

Department of Health & Human Services, Office of Adult Mental Health Services
 Bates v. DHHS Consent Decree
 October, November, December 2012 : 2st Quarter, SFY 2013
[CONSENT DECREE REPORT](#)

SUMMARY

The DHHS Office of Substance Abuse and Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the second quarter of state fiscal year 2013, covering the period from October through December 2012. Each document title is linked to the PDF version of the document on the [AMHS website](#). Links to the Word (or Excel) versions are also listed.

DOCUMENT		DESCRIPTION
1	Cover Letter, Quarterly Report Section 1 & 1A February 1, 2013 Microsoft Word or Adobe PDF	Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending December 31, 2012
2	Second Quarter Fiscal Year 2013 Report on Compliance Plan Standards: Community Section 2 Microsoft Word or Adobe PDF	Lists and updates the information pertaining to standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement.
3	Performance and Quality Improvement Standards Section 3 Adobe PDF	Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, including graphs.
4	Public Education – Standard 34.1 Section 4 Excel Version or Adobe PDF	Amplifies Standard 34.1 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation
5	Performance Quality and Improvement Standards, Appendix: Adult Mental Health Data Sources Section 5 Microsoft Word or Adobe PDF	Lists and describes all of the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards.
6	Cover: Unmet Needs Section 6 Microsoft Word or Adobe PDF	Provides a brief introduction to the unmet needs report as well as some definitions of the data, initial findings and next steps. Also includes needs data from other sources such as the APS Healthcare Contact for Service Notification Process.

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<p>7 Unmet Needs by CSN for FY13 Q1</p> <p>Section 7A Adobe PDF</p> <p>Section 7B Adobe PDF</p>	<p>Quarterly report drawn from the Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ACT, CRS and ICM) concerning consumers (class members and non-class members) who indicate a need for a resource that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary) entered as a component of prior authorization and continuing stay requests made to APS Healthcare via their online system, CareConnections.</p>
<p>8 BRAP Waitlist Monitoring Report, Section 8</p> <p>Microsoft Word or Adobe PDF</p>	<p>Describes status of the DHHS Bridging Rental Assistance Program's (BRAP) waitlist, focusing on the numbers served over time by priority status.</p>
<p>9 Class Member Treatment Planning Review for the 2nd Quarter of Fiscal Year 2013</p> <p>Section 9</p> <p>Adobe PDF</p>	<p>Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a standardized protocol.</p>
<p>10 Community Hospital Utilization Review for the 2nd Quarter of Fiscal Year 2013: Class Members</p> <p>Section 10A Microsoft Word or Adobe PDF</p> <p>Section 10B Adobe PDF</p>	<p>Aggregate report of Utilization Review (UR) of all persons with MaineCare or without insurance coverage admitted into emergency involuntary, community hospital based beds. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed.</p>
<p>11 Community Hospital Utilization Review Performance Standard 18-1, 2, 3 by Hospital: Class Members for the 2nd Quarter Fiscal Year 2013</p> <p>Section 11A Adobe PDF</p> <p>Section 11B Adobe PDF</p>	<p>Report drawn from UR data that details, by hospital, the percentage of ISPs obtained, ISPs consistent with the hospital treatment and discharge plan, and case manager involvement in hospital treatment and discharge planning. UR data is reported one quarter behind to allow sufficient time for reviews and data entry to be completed.</p>
<p>12 DHHS Integrated Child/Adult Quarterly Crisis Report: 2nd Quarter, Fiscal Year 2013</p> <p>Section 12</p> <p>Adobe PDF</p>	<p>Aggregate quarterly report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis.</p>
<p>13 Riverview Psychiatric Center</p>	<p>Reports on Riverview's compliance with specific indicators re:</p>

DOCUMENT		DESCRIPTION
	Performance Improvement Report Section 13 Microsoft Word or Adobe PDF	performance and quality; recording findings, problem, status, and actions for the specified quarter.
14	APS Healthcare Reports A. Members on MaineCare Waitlist for Community Integration (Adobe PDF) B. Members on General Funds Waitlist for Community Integration (Adobe PDF)	A & B: For members on the Community Integration waitlist who were authorized for this service, how long they waited. These reports count the number of days from the date the CFSN was opened to the date the service was authorized. The reports are run 2 quarters ago so nearly everyone who was entered on the waitlist will have started the service.
15	Location Effort Report Quarters 3 and 4 Fiscal Year 2012, Quarter 1 and 2, Fiscal Year 2013 (January 2012 – January 2013) Section 15 Microsoft Word or Adobe PDF	Yearly report that documents efforts to maintain current, accurate addresses. Address information is entered into and traced through the DHHS EIS) Enterprise Information System – electronic database).