



*Department of Health  
and Human Services*

*Maine People Living  
Safe, Healthy and Productive Lives*

# **HIPAA Version 5010 Update for PAG/TAG**

**December 15, 2011**



# Agenda



Topic	Page #
HIPAA Version 5010 Transition	Page 3
Communications	Page 7
Trading Partner Certification	Page 9
Pilot Testing	Page 10
Summary	Page 13

# Status of HIPAA Version 5010 Transition

---



- MaineCare will begin the HIPAA Version 5010 upgrade on Friday, January 13<sup>th</sup> (12:01 a.m.) and be back online by 7:00 a.m. Tuesday, January 17<sup>th</sup>.
  - The change in the schedule allows additional time to complete end to end testing, pilot testing, and overall system readiness checks.
  - Pilot testing will start next week, December 19<sup>th</sup>.
  - To ease the impact to the user community and on operational activities, the transition will be over the holiday weekend.
- Molina is actively contacting HIPAA Version 5010 certified providers to confirm their ability to submit HIPAA Version 4010 transactions until January 17<sup>th</sup>.
- The portal will display a custom maintenance message that all portal functionality is unavailable.

# Status of HIPAA Version 5010 Transition *(continued)*

---



- Member eligibility will be available during the cutover period; however, it will be static and based on the most current file available prior to the upgrade.
- If an urgent prior authorization (PA) is needed during the cutover period, providers should call Provider Services at 1-866-598-3963.
- Regular listserv updates will be sent between now and the upgrade to HIPAA Version 5010.

# Key Points

---

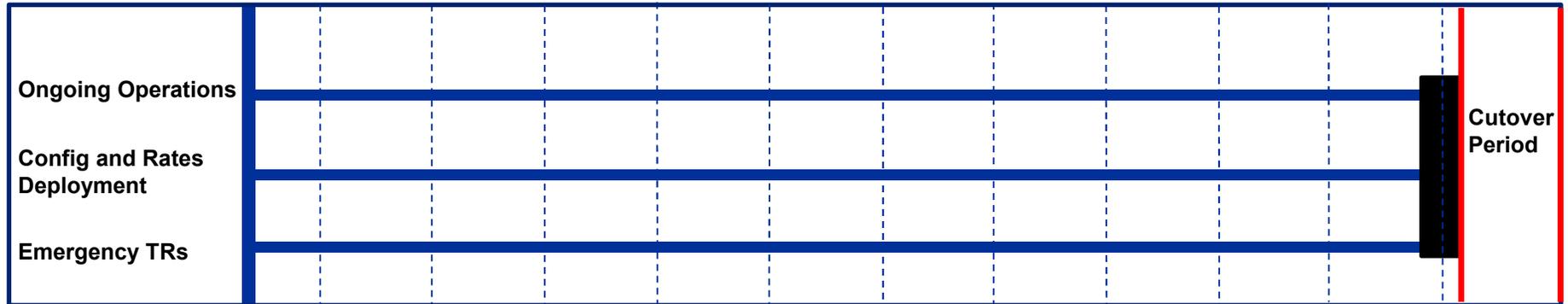


- The 5010 COBA file from CMS will be received on January 6<sup>th</sup> and held for processing until the week of the 17<sup>th</sup>.
- Payments will be on the regular weekly schedule through the maintenance period.
  - Payments for the week ending January 27 will include four days of claims rather than a full week.
  - Depending on the claims volume, processing will return to normal over the next one or two weeks.

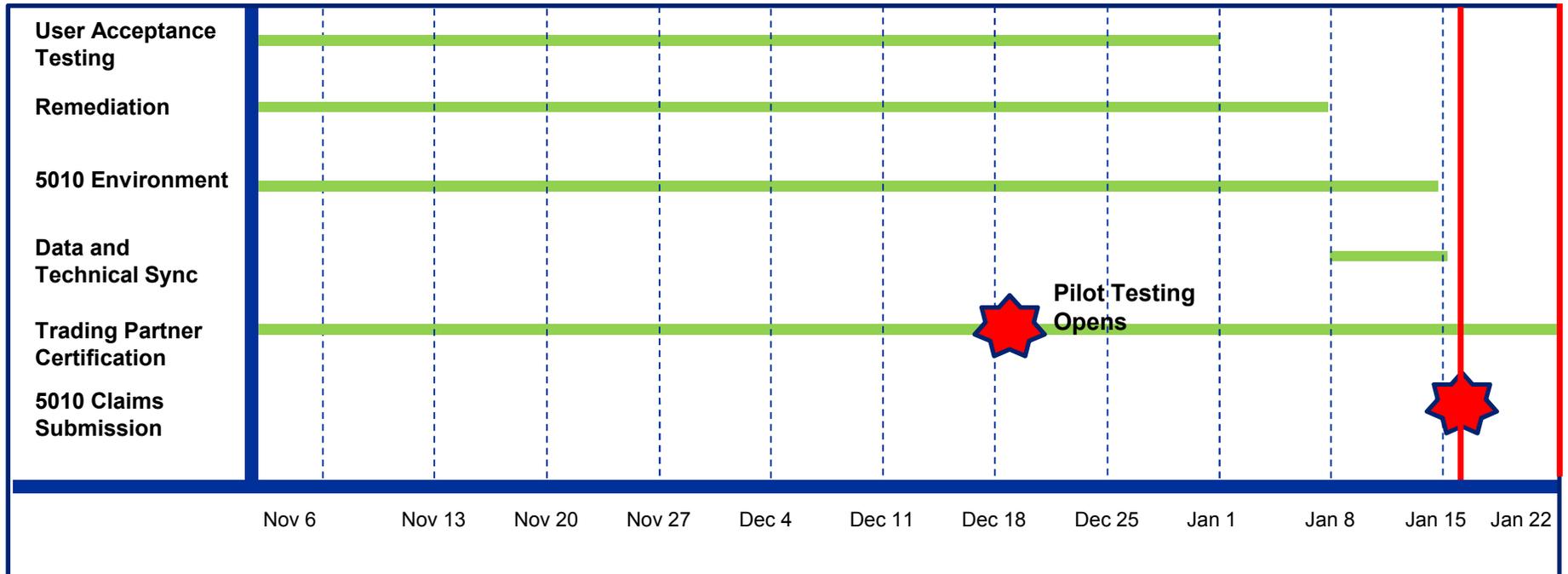
# Timeline and Key Activities



## Current environment



## New environment



# 5010 Communication Activities



- Day of Testing Results
  - Twenty-four trading partners participated in the live web chats.
  - There was record testing activity as the number certified trading partners nearly doubled from the previous week!
- Held pilot testing kick-off call on Tuesday, December 13<sup>th</sup> and 14 trading partners attended.
- New FAQ document from the HIPAA Version 5010 Day of Testing was posted to the [HIPAA Version 5010 & ICD-10](#) website.

## Frequently Asked Questions

- [HIPAA Version 5010](#)
- [Am I Affected by HIPAA Version 5010](#)
- [HIPAA Version 5010 Testing](#)
- [Day of Testing Q&As](#) (a transcript of the November 18, 2011 Day of Testing)

# Dual Processing Update

---



- MaineCare will start accepting HIPAA Version 5010 transactions on Tuesday, January 17<sup>th</sup>.
- If trading partner submits a HIPAA Version 5010 file between January 1<sup>st</sup> and January 16<sup>th</sup>, a TA1 024 error message will be returned and the file will be rejected.
- MaineCare will continue to accept HIPAA Version 4010 transactions until March 31, 2012. After March 31, 2012, any 4010 transaction will be rejected.
- If you are a trading partner that is unable to submit 5010 transactions after March 31, 2012, your claims submission will need to be via Direct Data Entry (DDE) or through paper claims.

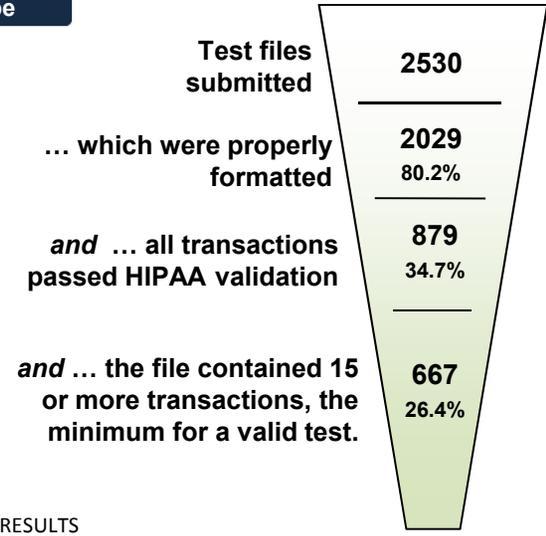
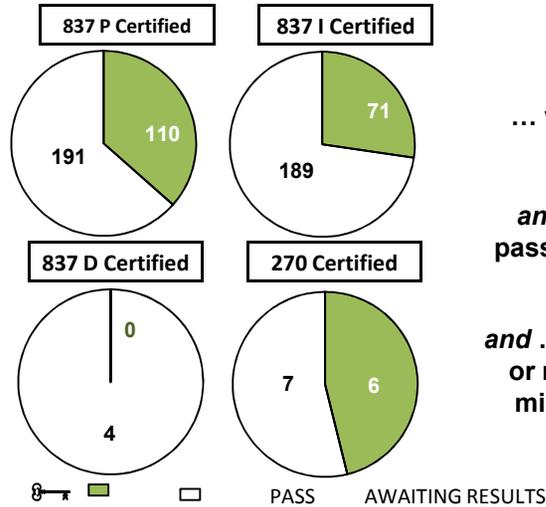
# MIHMS HIPAA Version 5010 Certification Progress



AS OF: 12/13/2011

- 53% of HIPAA Version 4010 certified trading partners have begun HIPAA Version 5010 certification testing.

## Number of Certifications by Transaction Type



## Newly Certified Trading Partners Since 12/2/11

- |  |  |
|--|--|
| <ul style="list-style-type: none"> <li>• Addison Point Specialized Services, Inc.</li> <li>• Brentwood Rehab. and Nursing Center</li> <li>• Bridge to Success</li> <li>• Bridgton Health Care Center</li> <li>• Broadreach Family &amp; Community Services</li> <li>• Cedars Nursing Care Center</li> <li>• Central Maine Long Term Care, Inc.</li> <li>• Community Partners, Inc.</li> <li>• Eastern Maine Eye Associates</li> <li>• Employment Specialists of Maine, Inc.</li> <li>• Frannie Peabody Center</li> <li>• Goodwill Industries of Northern New England</li> <li>• Greenwood Nursing Care Center, Inc.</li> <li>• Growing Opportunities, Inc.</li> <li>• Harbor Schools of Maine, Inc.</li> <li>• Maine Vocational &amp; Rehabilitation Associates, Inc.</li> </ul> | <ul style="list-style-type: none"> <li>• McKay Shared Living Services</li> <li>• Medical Billing Network</li> <li>• Motivational Services</li> <li>• Northeast Occupational Exchange, Inc.</li> <li>• OHI</li> <li>• Penquis CAP Inc.</li> <li>• Presque Isle Rehab &amp; Nursing Center</li> <li>• Robert A. Sylvester MD</li> <li>• Searsport Counseling Associates</li> <li>• South Portland Nursing Home, Inc.</li> <li>• Volunteers of America NNE</li> <li>• Waban Projects</li> <li>• Western Maine Nursing Home</li> <li>• Westgate Manor</li> <li>• Work First, Inc.</li> <li>• Work Opportunities Unlimited Contracts, Inc.</li> </ul> |
|--|--|

# Details for HIPAA Version 5010 Pilot Testing

---



- Pilot testing will start on December 19<sup>th</sup> and files will be accepted through 5:00 p.m. on Friday December 23<sup>rd</sup>.
- Participants can submit one test file for each 837 transaction type they are certified for with up to 25 transactions.
- Test files should be submitted between the hours of 8:00 a.m. and 5:00 p.m. eastern.
- The pilot will take place virtually, i.e., all test files can be submitted via a public URL which will only be sent to **certified** participants by December 19<sup>th</sup>.
- Following the submission of the test files, the files will be adjudicated and an 835 and a Remittance Advice (RA) will be created for each test file.

# Receiving and Reviewing 835s and RAs

---



- The 835 and the RA will be available to trading partners on Tuesday, January 3<sup>rd</sup>. Matt Galletta will send pilot participants a notification email when they are available.
- An 835/RA evaluation survey will be sent to pilot participants. Please complete the survey for both the 835 and the RA.
- When comparing the pilot 835 or RA with the original, be sure to make note of the original TCNs so you can easily compare the 4010 835/RA to the new 5010 835/RA.
- Eligibility, benefits, rate changes, etc., made to MIHMS after November 7<sup>th</sup> will not be reflected in claims processes, which may result in slight discrepancies in payment amounts.

# Pilot Status Checks



- Your feedback is critical to the success of the pilot and MaineCare’s HIPAA version 5010 implementation.

Feedback Method	Purpose	Date(s)
Status Call	Check in on progress and discuss issues as a group	Wednesday, December 21
835/RA Review	Confirm the 5010 835 or RA is accurate/complete	Tuesday, January 3 – Friday January 6
835/RA Review Call	Answer questions about 835/RA for pilot transactions	Thursday January 5 <sup>th</sup>
835/RA Evaluation Survey	Solicit feedback on accuracy/completeness of 835/RA	Tuesday, January 3 – Friday January 6
Help Desk	Respond to and resolve any technical issues	Monday through Friday 7:00 a.m. to 6:00 p.m. eastern
Survey	Gather feedback on pilot process, activities and outcomes	After January 17

# Summary

---



- Pilot testing starts Monday so if you have questions that were not addressed, please email to Matt Galletta at [Matt.W.Galletta@maine.gov](mailto:Matt.W.Galletta@maine.gov).
- Time is running out! If you haven't started testing, now is the time!