



John E. Baldacci, Governor Brenda M. Harvey, Commissioner

Department of Health & Human Services
 Office of Adult Mental Health Services
Bates v. DHHS Consent Decree
July, August, September 2008, 1st Quarter, State Fiscal Year 2008
[CONSENT DECREE REPORT](#)

EXECUTIVE SUMMARY

The DHHS Office of Adult Mental Health Services is required to report to the Court quarterly regarding compliance and progress toward meeting specific standards as delineated in the Bates v. DHHS Consent Decree Settlement Agreement, the Consent Decree Plan of October 2006, and the Compliance Standards approved October 29, 2007. The following documents are submitted as the Quarterly Progress Report for the fourth quarter of state fiscal year 2008, covering the period from April through June 2008. Each document title is linked to the PDF version of the document on the [OAMHS website](#). Links to the Word (or Excel) versions are also listed.

DOCUMENT		DESCRIPTION
1	Cover Letter, Quarterly Report November 1, 2008 Word Version or Adobe PDF	Letter to Dan Wathen, Court Master, submitting the Quarterly Report pursuant to paragraph 280 of the Settlement Agreement for the quarter ending September 30, 2008.
2	First Quarter Fiscal Year 2009 Report on Compliance Plan Standards: Community November 1, 2008 Word Version or Adobe PDF	This report lists and updates the standards approved in October 2007 for evaluating and measuring DHHS compliance with the terms and principles of the Settlement Agreement.
3	Consent Decree Quarterly Report (October 2006 Plan Components) November 1, 2008 Word Version or Adobe PDF	This matrix lists and updates the components of the Consent Decree Plan of October 2006 approved by the Court Master for improvements to the mental health system. It includes cumulative compliance activities by date, and indicates when work on a component is completed.
4	Performance and Quality Improvement Standards October 2008 Excel Version or Adobe PDF	Details the status of the Department's compliance with 34 specific performance and quality improvement standards (many are multi-part) required by the Consent Decree October 2006 Plan for this reporting quarter. Reporting includes the baseline, current level, performance standard, and compliance standard for each, in both summary form at the beginning of the report and in full detail, including graphs, in the pages that follow.
5	Public Education – Standard 34 July-September 2008 Excel Version or Adobe PDF	Amplifies Standard 34 of the Performance and Quality Improvement Standards above, detailing the mental health workshops, forums, and presentations made, including levels of participation.

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<p>6 Performance Quality and Improvement Standards, Appendix: Adult Mental Health Data Sources <i>October 2008</i></p> <p>Word Version or Adobe PDF</p>	<p>Lists and describes of all the data sources used for measuring and reporting the Department's compliance on the Performance and Quality Improvement Standards.</p>
<p>7 Cover Letter: Unmet Needs by CSN for FY 09 Q1 <i>October 2008</i></p> <p>Word Version or Adobe PDF</p>	<p>Provides a brief introduction to the unmet needs report, providing some definitions of the data, initial findings and next steps.</p>
<p>8 Unmet Needs by CSN for FY09 Q1 <i>October 2008</i></p> <p>Adobe PDF</p>	<p>Quarterly report drawn from the OAMHS Enterprise Information System (EIS) by CSN (based on client zip code), from resource need data entered by community support case managers (CI, ICI, ACT, and ICM) concerning consumers (class members and non-class members) who indicate a need for a resource that is not immediately available. Providers are required to enter the information electronically upon enrollment of a client in Community Support Services and update the information from their clients' Individual Service Plans (ISPs) every 90 days via an RDS (Resource Data Summary) entered as a component of prior authorization and continuing stay requests made to APS Healthcare via their online system, CareConnections.</p>
<p>9 BRAP Waitlist Monitoring Report, September 30, 2008</p> <p>Word Version or Adobe PDF</p>	<p>Quarterly monitoring report of the DHHS Bridging Rental Assistance Program's (BRAP) waitlist, focusing on the numbers served over time by priority status.</p>
<p>10 Budget Submissions 9/10/08</p> <p>Word Version or Adobe PDF (Budget Submission only)</p> <p>State Q4 Unmet Needs Short Version</p> <p>Adobe PDF</p>	<p>Department's initial proposals regarding adult mental health services for both the FY '09 Supplemental Budget, and the FY'10/'11 Biennial Budget based on fourth quarter unmet needs data, both at the state-wide and CSN level.</p>
<p>11 Location Effort Report for Quarter 1, State Fiscal Year 2009 (July, August, September 2008) <i>October 2008</i></p> <p>Word Version or Adobe PDF</p>	<p>Report documenting the DHHS Office of Adult Mental Health Services (OAMHS) continuing efforts to maintain current, accurate addresses for <i>Bates v. DHHS Consent Decree</i> class members.</p>
<p>12 Class Member Treatment Planning Review for the 1st Quarter of Fiscal</p>	<p>Aggregate report of document reviews completed on a random sample of class member ISPs by Consent Decree Coordinators following a</p>

DOCUMENT		DESCRIPTION
	Year 2009 <i>October 2008</i> Excel Version or Adobe PDF	standardized protocol.
13	Community Hospital Utilization Review for the 1st Quarter of Fiscal Year 2009 <i>August 2008</i> Excel Version or Adobe PDF	Aggregate report of Utilization Review Nurse review of all persons admitted into emergency involuntary, community hospital based beds funded by the Department of Health and Human Services Office of Adult Mental Health Services and MaineCare.
14	UR Hospital Detail Class 7/9/08 Excel Version or Adobe PDF UR Hospital Detail Class 10/27/08 Excel Version or Adobe PDF	Reports drawn from UR Nurse data that detail, by hospital, the percentage of ISPs obtained, ISPs consistent with the hospital treatment and discharge plan and case manager involvement in hospital treatment and discharge planning.
15	Adult Mental Health Quarterly Crisis Report: 4th Quarter, Fiscal Year 2008 Adobe PDF	Aggregate report of crisis data submitted by crisis providers to the Office of Quality Improvement on a monthly basis. This quarter marked the implementation of a new collection tool which will be refined to clarify definitions and reporting procedures for the future.
16	Riverview Psychiatric Center Performance Improvement Report <i>July-September 2008</i> Word Version or Adobe PDF	Reports on Riverview's compliance with specific indicators re: performance and quality, recording findings, problem, status, and actions for the 1 st Quarter of FY 2009.