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### Memorandum

**DATE:** February 25, 2010  
**TO:** Members of CSN 1  
**PREPARED BY:** Helen Hemminger, Muskie School of Public Service  
**SUBJECT:** CSN 1 Unmet Needs Report Quarter 1 of FY '10

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#### Purpose:

To report quarterly unmet needs data to be used for planning purposes, this report examines:

- a.) level of unmet resource needs for 14 categories
- b.) trends across quarters

This report shows two quarters of unmet needs data for CSN 1:

- Quarter 1 FY '10 (July – Sept '09) from data drawn on 1/12/10
- Quarter 4 FY '09 (April– June '09) from data drawn on 10/8/09

The sections of the report are:

- Section 1: Unmet Needs and Open RDS for CSN 1 for Two Quarters
- Section 2: Distinct Individuals and Unmet Needs for CSN 1 for Two Quarters
- Section 3: Actual Reports for All Categories and Subcategories for CSN 1 for Two Quarters

Data is compiled for individuals who indicate a need on their ISP (individualized support plan) for a resource that is not available within prescribed timeframes. Some needs classified as unmet may have subsequently been met before the end of the quarter. Compiled data is based on:

- client zip code
- completed RDS by case managers (CI, ACT, and ICM)
- both class members and non-class members

#### Data collection and reporting:

As of September 2008, providers enter enrollment and RDS data into APS Healthcare's CareConnection at the time of the initial Prior Authorization (PA) request and at all continuing stay reviews.

Unmet resource need data is reported and reviewed one quarter after the quarter ends as this method gives a more accurate picture of unmet needs. The reasons for this are:

- Administrative aspects of the authorization process through APS Healthcare can result in RDS data being entered into the APS Healthcare system after the quarter ends.
- Each time an unmet need report is drawn from EIS, the prior quarter's data is updated to reflect new/changed information that has been received, thereby improving the accuracy of the data for that quarter.

With this delayed reporting and with other improvements in data collecting, OAMHS reports that prior data integrity issues have been mitigated.

This Unmet Needs Report for CSN 1 compares data from the most recent quarter (Quarter 1 FY '10) with data from the prior quarter drawn approximately three months earlier. Thus, different data is shown for Quarter 4 FY '09 than what is reported on the Unmet Needs Table published by DHHS/OAMHS in its February 2010 quarterly report. This CSN 1 report reflects the unmet needs at a given point in time.

## Section 1: Unmet Needs and Open RDS for CSN 1 for Two Quarters

This report is based on unmet needs reports received for Open RDS of Quarter 1 of FY '10 (July - Sept '09). Based on the data available, Table 1 below shows the number of records (Open RDS) and the number of reports of unmet needs in CSN 1 and the State as a whole.

**Table 1: CSN 1's Open RDS and Unmet Needs across Two Quarters**

	Quarter 4 FY '09		Quarter 1 FY '10	
	Unmet Needs	Open RDS	Unmet Needs	Open RDS
<b>CSN 1</b>	<b>103</b>	<b>369</b>	<b>97</b>	<b>371</b>
<b>State</b>	<b>3,222</b>	<b>8,809</b>	<b>3,128</b>	<b>9,546</b>

Table 2 below is based on available data for two quarters. It shows that for CSN 1, Quarter 1 FY '10:

- Unmet needs did not change by more than 4 in any category.
- The categories of personal growth/community, legal services and mental health crisis planning saw unmet needs decrease by 3 or 4.
- Housing and transportation unmet needs each increased by 3.
- Mental health services remained the same. It was the only category with more than 15 reports of unmet needs in either quarter.

**Table 2: Unmet Needs for CSN 1 Quarter 4 FY '09 & Quarter 1 FY '10**

CSN 1 Reports of Unmet Needs	Quarter 4 FY '09	Quarter 1 FY '10
<b>7a. Mental health services</b>	30	30
<b>7b. Mental health crisis planning</b>	7	4
<b>7c. Peer, recovery, and support</b>	6	7
<b>7d. Substance abuse services</b>	1	1
<b>7e. Housing</b>	5	8
<b>7f. Health care</b>	14	12
<b>7g. Legal</b>	4	1
<b>7h. Financial security</b>	5	4
<b>7i. Education</b>	2	2
<b>7j. Vocational / employment</b>	2	4
<b>7k. Living skills</b>	5	4
<b>7l. Transportation</b>	6	9
<b>7m. Personal growth/community</b>	15	11
<b>Other resources</b>	1	0
<b>CSN 1 Total Unmet Needs</b>	<b>103</b>	<b>97</b>

## Section 2: Distinct Individuals and Unmet Needs for CSN 1 for Two Quarters

Based on CSN 1's 371 Open RDS, Table 3 below shows the number of distinct individuals in CSN 1 with unmet needs for Quarter 4 FY '09 and Quarter 1 FY '10. It is possible for the same individual to have multiple needs within a category.

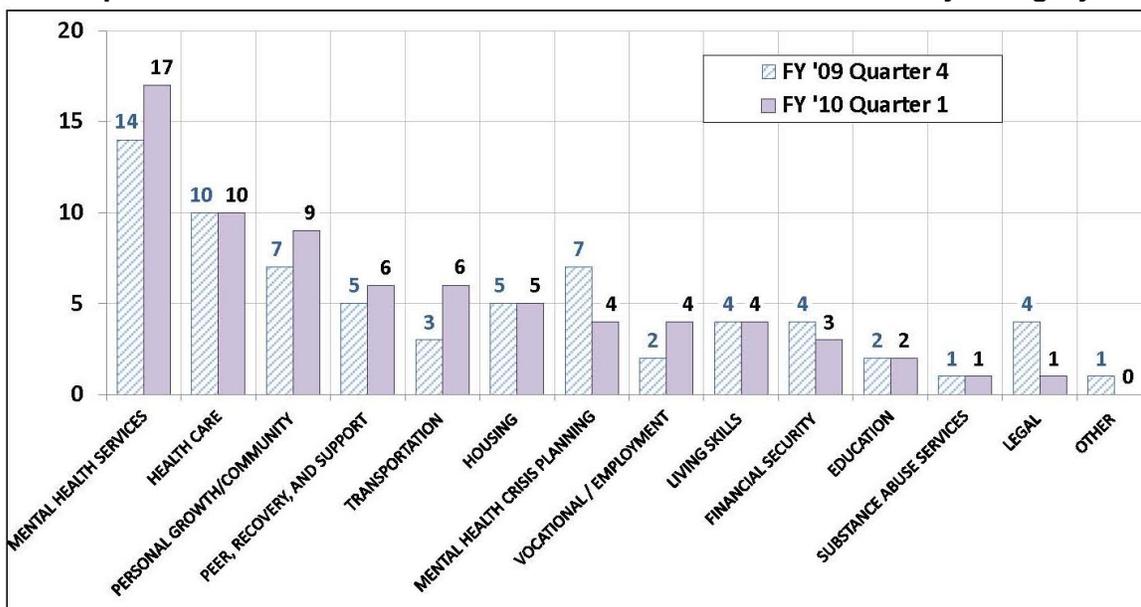
- Mental health services and health care remain the categories with the most distinct individuals with unmet needs.
- Even though the number of reports of unmet needs decreased from 103 to 97, the number of distinct individuals with unmet needs increased from 27 people to 34 people.
- Both mental health crisis planning and legal services had 3 fewer people with unmet needs than the prior quarter, while mental health services and transportation had 3 more people.

**Table 3: Distinct Individuals in CSN 1 with Unmet Needs Quarter 4 FY '09 and Quarter 1 FY '10**

Unmet Need Categories	Quarter 4 FY '09 Distinct Individuals	Quarter 1 FY '10 Distinct Individuals
7a. Mental health services	14	17
7b. Mental health crisis planning	7	4
7c. Peer, recovery, and support	5	6
7d. Substance abuse services	1	1
7e. Housing	5	5
7f. Health care	10	10
7g. Legal	4	1
7h. Financial security	4	3
7i. Education	2	2
7j. Vocational / employment	2	4
7k. Living skills	4	4
7l. Transportation	3	6
7m. Personal growth/community	7	9
Other resources	1	0
<b>CSN 1 Total Distinct Clients w. unmet needs</b>	<b>27</b>	<b>34</b>

*\*The total of the distinct individuals is less than the sum of distinct individuals in each category, since some individuals have unmet needs in multiple categories.*

**Graph 3: CSN 1 Distinct Individuals with Unmet Needs Ranked by Category**



### Section 3: Actual Reports for All Categories and Subcategories for CSN 1 for Two Quarters

Table 4 (next 3 pages) shows unmet resource needs by category and subcategory for Quarter 4 FY '09. (April – June '09) and Quarter 1 FY '10 (July – September '09).

**Table 4: Actual Reports of All Categories and Subcategories for CSN 1**

CSN 1 Reports of Unmet Needs	Quarter 4 FY '09	Quarter 1 FY '10
<b>7a MENTAL HEALTH SERVICES</b>		
7a-i Assertive Community Treatment (ACT)	0	1
7a-ii Community Integration Services	7	13
7a-iii Dialectical Behavioral Therapy	0	0
7a-iv Family psycho-educational treatments	1	0
7a-ix Intensive Community Integration services	0	0
7a-v Group Counseling	3	2
7a-vi Individual counseling	9	4
7a-vii Inpatient psychiatric facility	1	0
7a-viii Intensive Case Management	0	0
7a-x Psychiatric medication management	8	9
7a-xi Other mental health services	1	1
<b>7a MENTAL HEALTH SERVICES Total</b>	<b>30</b>	<b>30</b>
<b>7b MENTAL HEALTH CRISIS PLANNING</b>		
7b-i Development of mental health crisis plan	6	2
7b-ii Mental health advance directives	1	2
7b-iii Other mental health crisis planning	0	0
<b>7b MENTAL HEALTH CRISIS PLANNING Total</b>	<b>7</b>	<b>4</b>
<b>7c PEER, RECOVERY, AND SUPPORT</b>		
7c-i Peer recovery center	0	0
7c-ii Recovery workbook group	0	0
7c-iii Social club	3	3
7c-iv Peer-run trauma recovery and group	0	2
7c-v Wellness recovery and action planning	3	1
7c-vi Family support	0	1
7c-vii Other peer, recovery, and support	0	0
<b>7c PEER, RECOVERY, AND SUPPORT Total</b>	<b>6</b>	<b>7</b>
<b>7d SUBSTANCE ABUSE SERVICES</b>		
7d-i Outpatient substance abuse services	1	1
7d-ii Residential treatment substance abuse	0	0
7d-iii Other substance abuse services	0	0
<b>7d SUBSTANCE ABUSE SERVICES Total</b>	<b>1</b>	<b>1</b>

**Table 4 continued**

<b>CSN 1 Reports of Unmet Needs</b>	<b>Quarter 4 FY '09</b>	<b>Quarter 1 FY '10</b>
<b>7e HOUSING</b>		
7e-i Supported apartment	2	2
7e-ii Community residential facility	0	1
7e-iii Residential treatment facility (group home)	0	1
7e-iv Assisted living facility	1	2
7e-v Nursing home	0	0
7e-vi Residential crisis unit	0	0
7e-vii Rent subsidy (Section 8, BRAP, Shelter +)	0	0
7e-viii Other housing resources	2	2
<b>7e HOUSING Total</b>	<b>5</b>	<b>8</b>
<b>7f. HEALTH CARE</b>		
7f-i Dental services	6	5
7f-ii Eye care services	1	1
7f-iii Hearing services	2	1
7f-iv Physical therapy	0	0
7f-v. Physician/medical services	3	4
7f-vi Other health care resources	2	1
<b>7f. HEALTH CARE Total</b>	<b>14</b>	<b>12</b>
<b>7g LEGAL</b>		
7g-i Advocates	1	0
7g-ii Guardian (private)	1	0
7g-iii Guardian (public)	1	0
7g-iv Other legal resources	1	1
<b>7g LEGAL Total</b>	<b>4</b>	<b>1</b>
<b>7h FINANCIAL SECURITY</b>		
7h-i Assistance with managing money	1	2
7h-ii Assistance with securing public benefits	1	0
7h-iii Representative payee	1	1
7h-iv Other financial security resources	2	1
<b>7h FINANCIAL SECURITY Total</b>	<b>5</b>	<b>4</b>
<b>7i EDUCATION</b>		
7i-i Adult education (other than GED)	0	1
7i-ii GED	1	0
7i-iii Literacy assistance	1	1
7i-iv Post high school education	0	0
7i-v Tuition reimbursement	0	0
7i-vi Other educational resources	0	0
<b>7i EDUCATION Total</b>	<b>2</b>	<b>2</b>

**Table 4 continued**

<b>CSN 1 Reports of Unmet Needs</b>	<b>Quarter 4 FY '09</b>	<b>Quarter 1 FY '10</b>
<b>7j VOCATIONAL / EMPLOYMENT</b>		
7j-i Benefits counseling related to employment	1	0
7j-ii Club house and/or peer vocational support	0	0
7j-iii Competitive employment (no supports)	0	0
7j-iv Supported employment	0	1
7j-v Vocational Rehabilitation	0	3
7jv-vi Other vocational/employment resources	1	0
<b>7j VOCATIONAL / EMPLOYMENT Total</b>	<b>2</b>	<b>4</b>
<b>7k LIVING SKILLS</b>		
7k-i Daily living supports services	3	1
7k-ii Day support services	0	0
7k-iii Occupational therapy	0	0
7k-iv Skills development services	2	2
7k-v Other living skills resources	0	1
<b>7k LIVING SKILLS Total</b>	<b>5</b>	<b>4</b>
<b>7l TRANSPORTATION</b>		
7l-i Transportation to ISP-identified services	3	6
7l-ii Transportation to other ISP-identified activities	2	3
7l-iii After hours transportation	0	0
7l-iv Other transportation resources	1	0
<b>7l TRANSPORTATION Total</b>	<b>6</b>	<b>9</b>
<b>7m PERSONAL GROWTH/COMMUNITY</b>		
7m-i Avocational activities	1	0
7m-ii Recreation activities	7	4
7m-iii Social activities	7	5
7m-iv Spiritual activities	0	0
7m-v Other personal growth/community	0	2
<b>7m PERSONAL GROWTH/COMMUNITY Total</b>	<b>15</b>	<b>11</b>
<b>OTHER RESOURCES</b>		
Other resources	1	0
<b>OTHER RESOURCES Total</b>	<b>1</b>	<b>0</b>
<b>Grand Total CSN 1</b>	<b>103</b>	<b>97</b>