

September 2015
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**OCFS Provider Contract Performance Measures Report
Child PNMI Services Treatment Outcome Standard
Over 80% of youth discharged to a home setting do not access ITRT within 1 year of discharge
(Includes MaineCare members and Courtesy Reviews done by APS)
07/01/2014 To 09/30/2014**

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called PNMI.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II.** Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home setting:** The goal of residential treatment is to prepare youth to live successfully at home. A home setting is defined as “own apartment or home”, “supported apartment” or “foster care added.” These are 3 of the 17 dropdown choices that a provider may choose from when answering the question “Living situation upon discharge?” in APS CareConnection.

What This Report Measures: The number of youth discharged from residential treatment to a home setting and whether or not these youth return to a residential treatment within 1 year. Only the youth that the provider has indicated are discharged to a home setting are included in this report. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment.

Total number of youth discharged from residential treatment to a home setting: 37

Total number of youth who did NOT return to residential treatment within 1 year: 35

Percent of youth discharged to a home setting who did NOT return to residential treatment within 1 year : 95%

Providers	<u># NOT returning to residential treatment within 1 year</u>	<u># discharged to a home setting</u>	<u>% NOT returning to residential treatment within 1 year</u>
Aroostook Mental Health Services	1	1	100%
Becket Houses of Maine	2	2	100%
Community Health & Counseling Services	1	1	100%
KidsPeace	2	2	100%
NFI North	4	4	100%
Spurwink	7	7	100%
Sweetser	18	20	90%
Total	35	37	95%

OCFS Provider Contract Performance Measures Report

Child PNMI Services Treatment Outcome Standard- 60% of youth discharged from residential care go to home settings (Includes MaineCare members and Courtesy Reviews done by APS)

07/01/2015 To 09/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Discharge:** Providers are asked to enter a discharge into APS' CareConnection system whenever a member leaves an APS-reviewed service. If no discharge is entered, then the member is not included in this report.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II.** Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home settings:** The goal of residential treatment is to prepare youth to live successfully at home. A home setting is defined as ""own apartment or home", "supported apartment", "rent subsidy or "foster care added." These are 4 of the 17 dropdown choices that a provider may choose from when answering the question "Living situation upon discharge?" in APS CareConnection.
- **Incarcerated:** When the provider chooses "Incarcerated in a State Prison or County Jail" from the dropdown choices when answering the question "Living situation upon discharge?" in APS CareConnection.
- **Higher level of care:** A higher level of care is defined as the provider choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Hospitalized for Medical Reasons", "Residential crisis unit", "Other Psychiatric", "Dorothea Dix" or "Riverview Psychiatric Center".
- **Residential:** A residential setting is defined as choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Assisted Living Facility", "Community Residential Facility", "Nursing Home", or "Residential Treatment Facility".
- **Other:** Other setting is defined as choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Homeless Shelter or on the Streets", "Other", "Temporarily staying with others" or "Deceased".

What This Report Measures: The number of youth discharged from residential care to home settings, higher levels of care, residential care or other settings.

Total # youth discharged from residential treatment: 82

Total # discharges with "Living Situation" question answered: 77

% youth discharged to a home setting: 52% (40/77)

Providers	# incarcerated	# to higher	# to residential	# to other	# to home	# Discharges	
		level of care	care		with info	% home	
Aroostook Mental Health Services	0	0	0	0	2	2	100%
Becket Houses of Maine	0	2	1	6	8	17	47%
Community Health & Counseling Services	0	0	0	0	1	1	100%
KidsPeace	1	0	1	0	2	4	50%
NFI North	1	1	0	1	3	6	50%
Northern Lighthouse	0	0	0	0	1	1	100%
Spurwink	2	2	8	3	2	17	12%
Sweetser	0	1	4	2	20	27	74%
The Opportunity Alliance	0	0	1	0	1	2	50%
Total	4	6	15	12	40	77	52%

The previous table depends on Providers answering the question about "Living Situation At Discharge". The table below shows how many discharges were entered into APS CareConnection and how many entered the information about "Living Situation At Discharge".

<u>Providers</u>	<u># of Discharges with living situation entered</u>	<u>Total # of Discharges</u>
Aroostook Mental Health Services	2	2
Becket Houses of Maine	17	17
Community Health & Counseling Services	1	1
KidsPeace	4	4
NFI North	6	7
Northern Lighthouse	1	1
Spurwink	17	20
Sweetser	27	28
The Opportunity Alliance	2	2

**OCFS Provider Contract Performance Measures Report:
Child PNMI Services Treatment Outcome Standard- 85% Do Not Access ITRT Within 90 days of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

04/01/2015 To 06/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.

What This Report Measures: The number of youth discharged from residential treatment and whether or not they return to a residential treatment within 90 days. It includes both in state and out of state residential treatment. This report is about youth discharged from the previous quarter. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnecton system as well as the youth whose authorization for the service has expired. All youth are included regardless of the stated living situation at discharge. The only exception is a youth who has a new authorization for residential treatment within 1 day is presumed to have transferred. In this report, this type of documented transfer is not counted as a discharge and is not included in the numerator or denominator.

Total number of youth discharged from residential treatment: 69

Total number of youth who did NOT return to residential treatment within 90 days: 58

Percent of youth who did NOT return to residential treatment within 90 days: 84.1%

Providers	# NOT returning to residential treatment		% NOT returning to residential treatment		Target Met?
	within 90 days	# Discharged	within 90 days		
* Section 97 ITRT Eligibility Determination *	1	1	100.0%		Target Met
Aroostook Mental Health Services	3	3	100.0%		Target Met
Becket Houses of Maine	10	11	90.9%		Target Met
KidsPeace	4	4	100.0%		Target Met
NFI North	13	14	92.9%		Target Met
Northern Lighthouse	1	2	50.0%		Target Not Met
Spurwink	12	14	85.7%		Target Met
Sweetser	14	20	70.0%		Target Not Met
	58	69	84.1%		

**OCFS Provider Contract Performance Measures Report:
Child PNMI Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.

What This Report Measures: The number of youth discharged from residential treatment and whether or not they return to a residential treatment within 1 year. This report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnecton system as well as the youth whose authorization for the service has expired. All youth are included regardless of the stated living situation at discharge. The only exception is a youth who has a new authorization for residential treatment within 1 day is presumed to have transferred. In this report, this type of documented transfer is not counted as a discharge and is not included in the numerator or denominator.

Total number of youth discharged from residential treatment: 102

Total number of youth who did NOT return to residential treatment within 1 year: 74

Percent of youth who did NOT return to residential treatment within 1 year: 73%

Providers	<u># NOT returning to residential treatment</u>	<u># Discharged</u>	<u>% NOT returning to residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Aroostook Mental Health Services	2	2	100%
Becket Houses of Maine	8	11	73%
Community Health & Counseling Services	1	1	100%
Harbor Family Services	10	15	67%
KidsPeace	2	2	100%
NFI North	10	17	59%
Northern Lighthouse	1	1	100%
Port Resources	0	1	0%
Spurwink	13	16	81%
Sweetser	25	33	76%
The Opportunity Alliance	2	3	67%
	74	102	73%

**OCFS Provider Contract Performance Measures Report:
HCT Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home and Community Based Treatment (HCT)** is a higher intensity community-based mental health service than Outpatient Therapy but a lower intensity service than Children's Assertive Community Treatment (ACT). There are 3 types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.

What This Report Measures: The number of youth discharged from HCT and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment.

Total number of youth discharged from Home and Community Based Treatment: 775

Total number of youth who did NOT enter residential treatment within 1 Year: 724

Percent of youth who did NOT enter residential treatment within 1 Year: 93%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
Aroostook Mental Health Services	12	13	92%
Assistance Plus	16	18	89%
Care & Comfort	31	32	97%
Casa, Inc.	5	5	100%
Catholic Charities Maine	12	15	80%
Christopher Aaron Counseling Center	16	16	100%
Community Care	43	43	100%
Community Health & Counseling Services	12	12	100%
Connections for Kids	27	29	93%
Counseling Services Inc.	67	69	97%
Families United	10	11	91%
Harbor Family Services	2	2	100%
Home Counselors Inc	8	9	89%
Kennebec Behavioral Health	93	97	96%
KidsPeace	25	25	100%
MAS Home Care of Maine	53	55	96%
Mid Coast Mental Health	1	1	100%
New Day Counseling	2	2	100%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
Northern Lighthouse	3	3	100%
Northern Maine General	1	1	100%
Port Resources	13	13	100%
Providence	88	106	83%
Saco River Health Service	16	17	94%
Sequel Care of Maine	29	31	94%
Smart Child & Family Services	5	7	71%
Spurwink	31	31	100%
St. Andre Homes	1	1	100%
Sweetser	17	17	100%
Tri-County Mental Health	36	42	86%
United Cerebral Palsy	43	45	96%
Woodfords Family Services	6	7	86%
	724	775	93%

**HCT MST Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 01/01/2015 and 03/31/2015 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families.
- **Multisystemic Therapy (MST)** is an evidenced based type of HCT for youth with serious anti-social behavior and involvement in the juvenile justice system.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare. Youth in the MST service who are funded through the State Department of Corrections rather than MaineCare are not currently included in this report.

What This Report Measures: The number of members discharged from the HCT-MST service after 6 months or more and whether or not the member receives various intensive mental health services within 6 months of discharge. For example, for a member discharged on March 1, 2013, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT-MST after 6 months or more: 17

of members admitted to child residential facilities (Child PNMI) : 1

Percent admitted to Child PNMI: 6%

of members admitted to children's inpatient psychiatric services:(Child Inpatient): 2

Percent admitted to Child Inpatient: 12%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 2

Percent admitted to a higher level of care: 12%

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Gender								
Female	1	2	0	3	0	0	0	6
Male	0	0	0	0	0	0	0	11
Total	<u>1</u>	<u>2</u>	<u>0</u>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>17</u>

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Child Age Groups								
6-12	1	1	0	1	0	0	0	1
13-17	0	1	0	2	0	0	0	15
18-20	0	0	0	0	0	0	0	1
Total	<u>1</u>	<u>2</u>	<u>0</u>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>17</u>

Note: Age as of 01/01/2015

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Providers								
Aroostook Mental Health Services	0	0	0	1	0	0	0	1
Counseling Services Inc.	0	0	0	0	0	0	0	2
Kennebec Behavioral Health	1	2	0	2	0	0	0	12
Tri-County Mental Health	0	0	0	0	0	0	0	2
Total	<u>1</u>	<u>2</u>	<u>0</u>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>17</u>

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Discharge Type								
MaineCare Funded	1	2	0	3	0	0	0	17
Total	<u>1</u>	<u>2</u>	<u>0</u>	<u>3</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>17</u>

* Some youth in the HCT MST are funded through state Department of Corrections funds. If these youth also have a MaineCare ID, they are included in this report. If not, they do not have a MaineCare ID they are not included since their use of other mental health services is not recorded in APS CareConnection.

**HCT Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 01/01/2015 and 03/31/2015 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families. The types of HCT included in this report are: HCT, HCT-FFT (Family Functional Therapy) and HCT-MST (Multisystemic Therapy). Children in the child welfare system and children living at home are both included.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare. Youth in the MST service who are funded through the State Department of Corrections rather than MaineCare are not currently included in this report.

What This Report Measures: For members discharged from the HCT service after 6 months or more, this report shows what intensive mental health services the members has authorizations for within 6 months of discharge. For example, for a member discharged on March 1, 2013 after 7 months of treatment, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT after 6 months or more: 248

of members admitted to child residential facilities (Child PNMI) : 8

Percent admitted to Child PNMI: 3%

of members admitted to children’s inpatient psychiatric services:(Child Inpatient): 12

Percent admitted to Child Inpatient: 5%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 16

Percent admitted to a higher level of care: 6%

Gender	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	
Female	3	4	2	18	0	0	0	98
Male	5	8	6	26	0	0	0	150
Total	8	12	8	44	0	0	0	248

Child Age Groups	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	
0-3	0	0	0	1	0	0	0	8
4-5	0	1	0	8	0	0	0	38
6-12	4	6	4	24	0	0	0	120
13-17	4	5	4	11	0	0	0	80
18-20	0	0	0	0	0	0	0	2
Total	8	12	8	44	0	0	0	248

Note: Age as of 01/01/2015

* Youth who lack MaineCare IDs are not included since their use of other mental health services is not recorded in APS CareConnecton.

Providers	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	<u>Discharges</u>
Aroostook Mental Health Services	0	1	0	3	0	0	0	3
Assistance Plus	0	0	0	0	0	0	0	3
Bangor Counseling Center	0	0	0	0	0	0	0	1
Care & Comfort	0	0	0	2	0	0	0	8
Casa, Inc.	0	0	0	1	0	0	0	5
Christopher Aaron Counseling Center	1	1	1	1	0	0	0	5
Community Care	0	0	0	6	0	0	0	24
Community Health & Counseling Services	0	0	0	0	0	0	0	4
Connections for Kids	0	0	0	2	0	0	0	14
Counseling Services Inc.	0	0	0	0	0	0	0	2
Families United	0	0	0	0	0	0	0	8
Home Counselors Inc	0	0	0	0	0	0	0	1
Kennebec Behavioral Health	2	4	1	7	0	0	0	36
KidsPeace	0	1	0	2	0	0	0	14
Maine Immigrant and Refugee Services	0	0	0	0	0	0	0	1
MAS Home Care of Maine	0	0	0	1	0	0	0	12
New Day Counseling	0	0	0	0	0	0	0	2
Northern Lighthouse	0	0	0	2	0	0	0	3
Port Resources	1	1	0	0	0	0	0	4
Providence	2	2	4	6	0	0	0	41
Saco River Health Service	0	0	0	0	0	0	0	4
Sequel Care of Maine	0	0	0	1	0	0	0	8
Smart Child & Family Services	1	0	1	0	0	0	0	7
Spurwink	0	0	0	1	0	0	0	11
St. Andre Homes	0	0	0	1	0	0	0	2
Sweetser	0	0	0	0	0	0	0	2
Tri-County Mental Health	0	0	0	0	0	0	0	4
United Cerebral Palsy	1	1	1	7	0	0	0	15
Woodfords Family Services	0	1	0	1	0	0	0	4
Total	8	12	8	44	0	0	0	248

**HCT FFT Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 01/01/2015 and 03/31/2015 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families.
- **HCT FFT** is a type of HCT. FFT stands Functional Family Therapy. FFT is an evidenced-based family treatment model. The FFT program assists the youth and the family in making incremental, positive changes that lead to enhanced family functioning and reduced risk.
- **Courtesy Review** APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare.

What This Report Measures: For members discharged from the HCT FFT service after 6 months or more, this report shows what intensive mental health services the members has authorizations for within 6 months of discharge. For example, for a member discharged on March 1, 2013 after 7 months of treatment, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT-FFT after 6 months or more: 19

of members readmitted to any type of HCT: 4

Percent readmitted To HCT: 21%

of members admitted to child residential facilities (Child PNMI) : 0

Percent admitted to Child PNMI: 0%

of members admitted to children’s inpatient psychiatric services:(Child Inpatient): 2

Percent admitted to Child Inpatient: 11%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 2

Percent admitted to a higher level of care: 11%

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Gender								
Female	0	1	1	1	0	0	0	9
Male	0	1	1	3	0	0	0	10
Total	0	2	2	4	0	0	0	19

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Child Age Groups								
6-12	0	0	0	2	0	0	0	3
13-17	0	2	2	2	0	0	0	16
Total	0	2	2	4	0	0	0	19

Note: Age as of 01/01/2015

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Providers								
Catholic Charities Maine	0	2	2	2	0	0	0	12
Northern Lighthouse	0	0	0	1	0	0	0	1
Spurwink	0	0	0	1	0	0	0	6
Total	0	2	2	4	0	0	0	19

* Youth who lack MaineCare IDs are not included since their use of other mental health services is not recorded in APS CareConnecton.

APS Quarterly Report Child Perf Measure Targeted Case Management (TCM) to a higher level of care
Goal: At least 90% are NOT admitted to child inpatient or child PNMI within 6 months of discharge from TCM
(Includes MaineCare members and Courtesy Reviews done by APS)

01/01/2015 to 03/31/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. APS reports on the following three types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The percent of TCM recipients who are NOT admitted to a higher level of care within 6 months of discharge from TCM. A higher level of care is defined as either residential treatment (PNMI) or inpatient psychiatric hospitalization. For this report, transfers to another service such as inpatient services ARE included. If a child is admitted to more than one service or multiple times to the same service, they are counted only once.

Total number of members discharged from TCM: 1,261

of members admitted to either residential treatment or inpatient psych services : 42 Percent NOT admitted to either higher level of care: 96.7%

Type of TCM	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Any higher level of care</u>	<u>% NOT Admitted to higher level of care</u>	<u>Total # of Discharges</u>
Targeted Case Management - Behavioral Health	12	31	38	96	957
Targeted Case Management - Developmental Disabilities	0	4	4	99	304
Total	12	35	42	96.7	1,261
Providers	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Any higher level of care</u>	<u>% NOT Admitted to higher level of care</u>	<u>Total # of Discharges</u>
Acadia Healthcare	0	0	0	100	2
Aroostook Mental Health Services	0	1	1	96	23
Assistance Plus	0	0	0	100	14
Bridge to Success	0	0	0	100	12
Broadreach Family & Community Services	0	0	0	100	38
Care & Comfort	0	0	0	100	50
Catholic Charities Maine	0	1	1	98	52
Charlotte White Center	0	0	0	100	20
Christopher Aaron Counseling Center	0	0	0	100	1
Community Care	0	0	0	100	8
Community Concepts	0	1	1	93	15
Community Counseling Center	1	2	2	83	12
Community Health & Counseling Services	1	1	2	95	37
Connections for Kids	0	0	0	100	12
Counseling Services Inc.	3	3	5	84	31
Easter Seals Maine	0	0	0	100	3
Evergreen Behavioral Services	0	1	1	83	6
Families Matter, Inc	0	0	0	100	12
Families United	0	0	0	100	2
Fullcircle Supports Inc	0	0	0	100	6
Graham Behavioral Services	0	0	0	100	11
Health Affiliates Maine	0	2	2	91	22
Home Counselors Inc	0	1	1	80	5

Providers	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Any higher level of care</u>	<u>% NOT Admitted to higher level of care</u>	<u>Total # of Discharges</u>
Independence Association	0	0	0	100	10
Kennebec Behavioral Health	0	1	1	99	94
KidsPeace	0	0	0	100	37
Learning Works	0	0	0	100	17
Life by Design	0	0	0	100	32
Maine Behavioral Health Organization	1	0	1	94	18
Maine Childrens Home for Little Wanderers	0	0	0	100	1
Maine Immigrant and Refugee Services	0	0	0	100	2
MAS Home Care of Maine	0	0	0	100	20
Merrymeeting	0	1	1	90	10
Mid Coast Mental Health	0	2	2	75	8
Milestones Family Services	0	0	0	100	17
Mobius, Inc	0	0	0	100	3
Morrison Developmental Center	0	0	0	100	3
New Day Counseling	0	0	0	100	13
Northern Lighthouse	0	0	0	100	6
Northern Maine General	1	0	1	83	6
Penquis	0	0	0	100	12
Pine Tree Society	0	0	0	100	5
Providence	3	4	4	92	49
Saco River Health Service	0	0	0	100	8
Sequel Care of Maine	0	0	0	100	4
Smart Child & Family Services	0	0	0	100	1
Special Childrens Friends	0	0	0	100	17
Spurwink	0	5	5	96	125
Stepping Stones	0	0	0	100	2
Sweetser	1	3	4	94	70
The Childrens Center	0	0	0	100	19
The Opportunity Alliance	0	0	0	100	25
The Progress Center	0	0	0	100	4
Tri-County Mental Health	0	3	3	95	59
United Cerebral Palsy	0	0	0	100	15
Waban Projects	0	0	0	100	14
Wings	1	3	4	96	103
Woodfords Family Services	0	0	0	100	38
Total	12	35	42	96.7	1,261

**OCFS Provider Contract Performance Measures Report:
TCM Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. It is for youth under age 21. There are two types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities

What This Report Measures: The number of youth discharged from any of the three types of Targeted Case Management (TCM) and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

Total number of youth discharged from targeted case management: 1,786

Total number of youth who did NOT enter residential treatment within 1 Year: 1,762

Percent of youth who did NOT enter residential treatment within 1 Year: 99%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
	Aroostook Mental Health Services	31	34
Assistance Plus	50	51	98%
Behavior Health Solutions for Me	6	6	100%
Blue School	5	5	100%
Bridge to Success	21	21	100%
Broadreach Family & Community Services	58	58	100%
Care & Comfort	33	33	100%
Catholic Charities Maine	71	72	99%
Charlotte White Center	21	21	100%
Christopher Aaron Counseling Center	8	8	100%
Community Care	8	8	100%
Community Concepts	14	14	100%
Community Counseling Center	26	26	100%
Community Health & Counseling Services	46	46	100%
Connections for Kids	10	10	100%
Cornerstone Behavioral Healthcare	2	2	100%
Counseling Services Inc.	59	60	98%
Day One	1	1	100%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Easter Seals Maine	1	1	100%
Families Matter, Inc	14	14	100%
Families United	4	4	100%
Fullcircle Supports Inc	11	11	100%
Graham Behavioral Services	5	5	100%
Group Main Stream, Inc., dba GMS	1	1	100%
Harbor Family Services	32	34	94%
Health Affiliates Maine	46	46	100%
Home Counselors Inc	10	10	100%
Independence Association	14	14	100%
Kennebec Behavioral Health	90	93	97%
KidsPeace	37	37	100%
Learning Works	18	18	100%
Life by Design	31	32	97%
Maine Behavioral Health Organization	10	10	100%
Maine Immigrant and Refugee Services	6	6	100%
MAS Home Care of Maine	29	29	100%
Merrymeeting	8	8	100%
Mid Coast Mental Health	32	32	100%
Milestones Family Services	16	16	100%
Mobius, Inc	1	1	100%
New Day Counseling	5	5	100%
Northern Lighthouse	5	5	100%
Northern Maine General	4	4	100%
Penquis	7	7	100%
Pine Tree Society	1	1	100%
Providence	69	69	100%
Saco River Health Service	1	1	100%
Sequel Care of Maine	8	8	100%
Smart Child & Family Services	16	17	94%
Special Childrens Friends	14	14	100%
Spurwink	148	149	99%
Stepping Stones	3	3	100%
Sweetser	148	153	97%
The Childrens Center	28	28	100%
The Opportunity Alliance	83	83	100%
The Progress Center	13	13	100%
Tri-County Mental Health	99	101	98%
United Cerebral Palsy	57	58	98%
Waban Projects	29	29	100%
Wings	100	102	98%
Woodfords Family Services	38	38	100%
	1,762	1,786	99%

**OCFS Provider Contract Performance Measures Report:
TCM Services Treatment Outcome Standard- 100% Receive TCM in 180 Days or Less
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2015 To 09/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. It is for youth under age 21 There are three types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities.
 - **WrapME** is targeted case management for children with severe challenges. It uses the evidence-based Wrap-around model.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnecton whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnecton that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the start date of the service to determine the number of days the member waited.

What This Report Measures : The number of members authorized for targeted case management (TCM) and whether they were assigned to a case manager in the TCM service within 0-30, 31-90, 91-180 and 180+ working days.

Total Admissions: 1,701

Total Admissions within 180 days: 1,697

% of admissions within 180 Days: 99.76%

	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
Gender							
Female	648	18	1	2	667	669	99.7%
Male	995	33	2	2	1,030	1,032	99.8%
Total	1,643	51	3	4	1,697	1,701	99.8%
Child Age Groups							
0-3	164	3	0	1	167	168	99.4%
4-5	212	4	0	0	216	216	100.0%
6-12	722	27	2	1	751	752	99.9%
13-17	487	17	1	2	505	507	99.6%
18-20	58	0	0	0	58	58	100.0%
Total	1,643	51	3	4	1,697	1,701	99.8%
Note: Age as of 07/01/2015							
Child/Adult							
Children (ages 0 -17)	1,585	51	3	4	1,639	1,643	99.8%
Adult (ages 18+)	58	0	0	0	58	58	100.0%
Total	1,643	51	3	4	1,697	1,701	99.8%
Note: Age as of 07/01/2015							
SMI							
SMI	9	0	0	0	9	9	100.0%
Not SMI	1,634	51	3	4	1,688	1,692	99.8%
Total	1,643	51	3	4	1,697	1,701	99.8%

	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
District							
District 1/ York County	243	3	0	0	246	246	100.0%
District 2/ Cumberland County	233	4	0	0	237	237	100.0%
District 3/ Androscoggin, Franklin, and Oxford Counties	343	5	0	0	348	348	100.0%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	186	5	2	0	193	193	100.0%
District 5/ Somerset and Kennebec Counties	295	30	0	2	325	327	99.4%
District 6/ Piscataquis and Penobscot Counties	156	4	0	0	160	160	100.0%
District 7/ Washington and Hancock Counties	76	0	1	2	77	79	97.5%
District 8/ Aroostook County	105	0	0	0	105	105	100.0%
Unknown	6	0	0	0	6	6	100.0%
Total	1,643	51	3	4	1,697	1,701	99.8%
Region							
Region 1	476	7	0	0	483	483	100.0%
Region 2	824	40	2	2	866	868	99.8%
Region 3	337	4	1	2	342	344	99.4%
Unknown	6	0	0	0	6	6	100.0%
Total	1,643	51	3	4	1,697	1,701	99.8%

Providers	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
Acadia Healthcare	33	0	0	0	33	33	100.0%
Alliance Case Management	1	0	0	0	1	1	100.0%
AngleZ Behavioral Health Services-13 TCM	6	0	0	0	6	6	100.0%
Aroostook Mental Health Services	20	0	1	0	21	21	100.0%
Assistance Plus	14	1	0	0	15	15	100.0%
Behavior Health Solutions for Me	2	0	0	0	2	2	100.0%
Blue School	2	0	0	0	2	2	100.0%
Bridge to Success	25	0	0	0	25	25	100.0%
Bright Future Healthier You	4	0	0	0	4	4	100.0%
Broadreach Family & Community Services	36	0	0	0	36	36	100.0%
Care & Comfort	32	0	0	0	32	32	100.0%
Catholic Charities Maine	55	0	0	1	55	56	98.2%
Charlotte White Center	8	1	0	0	9	9	100.0%
Christopher Aaron Counseling Center	1	0	0	0	1	1	100.0%
Community Care	10	1	0	0	11	11	100.0%
Community Concepts	11	1	0	0	12	12	100.0%
Community Counseling Center	25	0	0	0	25	25	100.0%
Community Health & Counseling Services	22	1	0	3	23	26	88.5%
Connections for Kids	7	0	0	0	7	7	100.0%
Counseling Services Inc.	33	3	0	0	36	36	100.0%
Day One	4	0	0	0	4	4	100.0%
Easter Seals Maine	7	0	0	0	7	7	100.0%
Evergreen Behavioral Services	2	0	0	0	2	2	100.0%
Families Matter, Inc	28	0	0	0	28	28	100.0%
Fullcircle Supports Inc	11	0	0	0	11	11	100.0%
Group Main Stream, Inc., dba GMS	2	0	0	0	2	2	100.0%
Health Affiliates Maine	32	0	0	0	32	32	100.0%
Home Counselors Inc	14	1	0	0	15	15	100.0%
Independence Association	13	0	0	0	13	13	100.0%
Kennebec Behavioral Health	121	26	0	0	147	147	100.0%
KidsPeace	53	0	0	0	53	53	100.0%
Learning Works	20	0	0	0	20	20	100.0%
Life by Design	37	0	0	0	37	37	100.0%
Maine Behavioral Health Organization	10	0	0	0	10	10	100.0%
Maine Childrens Home for Little Wanderers	23	0	0	0	23	23	100.0%
Maine Immigrant and Refugee Services	7	0	0	0	7	7	100.0%
MAS Home Care of Maine	36	1	0	0	37	37	100.0%
Merrymeeting	10	0	0	0	10	10	100.0%
Mid Coast Mental Health	23	1	0	0	24	24	100.0%
Milestones Family Services	15	0	0	0	15	15	100.0%
Morrison Developmental Center	5	0	0	0	5	5	100.0%
New Day Counseling	13	0	0	0	13	13	100.0%
Northern Lighthouse	19	0	0	0	19	19	100.0%

	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
Northern Maine General	2	0	0	0	2	2	100.0%
Penquis	15	0	0	0	15	15	100.0%
Pine Tree Society	11	0	0	0	11	11	100.0%
Providence	76	9	2	0	87	87	100.0%
Saco River Health Service	28	0	0	0	28	28	100.0%
Sequel Care of Maine	20	0	0	0	20	20	100.0%
Sinfonia Family Services	3	0	0	0	3	3	100.0%
Smart Child & Family Services	4	1	0	0	5	5	100.0%
Special Childrens Friends	12	0	0	0	12	12	100.0%
Spurwink	151	1	0	0	152	152	100.0%
Sunrise Opportunities	3	0	0	0	3	3	100.0%
Sweetser	123	0	0	0	123	123	100.0%
The Childrens Center	35	1	0	0	36	36	100.0%
The Opportunity Alliance	53	0	0	0	53	53	100.0%
The Progress Center	12	0	0	0	12	12	100.0%
Tri-County Mental Health	80	0	0	0	80	80	100.0%
United Cerebral Palsy	10	2	0	0	12	12	100.0%
Waban Projects	21	0	0	0	21	21	100.0%
Wings	86	0	0	0	86	86	100.0%
Woodfords Family Services	46	0	0	0	46	46	100.0%
Total	1,643	51	3	4	1,697	1,701	99.8%

**OCFS Provider Contract Performance Measures Report:
Outpatient Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Outpatient** - Outpatient therapy includes clinic based visits, individual therapy with a single clinician & group therapy.

What This Report Measures: The number of youth discharged from children outpatient services and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

Total number of youth discharged from Outpatient Services: 3,456

Total number of youth who did NOT enter residential treatment within 1 Year: 3,417

Percent of youth who did NOT enter residential treatment within 1 Year: 99%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Allyson Goldman-Putnam ,LCSW	4	4	100%
AngleZ Behavioral Health Services - OPT	7	7	100%
Arnold G. Davis Jr LCSW	1	1	100%
Aroostook Mental Health Services	72	72	100%
Assistance Plus	11	11	100%
Audrey Stempel	1	1	100%
Bangor Counseling Center	25	25	100%
Barron Morse Counseling, PLLC	3	3	100%
Behavior Health Solutions for Me	1	1	100%
Behavioral Health Center	14	14	100%
Care & Comfort	141	142	99%
Casa, Inc.	3	3	100%
Central Maine Family Counseling	4	4	100%
Charles May	2	2	100%
Charlotte White Center	4	5	80%
Children's Center - Section 65	9	9	100%
Choices	1	1	100%
Christopher Aaron Counseling Center	20	20	100%
Community Care	7	7	100%
Community Counseling Center	93	96	97%
Community Health & Counseling Services	135	138	98%

Providers	# NOT entering residential treatment within 1 year	# Discharged	% NOT entering residential treatment within 1 year
Connections for Kids	16	17	94%
Cornerstone Behavioral Healthcare	81	82	99%
Counseling Services Inc.	149	150	99%
Crisis and Counseling Centers	3	3	100%
Cynthia Peterson, LCSW	1	1	100%
Darlene St. John LCPC	5	5	100%
Day One	7	7	100%
Debra Papps LCSW	3	4	75%
Diane White Rognerud	1	1	100%
Dirigo Counseling Clinic	7	7	100%
Ellen Crosby	1	1	100%
Empowerment Enterprises	1	1	100%
Facing Change	2	2	100%
Families United	3	3	100%
Farwell North, Inc	19	19	100%
Food Addiction and Chemical Dependency Consultants	3	3	100%
Full Circle Wellness Center	8	8	100%
Harbor Family Services	31	32	97%
Harry Katz, LCSW	1	1	100%
Healing Hearts LLC	1	1	100%
Health Affiliates Maine	290	292	99%
HealthReach network	3	3	100%
Higher Ground Services	12	12	100%
Home Counselors Inc	8	8	100%
Jeffrey Bickford LCPC, LADC	2	2	100%
Jennifer Finck, LCSW	2	2	100%
Karen Repasky	4	4	100%
Kathryn Clement,PC	3	3	100%
Kathryn Klein, LCSW	4	4	100%
Kennebec Behavioral Health	298	301	99%
KidsPeace	12	12	100%
Kindred Spirits	2	2	100%
KP Counseling PLLC	6	6	100%
Kristin Hurley, L.C.P.C.	1	1	100%
Kryse S. Skye, LCSW	5	5	100%
Laurie Redfield	11	11	100%
Learning Works	13	13	100%
Life by Design	72	72	100%
Lynda Flood	3	3	100%
Maine Behavioral Health Organization	5	5	100%
Maine Childrens Home for Little Wanderers	14	14	100%
Manna Inc	1	1	100%
Mary Auger	3	3	100%
Mary-Lynn Corradi, LCPC	1	1	100%
MAS Home Care of Maine	11	11	100%
Mid Coast Mental Health	36	36	100%
Mount Washington Valley Psychological Services	2	2	100%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
New Day Counseling	7	7	100%
Nicole Richman, LCSW	1	1	100%
Northeast Occupational Exchange	15	15	100%
Northern Lighthouse	4	5	80%
Northern Maine General	1	1	100%
Oceanside Community Services, LLP	23	23	100%
Options Counseling	1	1	100%
Oxford County Mental Health Services	1	1	100%
Painted Horses LLC	3	3	100%
Providence	92	93	99%
Randi Sheehan LCSW	1	1	100%
Regina P Marsters LCPC-C	2	2	100%
Renewed Hope	2	2	100%
Resources for Resolving Violence	1	1	100%
Respectful Living, LLC	1	1	100%
Rose A. Bradshaw	1	1	100%
Saco River Health Service	1	1	100%
Sequel Care of Maine	6	6	100%
Shelley Palmer- Burgess, LCSW	1	1	100%
Smart Child & Family Services	24	24	100%
Spurwink	240	241	100%
St. Andre Homes	3	3	100%
Stepping Stones	2	2	100%
Summer Sunderland, LCSW	1	1	100%
Sweetser	1,036	1,053	98%
The Opportunity Alliance	16	16	100%
Tri-County Mental Health	186	187	99%
Umbrella Mental Health Services	4	4	100%
United Cerebral Palsy	4	4	100%
Vicky L. Willey, PhD.	5	5	100%
Waban Projects	1	1	100%
Woodfords Family Services	6	6	100%
	3,417	3,456	99%

**OCFS Provider Contract Performance Measures Report:
Med Management Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Med Management-** Medication management is provided by a physician. It includes prescribing and monitoring of mental health related medications.

What This Report Measures: The number of youth discharged from children med management and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

The number of youth discharged from children med management 703

Total number of youth who did NOT enter residential treatment within 1 Year: 682

Percent of youth who did NOT enter residential treatment within 1 Year: 97%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Aroostook Mental Health Services	10	10	100%
Assistance Plus	33	33	100%
Community Counseling Center	34	35	97%
Community Health & Counseling Services	86	94	91%
Cornerstone Behavioral Healthcare	62	62	100%
Counseling Services Inc.	95	97	98%
Harbor Family Services	64	65	98%
Health Affiliates Maine	2	2	100%
Julie Racine	5	5	100%
Kennebec Behavioral Health	118	119	99%
Maine Behavioral Health Organization	2	3	67%
Oceanside Community Services, LLP	7	7	100%
Port Resources	4	4	100%
Smart Child & Family Services	3	4	75%
Spurwink	10	12	83%
Sweetser	138	142	97%
The Opportunity Alliance	6	6	100%
Woodfords Family Services	3	3	100%
	682	703	97%

OCFS Provider Contract Performance Measures Report: Section 28 Community-Based Services Outcome Standard
95% of those currently in Sec 28 do not access PNMI or Inpatient during quarter
(Includes MaineCare members and Courtesy Reviews done by APS)
Report Dates: 07/01/2015 To 09/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. School-based Section 28 is not included.
- **Code H2021HI** is Services for Children with Cognitive Impairments and Functional Limitations - 1:1. Note, neither H2021HI for School-based services nor H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 is included in this report.
- **Residential care:** Youth in need of residential treatment services are served in PNMI's. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II.** Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Inpatient psychiatric services** Children's inpatient psychiatric services are available at two private psychiatric hospitals (Acadia and Spring Harbor) and two community hospitals that have inpatient psychiatric units that serve children (Northern Maine Medical Center & St Mary's Regional Medical Center). Out of state hospitals such as Hampstead Hospital are also included. Hospitals designate whether they are providing child or adult inpatient psychiatric services to a member. Thus, an 18 year old may be authorized for adult inpatient services or a 20 year old may be receiving children's inpatient services.

What This Report Measures: The percent of youth currently in the community-based Section 28 service (H2021HI) who do not have any inpatient admissions or child PNMI admissions during the quarter. The denominator includes youth with an authorization in APS CareConnection at any point in the quarter, including youth who were discharged during the quarter. The numerator is everyone in the denominator who had no authorizations for child inpatient psychiatric services or for child PNMI during the quarter. If the youth was admitted to Section 28 during the quarter, any inpatient or child PNMI admissions prior to entering the Section 28 service are not included

of unique members* in Section 28 Community-based services (H2021HI only) : 2,453

of unique members admitted to either inpatient or PNMI or both: 32

% of members not admitted: 98.7%

* Note: If a youth has authorizations for community-based Section 28 from two different providers, then they are counted twice.

<u>Providers</u>	<u># of members</u>	<u># admitted to inpatient OR child PNMI</u>	<u>% NOT Admitted</u>	<u>Target Met?</u>
AB Home Healthcare	24	0	100.0%	Target Met
Abazinnia	11	0	100.0%	Target Met
Addison Point Agency	134	1	99.3%	Target Met
Affinity	63	0	100.0%	Target Met
Aroostook Home Health Services	34	0	100.0%	Target Met
Aspire Behavioral Health and Counseling	20	1	95.0%	Target Met
Assistance Plus	39	2	94.9%	Target Not Met
Back to Basics Behavioral Health Services	82	0	100.0%	Target Met
Bangor Counseling Center	8	0	100.0%	Target Met
Blue School	54	2	96.3%	Target Met
Bridges of Maine, LLC	97	1	99.0%	Target Met
Broadreach Family & Community Services	27	0	100.0%	Target Met

<u>Providers</u>	<u># of members</u>	<u># admitted to inpatient OR child PNMI</u>	<u>% NOT Admitted</u>	<u>Target Met?</u>
Care & Comfort	305	4	98.7%	Target Met
Casa, Inc.	26	0	100.0%	Target Met
Catch A Falling Star	7	0	100.0%	Target Met
Catholic Charities Maine	1	0	100.0%	Target Met
Central Aroostook Association- Section 28	14	1	92.9%	Target Not Met
Charlotte White Center	69	0	100.0%	Target Met
Children's Center Section 28	3	0	100.0%	Target Met
Choices are for Everyone, Inc.	15	0	100.0%	Target Met
Compass Behavioral Health,LLC	22	0	100.0%	Target Met
Connections for Kids	63	1	98.4%	Target Met
Creative Innovations, Inc	33	1	97.0%	Target Met
Downeast Horizons Inc	74	2	97.3%	Target Met
Fullcircle Supports Inc	15	0	100.0%	Target Met
Gallant Therapy Services	44	0	100.0%	Target Met
Graham Behavioral Services	21	0	100.0%	Target Met
Growing Opportunities Inc	47	1	97.9%	Target Met
Independence Association	7	0	100.0%	Target Met
Living Innovations Support Services	65	1	98.5%	Target Met
Maine Behavioral Health Organization	5	0	100.0%	Target Met
Maine Vocational & Rehabilitation Assoc.	18	0	100.0%	Target Met
MAS Home Care of Maine	243	3	98.8%	Target Met
MC Community Services, LLC	58	0	100.0%	Target Met
Merrymeeting	42	0	100.0%	Target Met
Northern Lighthouse	106	1	99.1%	Target Met
Northern Maine General	43	0	100.0%	Target Met
Port Resources	9	1	88.9%	Target Not Met
Progressions Behavioral Health Svc	33	0	100.0%	Target Met
Providence	1	0	100.0%	Target Met
R.I.S.E. Inc	1	0	100.0%	Target Met
Saco River Health Service	84	0	100.0%	Target Met
Sequel Care of Maine	188	4	97.9%	Target Met
Special Childrens Friends	1	0	100.0%	Target Met
Sunrise Opportunities	25	0	100.0%	Target Met
The Progress Center	35	1	97.1%	Target Met
United Cerebral Palsy	63	3	95.2%	Target Met
Watch Me Shine Preschool, Inc.	8	0	100.0%	Target Met
Woodfords Family Services	66	1	98.5%	Target Met
Total	2,453	32	98.7%	

Report Number: 38d

Members Discharged from Children's Inpatient Psychiatric Facilities Who Are Readmitted within 180 days

(Includes MaineCare members and Courtesy Reviews done by APS)

Report Dates: 01/01/2015 To 03/31/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Inpatient psychiatric services** Children's inpatient psychiatric services are available at two private psychiatric hospitals (Acadia and Spring Harbor) and two community hospitals that have inpatient psychiatric units that serve children (Northern Maine Medical Center & St Mary's Regional Medical Center). Hospitals designate whether they are providing child or adult inpatient psychiatric services to a member. Thus, an 18 year old may be authorized for adult inpatient services or a 20 year old may be receiving children's inpatient services.
- **Readmission:** A readmission occurs when a MaineCare member is discharged in CareConnecton® from any child inpatient psychiatric service and is then admitted into a same or different inpatient psychiatric facility within 2 - 180 days.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: Quarterly count of members discharged from children's inpatient psychiatric facilities who are readmitted to the same or a different hospital. The first column shows members readmitted from 2 to 180 Days later. The second column shows members not readmitted within 180 Days and the third column is the total number of discharges. Members may have more than one discharge. Note that this report is about members in inpatient psychiatric services seven to ten months ago. This allows 180 days to pass before looking to assess if members were readmitted within that time frame.

Total number of discharges:** 400

Total number of members readmitted within 180 days: 111

% of members discharged from inpatient psychiatric facilities that are readmitted within 180 days: 27.8%

	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
All Members			
Total MaineCare	111	289	400
Total	111	289	400
	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
Gender			
Female	62	144	206
Male	49	145	194
Total	111	289	400
	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
Age Groups			
4-5	4	4	8
6-12	34	87	121
13-17	70	179	249
18-20	3	19	22
Total	111	289	400
	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
Child/Adult			
Children (ages 0 -17)	108	270	378
Adult (ages 18+)	3	19	22
Total	111	289	400

*Note: Discharges and authorizations can be processed retroactively causing numbers to fluctuate when rerunning reports.

**Note: Members readmitted in 0 or 1 days are excluded from this report. These are likely either transfers or continued stays, not true readmissions.

***Members age 22 & over reported as receiving child inpatient psychiatric services are removed from this report. These were most likely data entry errors.

District	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
District 1/ York County	9	47	56
District 2/ Cumberland County	15	51	66
District 3/ Androscoggin, Franklin, and Oxford Counties	26	67	93
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	24	42	66
District 5/ Somerset and Kennebec Counties	11	34	45
District 6/ Piscataquis and Penobscot Counties	21	32	53
District 7/ Washington and Hancock Counties	1	8	9
District 8/ Aroostook County	3	6	9
Unknown	1	2	3
Total	111	289	400
Providers	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
Acadia Hospital	42	96	138
Brattleboro Retreat	0	1	1
Hampstead Hospital	0	2	2
Northern Maine Medical Center	5	7	12
Spring Harbor Hospital	36	122	158
St. Marys Regional Medical Center	28	61	89
Total	111	289	400

**Report 25b Non-Hospitalized Members Assigned to HCT Service within 3 and 7 Working Days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates 04/01/2015 To 06/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Non-hospitalized member** - MaineCare member who is not in an inpatient psychiatric facility at the time of application for services. This is indicated by the member not having an open authorization for inpatient psychiatric services on the day a CFSN is completed or on the day the member is referred for HCT services.
- **Home and Community Based Treatment (HCT)** is a high intensity community-based mental health service. There are several; types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.
 - **HCT - MST Problem Sex Behaviors** is a sub type of HCT MST for children with this issue. If a member is authorized for both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.
 - **HCT MST - Funded by Dept. of Corrections** is a sub type of HCT MST funded with state funds. It is used when the youth is not eligible for MaineCare. If a member is authorized for both HCT MST and HCT MST - Funded by Dept. of Corrections at the same time, only the MaineCare HCT MST service shows on this report in order to avoid showing the same member twice.
- **Prior Authorization (PA)** Whenever a provider is requesting to begin a service with a member, they are required to submit a request for prior authorization (PA) in APS CareConnection.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific HCT team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the date of assignment to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of non-hospitalized members authorized for HCT and whether they

a.) were assigned to HCT service within 3 working days, b.) Waited 4 - 7 working days to be assigned to HCT

or c.) waited longer than 8 days but were eventually assigned to the HCT service.

Total number of non-hospitalized members applying for HCT: 842

Total assigned within 3 working days: 426

% assigned within 3 working days: 51%

Total assigned in 4 - 7 working days: 63

% assigned in 4 -7 working days: 7%

Total assigned within 7 working days: 489

% assigned within 7 working days: 58%

Total assigned after 8 or more working days: 353

% assigned after 8 or more working days: 42%

Service Name	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
HCT - Child Welfare - Master's	15	4	27	46
HCT - Children's Home & Com. Based Tx - Master's	322	54	294	670
HCT - FFT	18	2	6	26
HCT - MST	65	3	26	94
HCT - MST - Problem Sex. Behaviors	6	0	0	6
Total	426	63	353	842
	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	
Gender	<u>days or less</u>	<u>working days</u>	<u>working days</u>	Total
Female	174	27	137	338
Male	252	36	216	504
Total	426	63	353	842

Child Age Groups	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
0-3	24	5	17	46
4-5	48	8	40	96
6-12	204	26	169	399
13-17	145	23	122	290
18-20	5	1	5	11
Total	426	63	353	842

District	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District 1/ York County	53	8	56	117
District 2/ Cumberland County	87	12	55	154
District 3/ Androscoggin, Franklin, and Oxford Counties	96	9	45	150
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	59	1	26	86
District 5/ Somerset and Kennebec Counties	41	17	86	144
District 6/ Piscataquis and Penobscot Counties	52	6	47	105
District 7/ Washington and Hancock Counties	17	5	20	42
District 8/ Aroostook County	16	4	18	38
Unknown	5	1	0	6
Total	426	63	353	842

Providers	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Acadia Healthcare	10	0	0	10
AngleZ Behavioral Health Services-65 HCT	3	0	0	3
Aroostook Mental Health Services	11	3	7	21
Assistance Plus	12	2	3	17
Bangor Counseling Center	1	0	1	2
Care & Comfort	10	7	5	22
Casa, Inc.	3	1	1	5
Catholic Charities Maine	6	0	5	11
Christopher Aaron Counseling Center	11	0	6	17
Community Care	14	4	33	51
Community Health & Counseling Services	3	0	8	11
Connections for Kids	31	0	2	33
Counseling Services Inc.	17	0	1	18
Families United	3	0	2	5
Home Counselors Inc	12	0	3	15
Kennebec Behavioral Health	21	12	74	107
KidsPeace	32	1	4	37
Maine Immigrant and Refugee Services	2	1	5	8
MAS Home Care of Maine	39	0	16	55
New Day Counseling	1	0	0	1
NFI North	2	0	0	2
Northeast Occupational Exchange	1	0	0	1
Northern Lighthouse	6	2	6	14
Port Resources	1	3	7	11
Providence	31	18	127	176
Resources for Resolving Violence	1	1	1	3
Saco River Health Service	3	2	8	13
Sequel Care of Maine	41	1	3	45
Sinfonia Family Services	3	0	1	4
Smart Child & Family Services	8	0	5	13
Spurwink	16	3	5	24
Sunrise Opportunities	2	0	0	2
Sweetser	1	1	4	6
Tri-County Mental Health	48	0	3	51
United Cerebral Palsy	7	1	6	14
Woodfords Family Services	13	0	1	14
Total	426	63	353	842

**Report 25c Hospitalized Members Assigned to HCT Service within 3 and 7 Working Days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates 04/01/2015 To 06/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Hospitalized member** - MaineCare member who is in an inpatient psychiatric facility at the time of application for services. This is indicated by the member having an open authorization for inpatient psychiatric services at the time a CFSN authorization is entered into CareConnection or on the day that the member is referred for HCT services.
- **Home and Community Based Treatment (HCT)** is a high intensity community-based mental health service. There are several; types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.
 - **HCT - MST Problem Sex Behaviors** is a sub type of HCT MST for children with this issue. If a member is authorized for both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.
 - **HCT MST - Funded by Dept. of Corrections** is a sub type of HCT MST funded with state funds. It is used when the youth is not eligible for MaineCare. If a member is authorized for both HCT MST and HCT MST - Funded by Dept. of Corrections at the same time, only the MaineCare HCT MST service shows on this report in order to avoid showing the same member twice.
- **Prior Authorization (PA)** Whenever a provider is requesting to begin a service with a member, they are required to submit a request for prior authorization (PA) in APS CareConnection.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific HCT team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the date of assignment to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of hospitalized members authorized for HCT and whether they a.) were assigned to HCT service within 3 working days, b.) Waited 4 - 7 working days to be assigned to HCT or c.) waited longer than 8 days but were eventually assigned to the HCT service. Note: If a member is assigned to both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.

Total number of hospitalized members applying for HCT: 27

Total assigned within 3 working days: 13	% assigned within 3 working days: 48%
Total assigned in 4 - 7 working days: 3	% assigned in 4 -7 working days: 11%
Total assigned within 7 working days: 16	% assigned within 7 working days: 59%
Total assigned after 8 or more working days: 11	% assigned after 8 or more working days: 41%

Service Name	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
HCT - Children's Home & Com. Based Tx - Master's	7	1	11	19
HCT - MST	6	2	0	8
Total	13	3	11	27

Gender	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
Female	6	2	2	10
Male	7	1	9	17
Total	13	3	11	27

Child Age Groups	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
4-5	2	0	0	2
6-12	7	1	6	14
13-17	4	2	4	10
18-20	0	0	1	1
Total	13	3	11	27

District	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District 1/ York County	2	0	3	5
District 2/ Cumberland County	3	0	2	5
District 3/ Androscoggin, Franklin, and Oxford Counties	2	1	1	4
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	2	0	1	3
District 5/ Somerset and Kennebec Counties	1	2	3	6
District 6/ Piscataquis and Penobscot Counties	0	0	1	1
District 7/ Washington and Hancock Counties	2	0	0	2
Unknown	1	0	0	1
Total	13	3	11	27

Providers	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Acadia Healthcare	0	0	1	1
Aroostook Mental Health Services	1	0	0	1
Christopher Aaron Counseling Center	0	0	1	1
Connections for Kids	1	0	0	1
Counseling Services Inc.	4	0	0	4
Kennebec Behavioral Health	1	2	3	6
MAS Home Care of Maine	2	0	0	2
Providence	1	0	4	5
Sequel Care of Maine	1	0	1	2
Smart Child & Family Services	0	1	0	1
Spurwink	1	0	0	1
Tri-County Mental Health	1	0	0	1
United Cerebral Palsy	0	0	1	1
Total	13	3	11	27

Report Number: 25

**Non-hospitalized Children Assigned a TCM case manager within 7 working days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates: 04/01/2015 To 06/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Non-hospitalized member** - MaineCare member who is not in an inpatient psychiatric facility at the time of application for services. This is indicated by the member not having an open authorization for inpatient psychiatric services on the day a CFSN is completed or on the day the member is referred for TCM services.
- **Targeted Case Management** services are those services provided by a social services or health professional, or other qualified staff, to identify the medical, social, educational and other needs (including housing and transportation) of the eligible member, identify the services necessary to meet those needs, and facilitate access to those services. Case Management consists of intake/assessment, plan of care development, coordination/advocacy, monitoring and evaluation.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific TCM team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with date of assignment to the service to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of non-hospitalized members authorized for targeted case management (TCM) and whether they a.) were assigned to a case manager in the TCM service within 7 working days, b.) waited longer than 8 days but were eventually assigned to the service.

Total number of non-hospitalized youth applying for TCM: 1,882

Total assigned within 7 working days: 1,489 % assigned within 7 working days 1,489/1,882 : 79%

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Gender			
Female	589	157	746
Male	900	236	1,136
Total	1,489	393	1,882

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Child Age Groups			
0-3	155	20	175
4-5	163	48	211
6-12	646	193	839
13-17	455	122	577
18-20	70	10	80
Total	1,489	393	1,882

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Child/Adult			
Children (ages 0 -17)	1,419	383	1,802
Adult (ages 18+)	70	10	80
Total	1,489	393	1,882

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
SMI			
SMI	17	1	18
Not SMI	1,472	392	1,864
Total	1,489	393	1,882

District	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District 1/ York County	210	47	257
District 2/ Cumberland County	257	51	308
District 3/ Androscoggin, Franklin, and Oxford Counties	301	70	371
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	172	41	213
District 5/ Somerset and Kennebec Counties	198	130	328
District 6/ Piscataquis and Penobscot Counties	159	30	189
District 7/ Washington and Hancock Counties	93	10	103
District 8/ Aroostook County	85	13	98
Unknown	14	1	15
Total	1,489	393	1,882

Providers	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Acadia Healthcare	39	3	42
AngleZ Behavioral Health Services-13 TCM	9	2	11
Aroostook Mental Health Services	22	1	23
Assistance Plus	18	1	19
Behavior Health Solutions for Me	1	0	1
Blue School	4	0	4
Bridge to Success	26	0	26
Broadreach Family & Community Services	38	1	39
Care & Comfort	32	2	34
Catholic Charities Maine	42	19	61
Charlotte White Center	7	2	9
Christopher Aaron Counseling Center	0	2	2
Community Concepts	10	3	13
Community Counseling Center	35	0	35
Community Health & Counseling Services	26	16	42
Connections for Kids	15	0	15
Cornerstone Behavioral Healthcare	2	0	2
Counseling Services Inc.	16	32	48
Day One	10	0	10
Easter Seals Maine	5	1	6
Evergreen Behavioral Services	3	0	3
Families Matter, Inc	18	0	18
Fullcircle Supports Inc	12	0	12
Group Main Stream, Inc., dba GMS	3	0	3
Health Affiliates Maine	31	0	31
Home Counselors Inc	11	3	14
Independence Association	8	5	13
Kennebec Behavioral Health	44	115	159
KidsPeace	59	0	59
Learning Works	43	1	44
Life by Design	31	5	36
Maine Behavioral Health Organization	6	2	8
Maine Childrens Home for Little Wanderers	15	0	15
Maine Immigrant and Refugee Services	19	6	25
MAS Home Care of Maine	69	3	72
Merrymeeting	6	4	10
Mid Coast Mental Health	24	4	28
Milestones Family Services	7	0	7
Morrison Developmental Center	0	1	1
New Day Counseling	10	0	10
Northern Lighthouse	24	2	26
Northern Maine General	3	1	4
Penquis	9	1	10
Pine Tree Society	8	1	9
Providence	22	49	71
Saco River Health Service	19	1	20
Sequel Care of Maine	21	0	21
Smart Child & Family Services	3	2	5
Special Childrens Friends	14	0	14
Spurwink	108	38	146
Stepping Stones	3	0	3
Sunrise Opportunities	10	0	10
Sweetser	133	5	138
The Childrens Center	36	13	49

The Opportunity Alliance	27	24	51
The Progress Center	4	0	4
Tri-County Mental Health	90	17	107
United Cerebral Palsy	9	1	10
Waban Projects	22	0	22
Wings	83	3	86
Woodfords Family Services	65	1	66
Total	1,489	393	1,882

Child PNMI Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 07/01/2015 To 09/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	0 To 1 day	2 To 3 days	4 To 5 days	6 or more days	Total # with elig. determined	Avg. days to determination
Care manager authorized	79	2	1	0	82	0.2
Care manager authorized with changes	15	0	0	0	15	0.2
Physician authorized	8	2	0	0	10	1.3
Physician Authorized with Changes	1	1	0	0	2	2.0
Physician denied	0	0	0	0	0	0.0
	103	5	1	0	109	0.3

OCFS Quarterly Report: Days Wait Times to Enter Intensive Residential Treatment (PNMI)

(Includes MaineCare members and Courtesy Reviews done by APS)

07/01/2015 To 09/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Child Residential** is community residential treatment for youth under age 21 with mental illness or mental retardation or both. (Section 97). It is sometimes also referred to as ITRT Intensive Residential Treatment. There are 4 levels of child PNMI as follows: H0019HE Child PNMI - Mental Health Level I; H0019CG Child PNMI - Mental Health Level II; H0019SE Child PNMI - Mental Retardation Level I and H0019U9 Child PNMI - Mental Retardation Level II. In addition, the evidenced-based residential treatment of multidimensional treatment foster care is also included. Other types of treatment foster care are not included.
- **PNMI** - A Private Non-Medical Institution (PNMI) provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering residential treatment. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to residential treatment: 63

Number of youth admitted within 15 days: 14

% admitted within 15 days: 22.2%

Number of youth admitted in 16 - 30 days: 14

% admitted within 30 days: 44.4%

Service Type	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
Child PNMI - Mental Health Level I	6	6	11	2	48%
Child PNMI - Mental Health Level II	6	6	10	7	41%
Child PNMI - Mental Retardation Level I	0	1	2	1	25%
Child PNMI - Mental Retardation Level II	2	1	0	2	60%
	14	14	23	12	44%

Child Age Groups	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
4-5	0	0	1	0	0%
6-12	3	6	5	6	45%
13-17	10	8	15	6	46%
18-20	1	0	2	0	33%
	14	14	23	12	44%

Gender	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
Female	2	7	10	3	41%
Male	12	7	13	9	46%
	14	14	23	12	44%

District	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
District 1/ York County	1	2	5	1	33%
District 2/ Cumberland County	2	2	3	1	50%
District 3/ Androscoggin, Franklin, and Oxford Counties	5	2	1	0	88%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	1	1	3	1	33%
District 5/ Somerset and Kennebec Counties	0	2	2	2	33%
District 6/ Piscataquis and Penobscot Counties	4	3	6	3	44%
District 7/ Washington and Hancock Counties	1	0	2	2	20%
District 8/ Aroostook County	0	2	1	2	40%
	14	14	23	12	44%

Satisfied Provider	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
Becket Houses of Maine	7	1	5	0	62%
Easter Seals	1	0	0	1	50%
KidsPeace	0	1	2	1	25%
NFI North	1	5	1	3	60%
Northern Lighthouse	0	1	0	0	100%
Spurwink	2	0	6	5	15%
Sweetser	3	6	8	2	47%
The Opportunity Alliance	0	0	1	0	0%
	14	14	23	12	44%

Section 28 Family Choice Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 07/01/2015 To 09/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	<u>0 To 1</u> <u>day</u>	<u>2 To 3</u> <u>days</u>	<u>4 To 5</u> <u>days</u>	<u>6 or more</u> <u>days</u>	<u>Total # with elig.</u> <u>determined</u>	<u>Avg. days to</u> <u>determination</u>
Care manager authorized	305	28	1	0	334	0.6
Care manager authorized with changes	15	0	0	1	16	0.8
Physician denied	0	0	0	0	0	0.0
	320	28	1	1	350	0.6

OCFS Quarterly Report: Wait Times to Enter Section 28 - Family Choice
(Includes MaineCare members and Courtesy Reviews done by APS)
07/01/2015 To 09/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering the Section 28 service. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to Section 28 services: 273

Number of youth admitted within 15 days: 111

% admitted within 15 days: 40.7%

Number of youth admitted in 16 - 30 days: 67

% admitted within 30 days: 65.2%

Service Type	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Services for Children with Cognitive Impairments and Functional Limitations - 1:1	107	67	43	27	71%
Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1	4	0	5	20	14%
	<u>111</u>	<u>67</u>	<u>48</u>	<u>47</u>	<u>65%</u>
Child Age Groups	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
0-3	13	2	6	5	58%
4-5	16	12	7	8	65%
6-12	41	30	28	24	58%
13-17	36	19	6	9	79%
18-20	5	4	1	1	82%
	<u>111</u>	<u>67</u>	<u>48</u>	<u>47</u>	<u>65%</u>

Gender	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
Female	39	26	17	15	67%
Male	72	41	31	32	64%
	111	67	48	47	65%

District	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
District 1/ York County	13	10	3	0	88%
District 2/ Cumberland County	17	3	4	6	67%
District 3/ Androscoggin, Franklin, and Oxford Counties	14	14	9	6	65%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	8	8	7	8	52%
District 5/ Somerset and Kennebec Counties	17	4	9	8	55%
District 6/ Piscataquis and Penobscot Counties	25	12	7	6	74%
District 7/ Washington and Hancock Counties	9	7	5	7	57%
District 8/ Aroostook County	8	9	4	5	65%
Unknown	0	0	0	1	0%
	111	67	48	47	65%

Satisfied Provider	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
AB Home Healthcare	0	1	0	2	33%
Abazinnia	2	0	0	0	100%
Addison Point Agency	8	3	1	4	69%
Affinity	6	0	0	0	100%
Aroostook Home Health Services	2	2	0	0	100%
Assistance Plus	3	0	4	1	38%
Back to Basics Behavioral Health Services	4	0	1	0	80%
Blue School	4	1	6	0	45%
Bridges of Maine, LLC	4	1	5	0	50%
Broadreach Family & Community Services	1	2	1	1	60%
Care & Comfort	17	10	5	3	77%
Casa, Inc.	2	0	0	0	100%
Catholic Charities Maine	0	1	0	0	100%
Charlotte White Center	5	3	2	0	80%
Children's Odyssey	1	0	0	1	50%
Choices are for Everyone, Inc.	0	1	0	0	100%
Compass Behavioral Health, LLC	2	0	1	0	67%
Creative Innovations, Inc	1	3	1	0	80%
Downeast Horizons Inc	1	0	0	6	14%
Eastern Maine Counseling and Testing Svcs Inc.	0	0	1	0	0%
Fullcircle Supports Inc	1	0	1	0	50%
Gallant Therapy Services	4	2	0	1	86%
Graham Behavioral Services	1	1	0	0	100%
Growing Opportunities Inc	2	0	0	0	100%
Living Innovations Support Services	2	3	0	0	100%
Maine Behavioral Health Organization	0	1	0	1	50%
Maine Vocational & Rehabilitation Assoc.	1	0	0	0	100%
MAS Home Care of Maine	16	11	8	2	73%
MC Community Services, LLC	2	0	0	0	100%
Merrymeeting	2	3	1	0	83%
Northern Lighthouse	0	0	3	2	0%
Northern Maine General	0	2	0	0	100%
Progressions Behavioral Health Svc	2	0	0	0	100%
Providence	2	0	1	4	29%
R.I.S.E. Inc	0	0	0	2	0%
Saco River Health Service	2	3	1	0	83%
Sequel Care of Maine	4	6	0	1	91%
Sunrise Opportunities	1	4	2	0	71%
The Progress Center	1	2	0	0	100%
United Cerebral Palsy	3	1	0	3	57%
Watch Me Shine Preschool, Inc.	0	0	2	0	0%
Woodfords Family Services	2	0	1	13	13%
	111	67	48	47	65%

Section 28 OCFS Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 07/01/2015 To 09/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	<u>0 To 1</u> <u>day</u>	<u>2 To 3</u> <u>days</u>	<u>4 To 5</u> <u>days</u>	<u>6 or more</u> <u>days</u>	<u>Total # with elig.</u> <u>determined</u>	<u>Avg. days to</u> <u>determination</u>
Care manager authorized	202	13	4	7	226	0.9
Care manager authorized with changes	8	0	0	0	8	0.5
Physician denied	0	0	0	0	0	0.0
	210	13	4	7	234	0.9

**OCFS Quarterly Report: Wait Times to Enter Section 28 - OCFS Providers
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2015 To 09/30/2015

Run Date: 10/29/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering the Section 28 service. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to Section 28 services: 186

Number of youth admitted within 15 days: 78

% admitted within 15days: 41.9%

Number of youth admitted in 16 - 30 days: 30

% admitted within 30days: 58.1%

Service Type	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Services for Children with Cognitive Impairments and Functional Limitations - 1:1	78	30	36	33	61%
Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1	0	0	0	9	0%
	<u>78</u>	<u>30</u>	<u>36</u>	<u>42</u>	<u>58%</u>
Child Age Groups	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
0-3	8	3	3	4	61%
4-5	6	6	5	7	50%
6-12	38	8	16	23	54%
13-17	23	12	9	7	69%
18-20	3	1	3	1	50%
	<u>78</u>	<u>30</u>	<u>36</u>	<u>42</u>	<u>58%</u>
Gender	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Female	26	15	12	11	64%
Male	52	15	24	31	55%
	<u>78</u>	<u>30</u>	<u>36</u>	<u>42</u>	<u>58%</u>

District	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
District 1/ York County	3	8	3	4	61%
District 2/ Cumberland County	5	5	6	12	36%
District 3/ Androscoggin, Franklin, and Oxford Counties	16	3	4	9	59%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	4	4	8	5	38%
District 5/ Somerset and Kennebec Counties	21	6	0	2	93%
District 6/ Piscataquis and Penobscot Counties	21	3	2	0	92%
District 7/ Washington and Hancock Counties	6	0	5	7	33%
District 8/ Aroostook County	2	1	8	3	21%
	78	30	36	42	58%
Satisfied Provider	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
AB Home Healthcare	2	3	1	5	45%
Addison Point Agency	3	1	3	0	57%
Affinity	1	0	0	0	100%
Aroostook Home Health Services	0	0	0	2	0%
Aspire Behavioral Health and Counseling	10	2	0	0	100%
Assistance Plus	7	4	1	0	92%
Back to Basics Behavioral Health Services	3	2	0	0	100%
Blue School	7	1	0	0	100%
Bridges of Maine, LLC	1	2	1	2	50%
Broadreach Family & Community Services	0	0	1	2	0%
Care & Comfort	15	4	10	3	59%
Casa, Inc.	0	1	1	1	33%
Charlotte White Center	2	0	1	1	50%
Choices are for Everyone, Inc.	0	1	1	0	50%
Creative Innovations, Inc	1	1	0	0	100%
Fullcircle Supports Inc	0	0	1	0	0%
Gallant Therapy Services	6	0	0	0	100%
Graham Behavioral Services	2	0	0	0	100%
Growing Opportunities Inc	1	0	0	0	100%
Living Innovations Support Services	0	0	2	0	0%
Maine Behavioral Health Organization	1	0	2	4	14%
MAS Home Care of Maine	8	2	4	7	48%
MC Community Services, LLC	1	0	0	0	100%
Merrymeeting	1	2	1	1	60%
Northern Maine General	0	0	1	0	0%
Progressions Behavioral Health Svc	0	3	2	0	60%
Providence	0	0	0	3	0%
Saco River Health Service	0	1	0	0	100%
Sequel Care of Maine	1	0	1	2	25%
Sunrise Opportunities	0	0	0	1	0%
The Progress Center	4	0	2	2	50%
Woodfords Family Services	1	0	0	6	14%
	78	30	36	42	58%