0% | 0 of 1 | 0.0% | 0 of 2 | 0.0% | 0 of 4 | N/A | 0 of 0 |
| 6C | Plan of correction received? | 93.3% | 14 of 15 | 61.5% | 16 of 26 | 65.4% | 17 of 26 | 66.7% | 10 of 15 |
| 6D | Were corrections made to the satisfaction of the CDC? | 100.0% | 14 of 14 | 100.0% | 16 of 16 | 100.0% | 17 of 17 | 90.0% | 9 of 10 |

Report Run by: Brandi.Giguere Report Run on: Jan 7, 2014 at 11:15:15 AM