

December 2014
Child Quarterly Scheduled Package
Table of Contents

OCFS Provider Contract Performance Measures

Child PNMI (Child Private Non-Medical Institutions for mental health and mental retardation levels I & II, codes H2019HE, H2019CG, H2019SE, H2019U9)

[OCFS Performance Measures - Child PNMI ITRT within 1 year](#)

[Performance Measure - Child PNMI ITRT for Subset of Youth Who Return Home](#)

[OCFS Performance Measure - Youth Discharged to Home Settings](#)

[OCFS Performance Measures- Child PNMI-ITRT within 90 days](#)

HCT (Home and Community Based Treatment, codes H2021HO, H2021HU, H2021HY, H2033, H2033HK)

[OCFS Performance Measures - HCT-ITRT in 1 year](#)

[HCT-MST 6 Month Outcome](#)

[HCT 6 Month Outcome](#)

[HCT-FFT 6 Month Outcome](#)

TCM (Targeted Case Management for mental health and developmental disabilities, codes T1017UC, T1017UD)

[OCFS Performance Measures- TCM-6 month Outcome](#)

[OCFS Performance Measures - TCM ITRT in 1 year](#)

[OCFS Performance Measures - TCM Wait Time](#)

[OCFS Performance Measures Non-Hospitalized Children Assigned a CM within 7 Working Days](#)

OP (Outpatient Therapy, codes H0004, H0004HQ, H0004HQHH)

[OCFS Performance Measures - OP-ITRT](#)

Med Management (Medication management, code H2010HA)

[OCFS Performance Measures - Med Mgmt-ITRT](#)

Hospital

[Report 38d 180 Day Child Hospital readmission](#)

Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)

[Active Section 28 to IP](#)

Wait Time Reports

Child PNMI

[Child PNMI Eligibility Times](#)

[Child PNMI ITRT waitlist](#)

HCT

[Non Hospitalized members assigned HCT service within 3 and 7 working days](#)

[Hospitalized members assigned HCT service within 3 and 7 working days](#)

Section 28

[Section 28 Family Choice Eligibility Times](#)

[Section 28 Family Choice Waitlist](#)

[Section 28 OCFS Eligibility Times](#)

[Section 28 OCFS Waitlist](#)

OCFS Quarterly excels sent FTP and not hyperlinked (DTL stands for PHI level detail report)

APS-CBHS-NODATE.PNMI.SERV_ROLLING_1YR OMS DTL

**OCFS Provider Contract Performance Measures Report:
Child PNMI Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2013 To 12/31/2013

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.

What This Report Measures: The number of youth discharged from residential treatment and whether or not they return to a residential treatment within 1 year. This report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnecton system as well as the youth whose authorization for the service has expired. All youth are included regardless of the stated living situation at discharge. The only exception is a youth who has a new authorization for residential treatment within 1 day is presumed to have transferred. In this report, this type of documented transfer is not counted as a discharge and is not included in the numerator or denominator.

Total number of youth discharged from residential treatment: 73

Total number of youth who did NOT return to residential treatment within 1 year: 58

Percent of youth who did NOT return to residential treatment within 1 year: 79%

Providers	<u># NOT returning to residential treatment</u>	<u># Discharged</u>	<u>% NOT returning to residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Aroostook Mental Health Services	0	0	0%
Becket Houses of Maine	9	9	100%
Community Health & Counseling Services	1	1	100%
Harbor Family Services	4	5	80%
KidsPeace	3	4	75%
NFI North	11	13	85%
Northern Lighthouse	1	2	50%
Port Resources	1	1	100%
Spurwink	8	11	73%
Sweetser	18	25	72%
The Opportunity Alliance	2	2	100%
	58	73	79%

**OCFS Provider Contract Performance Measures Report
Child PNMI Services Treatment Outcome Standard**

**Over 80% of youth discharged to a home setting do not access ITRT within 1 year of discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Intensive residential care are not included in the definition. In Maine, residential treatment is also called PNMI.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home setting:** The goal of residential treatment is to prepare youth to live successfully at home. A home setting is defined as “own apartment or home”, “supported apartment” or “foster care added.” These are 3 of the 17 dropdown choices that a provider may choose from when answering the question “Living situation upon discharge?” in APS CareConnection.

What This Report Measures: The number of youth discharged from residential treatment to a home setting and whether or not these youth return to a residential treatment within 1 year. Only the youth that the provider has indicated are discharged to a home setting are included in this report. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment.

Total number of youth discharged from residential treatment to a home setting: 21

Total number of youth who did NOT return to residential treatment within 1 year: 18

Percent of youth discharged to a home setting who did NOT return to residential treatment within 1 year : 86%

Providers	<u># NOT returning to residential treatment within 1 year</u>	<u># discharged to a home setting</u>	<u>% NOT returning to residential treatment within 1 year</u>
Becket Houses of Maine	3	3	100%
Community Health & Counseling Services	1	1	100%
Harbor Family Services	1	1	100%
KidsPeace	2	3	67%
NFI North	2	2	100%
Port Resources	1	1	100%
Spurwink	1	2	50%
Sweetser	6	7	86%
The Opportunity Alliance	1	1	100%
Total	18	21	86%

OCFS Provider Contract Performance Measures Report

Child PNMI Services Treatment Outcome Standard- 60% of youth discharged from residential care go to home settings (Includes MaineCare members and Courtesy Reviews done by APS)

10/01/2014 To 12/31/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Discharge:** Providers are asked to enter a discharge into APS' CareConnection system whenever a member leaves an APS-reviewed service. If no discharge is entered, then the member is not included in this report.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II.** Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home settings:** The goal of residential treatment is to prepare youth to live successfully at home. A home setting is defined as ""own apartment or home", "supported apartment", "rent subsidy or "foster care added." These are 4 of the 17 dropdown choices that a provider may choose from when answering the question "Living situation upon discharge?" in APS CareConnection.
- **Incarcerated:** When the provider chooses "Incarcerated in a State Prison or County Jail" from the dropdown choices when answering the question "Living situation upon discharge?" in APS CareConnection.
- **Higher level of care:** A higher level of care is defined as the provider choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Hospitalized for Medical Reasons", "Residential crisis unit", "Other Psychiatric", "Dorothea Dix" or "Riverview Psychiatric Center".
- **Residential:** A residential setting is defined as choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Assisted Living Facility", "Community Residential Facility", "Nursing Home", or "Residential Treatment Facility".
- **Other:** Other setting is defined as choosing any of the following when answering the question "Living situation upon discharge?" in APS CareConnection: "Homeless Shelter or on the Streets", "Other", "Temporarily staying with others" or "Deceased".

What This Report Measures: The number of youth discharged from residential care to home settings, higher levels of care, residential care or other settings.

Total # youth discharged from residential treatment: 65

Total # discharges with "Living Situation" question answered: 54

% youth discharged to a home setting: 48% (26/54)

Providers	# incarcerated	# to higher	# to residential	# to other	# to home	# Discharges	
		level of care	care		with info	% home	
Aroostook Mental Health Services	0	1	0	0	0	1	0%
Becket Houses of Maine	1	1	0	4	2	8	25%
Community Health & Counseling Services	0	0	0	1	1	2	50%
KidsPeace	0	1	1	0	1	3	33%
NFI North	1	2	2	0	4	9	44%
Northern Lighthouse	0	0	0	1	1	2	50%
Port Resources	0	0	1	0	0	1	0%
Spurwink	1	1	1	1	4	8	50%
Sweetser	0	2	3	2	12	19	63%
The Opportunity Alliance	0	0	0	0	1	1	100%
Total	3	8	8	9	26	54	48%

The previous table depends on Providers answering the question about "Living Situation At Discharge". The table below shows how many discharges were entered into APS CareConnection and how many entered the information about "Living Situation At Discharge".

<u>Providers</u>	<u># of Discharges with living situation entered</u>	<u>Total # of Discharges</u>
Aroostook Mental Health Services	1	1
Becket Houses of Maine	8	8
Community Health & Counseling Services	2	2
KidsPeace	3	3
NFI North	9	11
Northern Lighthouse	2	2
Port Resources	1	1
Spurwink	8	9
Sweetser	19	27
The Opportunity Alliance	1	1

**OCFS Provider Contract Performance Measures Report:
Child PNMI Services Treatment Outcome Standard- 85% Do Not Access ITRT Within 90 days of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

07/01/2014 To 09/30/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.

What This Report Measures: The number of youth discharged from residential treatment and whether or not they return to a residential treatment within 90 days. It includes both in state and out of state residential treatment. This report is about youth discharged from the previous quarter. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnecton system as well as the youth whose authorization for the service has expired. All youth are included regardless of the stated living situation at discharge. The only exception is a youth who has a new authorization for residential treatment within 1 day is presumed to have transferred. In this report, this type of documented transfer is not counted as a discharge and is not included in the numerator or denominator.

Total number of youth discharged from residential treatment: 111

Total number of youth who did NOT return to residential treatment within 90 days: 94

Percent of youth who did NOT return to residential treatment within 90 days: 84.7%

Providers	# NOT returning to residential treatment		% NOT returning to residential treatment		Target Met?
	within 90 days	# Discharged	within 90 days		
Aroostook Mental Health Services	2	2	100.0%		Target Met
Becket Houses of Maine	10	11	90.9%		Target Met
Community Health & Counseling Services	1	1	100.0%		Target Met
Harbor Family Services	15	15	100.0%		Target Met
KidsPeace	2	2	100.0%		Target Met
NFI North	11	17	64.7%		Target Not Met
Northern Lighthouse	1	1	100.0%		Target Met
Port Resources	1	1	100.0%		Target Met
Spurwink	20	21	95.2%		Target Met
Sweetser	29	37	78.4%		Target Not Met
The Opportunity Alliance	2	3	66.7%		Target Not Met
	<u>94</u>	<u>111</u>	<u>84.7%</u>		

**OCFS Provider Contract Performance Measures Report:
HCT Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2013 To 12/31/2013

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Home and Community Based Treatment (HCT)** is a higher intensity community-based mental health service than Outpatient Therapy but a lower intensity service than Children's Assertive Community Treatment (ACT). There are 3 types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.

What This Report Measures: The number of youth discharged from HCT and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment.

Total number of youth discharged from Home and Community Based Treatment: 854

Total number of youth who did NOT enter residential treatment within 1 Year: 805

Percent of youth who did NOT enter residential treatment within 1 Year: 94%

Providers	# NOT entering residential treatment within 1 year	# Discharged	% NOT entering residential treatment within 1 year
Aroostook Mental Health Services	12	12	100%
Assistance Plus	29	29	100%
Care & Comfort	36	36	100%
Casa, Inc.	5	5	100%
Catholic Charities Maine	16	16	100%
Christopher Aaron Counseling Center	8	9	89%
Community Care	39	39	100%
Community Health & Counseling Services	21	21	100%
Connections for Kids	28	28	100%
Counseling Services Inc.	69	74	93%
Families United	15	15	100%
Harbor Family Services	8	9	89%
Home Counselors Inc	16	16	100%
Kennebec Behavioral Health	81	85	95%
KidsPeace	40	40	100%
MAS Home Care of Maine - Bangor	32	35	91%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
MAS Home Care of Maine - Westbrook	24	26	92%
Mid Coast Mental Health	1	1	100%
New Day Counseling	1	1	100%
Northern Lighthouse	7	7	100%
Port Resources	11	14	79%
Providence	116	130	89%
Saco River Health Service	17	17	100%
Sequel Care of Maine	29	30	97%
Smart Child & Family Services	10	13	77%
Spurwink	25	27	93%
St. Andre Homes	4	4	100%
Sweetser	5	5	100%
Tri-County Mental Health	46	50	92%
United Cerebral Palsy of Maine	49	53	92%
Woodfords Family Services - Home and Community Treatment	5	7	71%
	805	854	94%

**HCT MST Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 04/01/2014 and 06/30/2014 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families.
- **Multisystemic Therapy (MST)** is an evidenced based type of HCT for youth with serious anti-social behavior and involvement in the juvenile justice system.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare. Youth in the MST service who are funded through the State Department of Corrections rather than MaineCare are not currently included in this report.

What This Report Measures: The number of members discharged from the HCT-MST service after 6 months or more and whether or not the member receives various intensive mental health services within 6 months of discharge. For example, for a member discharged on March 1, 2013, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT-MST after 6 months or more: 13

of members admitted to child residential facilities (Child PNMI) : 0

Percent admitted to Child PNMI: 0%

of members admitted to children's inpatient psychiatric services:(Child Inpatient): 2

Percent admitted to Child Inpatient: 15%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 2

Percent admitted to a higher level of care: 15%

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Gender								
Female	0	0	0	0	0	0	0	3
Male	0	2	1	2	0	0	0	10
Total	0	2	1	2	0	0	0	13

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Child Age Groups								
6-12	0	0	0	0	0	0	0	3
13-17	0	2	1	2	0	0	0	10
Total	0	2	1	2	0	0	0	13

Note: Age as of 04/01/2014

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Providers								
Counseling Services Inc.	0	0	0	0	0	0	0	2
Kennebec Behavioral Health	0	0	0	1	0	0	0	5
Tri-County Mental Health	0	2	1	1	0	0	0	6
Total	0	2	1	2	0	0	0	13

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Discharge Type								
MaineCare Funded	0	2	1	2	0	0	0	13
Total	0	2	1	2	0	0	0	13

* Some youth in the HCT MST are funded through state Department of Corrections funds. If these youth also have a MaineCare ID, they are included in this report. If not, they do not have a MaineCare ID they are not included since their use of other mental health services is not recorded in APS CareConnection.

**HCT Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 04/01/2014 and 06/30/2014 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families. The types of HCT included in this report are: HCT, HCT-FFT (Family Functional Therapy) and HCT-MST (Multisystemic Therapy). Children in the child welfare system and children living at home are both included.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare. Youth in the MST service who are funded through the State Department of Corrections rather than MaineCare are not currently included in this report.

What This Report Measures: For members discharged from the HCT service after 6 months or more, this report shows what intensive mental health services the members has authorizations for within 6 months of discharge. For example, for a member discharged on March 1, 2013 after 7 months of treatment, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT after 6 months or more: 283

of members admitted to child residential facilities (Child PNMI) : 12

Percent admitted to Child PNMI: 4%

of members admitted to children’s inpatient psychiatric services:(Child Inpatient): 15

Percent admitted to Child Inpatient: 5%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 24

Percent admitted to a higher level of care: 8%

Gender	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	
Female	4	4	2	14	0	0	0	101
Male	8	11	9	26	0	0	0	182
Total	12	15	11	40	0	0	0	283

Child Age Groups	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	
0-3	0	0	0	1	0	0	0	8
4-5	0	0	1	7	0	0	0	47
6-12	3	6	4	20	0	0	0	151
13-17	9	9	6	12	0	0	0	74
18-20	0	0	0	0	0	0	0	3
Total	12	15	11	40	0	0	0	283

Note: Age as of 04/01/2014

* Youth who lack MaineCare IDs are not included since their use of other mental health services is not recorded in APS CareConnecton.

Providers	<u>Child</u>	<u>Child</u>	<u>Child</u>	<u>Return</u>	<u>Adult</u>	<u>Adult</u>	<u>Adult</u>	<u>Total # of</u>
	<u>PNMI</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>to HCT</u>	<u>Inpatient</u>	<u>Crisis</u>	<u>PNMI</u>	<u>Discharges</u>
Aroostook Mental Health Services	0	0	0	0	0	0	0	2
Assistance Plus	0	0	0	1	0	0	0	7
Care & Comfort	0	1	0	0	0	0	0	9
Casa, Inc.	0	0	0	0	0	0	0	1
Christopher Aaron Counseling Center	0	0	0	1	0	0	0	5
Community Care	0	2	0	4	0	0	0	28
Community Health & Counseling Services	0	0	1	0	0	0	0	5
Connections for Kids	0	1	1	0	0	0	0	12
Counseling Services Inc.	0	0	0	2	0	0	0	24
Families United	0	0	0	1	0	0	0	7
Harbor Family Services	0	0	0	1	0	0	0	2
Home Counselors Inc	0	0	0	1	0	0	0	10
Kennebec Behavioral Health	0	0	0	2	0	0	0	24
KidsPeace	2	0	0	3	0	0	0	19
MAS Home Care of Maine - Bangor	1	1	2	1	0	0	0	14
MAS Home Care of Maine - Westbrook	0	0	0	0	0	0	0	1
Mid Coast Mental Health	0	0	0	0	0	0	0	1
Northern Lighthouse	1	0	0	0	0	0	0	2
Port Resources	2	0	0	1	0	0	0	9
Providence	4	5	4	6	0	0	0	47
Saco River Health Service	0	1	0	1	0	0	0	1
Sequel Care of Maine	0	1	1	3	0	0	0	6
Smart Child & Family Services	1	1	0	0	0	0	0	6
Spurwink	0	0	0	2	0	0	0	13
St. Andre Homes	0	0	0	0	0	0	0	1
Sweetser	1	0	1	1	0	0	0	2
Tri-County Mental Health	0	2	1	1	0	0	0	6
United Cerebral Palsy of Maine	0	0	0	8	0	0	0	16
Woodfords Family Services - Home and Community Treatment	0	0	0	0	0	0	0	3
Total	12	15	11	40	0	0	0	283

**HCT FFT Discharge Outcomes for Youth in Service 6 Months or More
(Includes MaineCare members and Courtesy Reviews done by APS)**

Discharges between 04/01/2014 and 06/30/2014 and the new services authorized within 6 months of discharge

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Home and Community Based Treatment (HCT)** is a home-based intensive mental health treatment service for children and their families.
- **HCT FFT** is a type of HCT. FFT stands Functional Family Therapy. FFT is an evidenced-based family treatment model. The FFT program assists the youth and the family in making incremental, positive changes that lead to enhanced family functioning and reduced risk.
- **Courtesy Review** APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using MaineCare.

What This Report Measures: For members discharged from the HCT FFT service after 6 months or more, this report shows what intensive mental health services the members has authorizations for within 6 months of discharge. For example, for a member discharged on March 1, 2013 after 7 months of treatment, this report checks to see whether or not the member was authorized for any of the services listed below by September 1, 2013, i.e. within 6 months of their discharge.

Total number of members discharged from HCT-FFT after 6 months or more: 24

of members readmitted to any type of HCT: 6

Percent readmitted To HCT: 25%

of members admitted to child residential facilities (Child PNMI) : 1

Percent admitted to Child PNMI: 4%

of members admitted to children's inpatient psychiatric services:(Child Inpatient): 3

Percent admitted to Child Inpatient: 13%

of members admitted to EITHER child PNMI OR Inpatient or BOTH: 3

Percent admitted to a higher level of care: 13%

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Gender								
Female	0	1	0	1	0	0	0	8
Male	1	2	2	5	0	0	0	16
Total	1	3	2	6	0	0	0	24

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Child Age Groups								
6-12	0	0	1	3	0	0	0	7
13-17	1	3	1	3	0	0	0	16
18-20	0	0	0	0	0	0	0	1
Total	1	3	2	6	0	0	0	24

Note: Age as of 04/01/2014

	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Child Crisis</u>	<u>Return to HCT</u>	<u>Adult Inpatient</u>	<u>Adult Crisis</u>	<u>Adult PNMI</u>	<u>Total # of Discharges</u>
Providers								
Catholic Charities Maine	1	3	2	4	0	0	0	19
Spurwink	0	0	0	2	0	0	0	5
Total	1	3	2	6	0	0	0	24

* Youth who lack MaineCare IDs are not included since their use of other mental health services is not recorded in APS CareConnecton.

APS Quarterly Report Child Perf Measure Targeted Case Management (TCM) to a higher level of care
Goal: At least 90% are NOT admitted to child inpatient or child PNMI within 6 months of discharge from TCM
(Includes MaineCare members and Courtesy Reviews done by APS)

04/01/2014 to 06/30/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. APS reports on the following three types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The percent of TCM recipients who are NOT admitted to a higher level of care within 6 months of discharge from TCM. A higher level of care is defined as either residential treatment (PNMI) or inpatient psychiatric hospitalization. For this report, transfers to another service such as inpatient services ARE included. If a child is admitted to more than one service or multiple times to the same service, they are counted only once.

Total number of members discharged from TCM: 1,474

of members admitted to either residential treatment or inpatient psych services : 61 Percent NOT admitted to either higher level of care: 95.9%

Type of TCM	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Any higher level of care</u>	<u>% NOT Admitted to higher level of care</u>	<u>Total # of Discharges</u>
Targeted Case Management - Behavioral Health	14	44	55	95	1,179
Targeted Case Management - Developmental Disabilities	5	2	6	98	295
Total	19	46	61	95.9	1,474
Providers	<u>Child PNMI</u>	<u>Child Inpatient</u>	<u>Any higher level of care</u>	<u>% NOT Admitted to higher level of care</u>	<u>Total # of Discharges</u>
Aroostook Mental Health Services	0	0	0	100	5
Assistance Plus	1	0	1	95	20
Behavior Health Solutions for Me	0	0	0	100	3
Bridge to Success	0	0	0	100	13
Broadreach Family & Community Services	0	0	0	100	36
Care & Comfort	0	1	1	98	44
Catholic Charities Maine	0	2	2	95	44
Charlotte White Center	0	0	0	100	12
Community Care	0	0	0	100	5
Community Concepts	0	0	0	100	17
Community Counseling Center	0	0	0	100	12
Community Health & Counseling Services	3	0	3	91	35
Connections for Kids	0	0	0	100	11
Cornerstone Behavioral Healthcare - CM	0	0	0	100	2
Counseling Services Inc.	0	4	4	93	54
Day One	0	0	0	100	1
Easter Seals Maine	0	0	0	100	1
Families Matter, Inc	0	0	0	100	8
Families United	0	0	0	100	1
Fullcircle Supports Inc	0	0	0	100	5
Graham Behavioral Services	0	0	0	100	2
Harbor Family Services	4	8	10	93	136
Health Affiliates Maine	2	1	3	90	29

Providers	Child PNMI	Child Inpatient	Any higher level of care	% NOT Admitted to higher level of care	Total # of Discharges
Home Counselors Inc	0	0	0	100	10
Independence Association	1	1	2	83	12
Kennebec Behavioral Health	2	2	4	97	124
KidsPeace	0	0	0	100	44
Learning Works	0	1	1	96	25
Life by Design	0	0	0	100	39
Maine Behavioral Health Organization	0	0	0	100	8
Maine Immigrant and Refugee Services	0	0	0	100	2
MAS Home Care of Maine - Bangor	0	0	0	100	2
MAS Home Care of Maine - Westbrook	0	0	0	100	31
Merrymeeting	0	0	0	100	4
Mid Coast Mental Health	0	0	0	100	4
Milestones Family Services	0	0	0	100	14
Mobius, Inc	0	0	0	100	1
Morrison Developmental Center	0	0	0	100	1
New Day Counseling	0	0	0	100	11
Northern Lighthouse	0	0	0	100	4
Northern Maine General	0	0	0	100	4
Penquis	0	0	0	100	6
Pine Tree Society	0	0	0	100	6
Providence	1	3	3	94	49
Saco River Health Service	0	0	0	100	2
Sequel Care of Maine	1	1	2	83	12
Smart Child & Family Services	0	0	0	100	7
Special Childrens Friends	0	0	0	100	11
Spurwink	1	10	10	91	113
Sweetser	3	2	5	95	92
The Childrens Center	0	1	1	98	41
The Opportunity Alliance	0	3	3	94	54
The Progress Center	0	0	0	100	3
Tri-County Mental Health	0	5	5	94	79
United Cerebral Palsy of Maine	0	0	0	100	27
Waban Projects	0	0	0	100	22
Wings	0	1	1	99	105
Woodfords Family Services	0	0	0	100	9
Total	19	46	61	95.9	1,474

**OCFS Provider Contract Performance Measures Report:
TCM Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2013 To 12/31/2013

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. It is for youth under age 21 There are three types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities.
 - **WrapME** is targeted case management for children with severe challenges. It uses the evidence-based Wrap-around model.

What This Report Measures: The number of youth discharged from any of the three types of Targeted Case Management (TCM) and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

Total number of youth discharged from targeted case management: 2,008

Total number of youth who did NOT enter residential treatment within 1 Year: 1,978

Percent of youth who did NOT enter residential treatment within 1 Year: 99%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
AngleZ Behavioral Health Services - CCM	38	38	100%
Aroostook Mental Health Services	21	23	91%
Assistance Plus	43	43	100%
Behavior Health Solutions for Me	6	6	100%
Blue School	3	3	100%
Bridge to Success	25	25	100%
Broadreach Family & Community Services	49	49	100%
Care & Comfort	60	60	100%
Catholic Charities Maine	130	132	98%
Charlotte White Center	24	25	96%
Community Care	6	6	100%
Community Concepts	13	13	100%
Community Counseling Center	36	37	97%
Community Health & Counseling Services	45	48	94%
Connections for Kids	11	11	100%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Cornerstone Behavioral Healthcare - CM	15	15	100%
Counseling Services Inc.	105	106	99%
Day One	5	5	100%
Families Matter, Inc	5	5	100%
Families United	2	2	100%
Fullcircle Supports Inc	5	5	100%
Graham Behavioral Services	11	11	100%
Harbor Family Services	29	29	100%
Health Affiliates Maine	80	81	99%
Home Counselors Inc	12	12	100%
Independence Association	14	14	100%
Kennebec Behavioral Health	102	106	96%
KidsPeace	34	35	97%
Learning Works	22	22	100%
Life by Design	38	39	97%
Maine Behavioral Health Organization	5	5	100%
Maine Immigrant and Refugee Services	3	3	100%
MAS Home Care of Maine - Bangor	8	9	89%
MAS Home Care of Maine - Westbrook	18	19	95%
Merrymeeting	2	2	100%
Mid Coast Mental Health	33	33	100%
Milestones Family Services	12	12	100%
Mobius, Inc	2	2	100%
Morrison Developmental Center	1	1	100%
New Day Counseling	18	19	95%
Northeast Occupational Exchange	1	1	100%
Northern Lighthouse	8	8	100%
Northern Maine General	1	1	100%
Penquis	10	10	100%
Pine Tree Society	8	9	89%
Providence	34	34	100%
Saco River Health Service	5	5	100%
Sequel Care of Maine	7	7	100%
Smart Child & Family Services	14	15	93%
Special Childrens Friends	21	21	100%
Spurwink	136	137	99%
Stepping Stones	6	6	100%
Sweetser	137	141	97%
The Childrens Center	46	46	100%
The Opportunity Alliance	78	79	99%
The Progress Center	11	11	100%
Tri-County Mental Health	108	109	99%
Umbrella Mental Health Services	19	19	100%
United Cerebral Palsy of Maine	53	53	100%
Waban Projects	29	30	97%

Providers	<u># NOT entering residential treatment within 1 year</u>	<u># Discharged</u>	<u>% NOT entering residential treatment within 1 year</u>
Wings	101	101	100%
Woodfords Family Services	54	54	100%
	1,978	2,008	99%

OCFS Provider Contract Performance Measures Report:
TCM Services Treatment Outcome Standard- 100% Receive TCM in 180 Days or Less
(Includes MaineCare members and Courtesy Reviews done by APS)

10/01/2014 To 12/31/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Targeted Case Management (TCM)** is case management to identify and facilitate access to medical, social, educational and other needs (including housing and transportation) of the eligible member. It is for youth under age 21 There are three types of TCM services:
 - **TCM - BH** is targeted case management for children with behavioral health need.
 - **TCM - DD** is targeted case management for children with developmental disabilities.
 - **WrapME** is targeted case management for children with severe challenges. It uses the evidence-based Wrap-around model.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnecton whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnecton that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the start date of the service to determine the number of days the member waited.

What This Report Measures : The number of members authorized for targeted case management (TCM) and whether they were assigned to a case manager in the TCM service within 0-30, 31-90, 91-180 and 180+ working days.

Total Admissions: 1,610

Total Admissions within 180 days: 1,602

% of admissions within 180 Days: 99.50%

	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
Gender							
Female	632	18	1	4	651	655	99.4%
Male	924	27	0	4	951	955	99.6%
Total	1,556	45	1	8	1,602	1,610	99.5%

Child Age Groups

0-3	117	2	0	0	119	119	100.0%
4-5	173	5	0	0	178	178	100.0%
6-12	705	21	0	4	726	730	99.5%
13-17	503	17	1	4	521	525	99.2%
18-20	57	0	0	0	57	57	100.0%
Unknown	1	0	0	0	1	1	100.0%
Total	1,556	45	1	8	1,602	1,610	99.5%

Note: Age as of 10/01/2014

Child/Adult

Children (ages 0 -17)	1,498	45	1	8	1,544	1,552	99.5%
Adult (ages 18+)	57	0	0	0	57	57	100.0%
Unknown	1	0	0	0	1	1	100.0%
Total	1,556	45	1	8	1,602	1,610	99.5%

Note: Age as of 10/01/2014

	<u>0-30</u> <u>days</u>	<u>31-90</u> <u>days</u>	<u>91-180</u> <u>days</u>	<u>181 days</u> <u>or more</u>	<u>Total within</u> <u>180 days</u>	<u>Total # New</u> <u>Admissions</u>	<u>% Received TCM in</u> <u>180 Days or less</u>
SMI							
SMI	5	0	0	0	5	5	100.0%
Not SMI	1,551	45	1	8	1,597	1,605	99.5%
Total	1,556	45	1	8	1,602	1,610	99.5%
District							
District 1/ York County	234	2	0	0	236	236	100.0%
District 2/ Cumberland County	220	3	0	0	223	223	100.0%
District 3/ Androscoggin, Franklin, and Oxford Counties	311	5	0	1	316	317	99.7%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	193	6	0	1	199	200	99.5%
District 5/ Somerset and Kennebec Counties	259	20	1	4	280	284	98.6%
District 6/ Piscataquis and Penobscot Counties	184	1	0	0	185	185	100.0%
District 7/ Washington and Hancock Counties	77	5	0	2	82	84	97.6%
District 8/ Aroostook County	75	3	0	0	78	78	100.0%
Unknown	3	0	0	0	3	3	100.0%
Total	1,556	45	1	8	1,602	1,610	99.5%
Region							
Region 1	454	5	0	0	459	459	100.0%
Region 2	763	31	1	6	795	801	99.3%
Region 3	336	9	0	2	345	347	99.4%
Unknown	3	0	0	0	3	3	100.0%
Total	1,556	45	1	8	1,602	1,610	99.5%

	<u>0-30</u>	<u>31-90</u>	<u>91-180</u>	<u>181 days</u>	<u>Total within</u>	<u>Total # New</u>	<u>% Received TCM in</u>
Providers	<u>days</u>	<u>days</u>	<u>days</u>	<u>or more</u>	<u>180 days</u>	<u>Admissions</u>	<u>180 Days or less</u>
Acadia Healthcare	42	0	0	0	42	42	100.0%
Aroostook Mental Health Services	31	0	0	0	31	31	100.0%
Assistance Plus	23	0	0	0	23	23	100.0%
Blue School	4	0	0	0	4	4	100.0%
Bridge to Success	28	0	0	1	28	29	96.6%
Broadreach Family & Community Services	43	0	0	0	43	43	100.0%
Care & Comfort	41	0	0	0	41	41	100.0%
Catholic Charities Maine	40	3	0	0	43	43	100.0%
Charlotte White Center	19	0	0	0	19	19	100.0%
Christopher Aaron Counseling Center	4	0	0	0	4	4	100.0%
Community Care	20	0	0	0	20	20	100.0%
Community Concepts	19	0	0	0	19	19	100.0%
Community Counseling Center	24	0	0	0	24	24	100.0%
Community Health & Counseling Services	39	4	1	4	44	48	91.7%
Connections for Kids	8	0	0	0	8	8	100.0%
Counseling Services Inc.	42	0	0	0	42	42	100.0%
Day One	2	0	0	0	2	2	100.0%
Easter Seals Maine	6	0	0	0	6	6	100.0%
Families Matter, Inc	10	0	0	0	10	10	100.0%
Families United	1	0	0	0	1	1	100.0%
Fullcircle Supports Inc	13	0	0	0	13	13	100.0%
Health Affiliates Maine	38	0	0	0	38	38	100.0%
Home Counselors Inc	9	0	0	0	9	9	100.0%
Independence Association	2	0	0	0	2	2	100.0%
Kennebec Behavioral Health	85	15	0	0	100	100	100.0%
KidsPeace	38	0	0	0	38	38	100.0%
Learning Works	24	0	0	0	24	24	100.0%
Life by Design	27	0	0	0	27	27	100.0%
Maine Behavioral Health Organization	23	0	0	0	23	23	100.0%
Maine Childrens Home for Little Wanderers	23	0	0	0	23	23	100.0%
Maine Immigrant and Refugee Services	5	2	0	0	7	7	100.0%
MAS Home Care of Maine - Bangor	20	1	0	0	21	21	100.0%
MAS Home Care of Maine - Westbrook	14	1	0	0	15	15	100.0%
Merrymeeting	5	0	0	0	5	5	100.0%
Mid Coast Mental Health	20	1	0	0	21	21	100.0%
Milestones Family Services	9	0	0	0	9	9	100.0%
Mobius, Inc	1	0	0	0	1	1	100.0%
Morrison Developmental Center	2	0	0	0	2	2	100.0%
New Day Counseling	4	1	0	0	5	5	100.0%
Northern Lighthouse	12	0	0	0	12	12	100.0%
Northern Maine General	0	2	0	0	2	2	100.0%

	<u>0-30</u>	<u>31-90</u>	<u>91-180</u>	<u>181 days</u>	<u>Total within</u>	<u>Total # New</u>	<u>% Received TCM in</u>
	<u>days</u>	<u>days</u>	<u>days</u>	<u>or more</u>	<u>180 days</u>	<u>Admissions</u>	<u>180 Days or less</u>
Penquis	7	0	0	0	7	7	100.0%
Pine Tree Society	10	0	0	0	10	10	100.0%
Providence	54	10	0	0	64	64	100.0%
Saco River Health Service	21	0	0	0	21	21	100.0%
Sequel Care of Maine	9	0	0	0	9	9	100.0%
Smart Child & Family Services	17	0	0	0	17	17	100.0%
Special Childrens Friends	12	0	0	0	12	12	100.0%
Spurwink	135	1	0	1	136	137	99.3%
Stepping Stones	10	0	0	0	10	10	100.0%
Stepping Stones - Outpatient	1	0	0	0	1	1	100.0%
Sweetser	99	1	0	0	100	100	100.0%
The Childrens Center	30	1	0	0	31	31	100.0%
The Opportunity Alliance	67	1	0	0	68	68	100.0%
The Progress Center	7	0	0	0	7	7	100.0%
Tri-County Mental Health	89	0	0	0	89	89	100.0%
United Cerebral Palsy of Maine	24	1	0	2	25	27	92.6%
Waban Projects	21	0	0	0	21	21	100.0%
Wings	85	0	0	0	85	85	100.0%
Woodfords Family Services	38	0	0	0	38	38	100.0%
Total	1,556	45	1	8	1,602	1,610	99.5%

Report Number: 25

**Non-hospitalized Children Assigned a TCM case manager within 7 working days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates: 07/01/2014 To 09/30/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Non-hospitalized member** - MaineCare member who is not in an inpatient psychiatric facility at the time of application for services. This is indicated by the member not having an open authorization for inpatient psychiatric services on the day a CFSN is completed or on the day the member is referred for TCM services.
- **Targeted Case Management** services are those services provided by a social services or health professional, or other qualified staff, to identify the medical, social, educational and other needs (including housing and transportation) of the eligible member, identify the services necessary to meet those needs, and facilitate access to those services. Case Management consists of intake/assessment, plan of care development, coordination/advocacy, monitoring and evaluation.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific TCM team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with date of assignment to the service to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of non-hospitalized members authorized for targeted case management (TCM) and whether they a.) were assigned to a case manager in the TCM service within 7 working days, b.) waited longer than 8 days but were eventually assigned to the service.

Total number of non-hospitalized youth applying for TCM: 1,795

Total assigned within 7 working days: 1,483 % assigned within 7 working days 1,483/1,795 : 83%

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Gender			
Female	587	134	721
Male	896	178	1,074
Total	1,483	312	1,795

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Child Age Groups			
0-3	141	25	166
4-5	189	37	226
6-12	654	142	796
13-17	445	99	544
18-20	54	9	63
Total	1,483	312	1,795

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Child/Adult			
Children (ages 0 -17)	1,429	303	1,732
Adult (ages 18+)	54	9	63
Total	1,483	312	1,795

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
SMI			
SMI	18	3	21
Not SMI	1,465	309	1,774
Total	1,483	312	1,795

	<u>Waited 7 working days or less</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District			
District 1/ York County	208	28	236
District 2/ Cumberland County	195	33	228
District 3/ Androscoggin, Franklin, and Oxford Counties	320	53	373
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	203	33	236
District 5/ Somerset and Kennebec Counties	227	118	345
District 6/ Piscataquis and Penobscot Counties	131	24	155
District 7/ Washington and Hancock Counties	85	4	89
District 8/ Aroostook County	104	17	121
Unknown	10	2	12
Total	1,483	312	1,795

Providers	<u>Waited 7 working</u>	<u>Waited 8 or more</u>	<u>Total</u>
	<u>days or less</u>	<u>working days</u>	
Aroostook Mental Health Services	34	3	37
Assistance Plus	24	1	25
Behavior Health Solutions for Me	1	1	2
Blue School	2	0	2
Bridge to Success	32	0	32
Broadreach Family & Community Services	41	2	43
Care & Comfort	23	5	28
Catholic Charities Maine	54	15	69
Charlotte White Center	16	3	19
Christopher Aaron Counseling Center	4	0	4
Community Care	15	0	15
Community Concepts	23	1	24
Community Counseling Center	25	2	27
Community Health & Counseling Services	34	11	45
Connections for Kids	15	0	15
Cornerstone Behavioral Healthcare - CM	1	0	1
Counseling Services Inc.	14	26	40
Day One	2	0	2
Easter Seals Maine	6	0	6
Families Matter, Inc	14	0	14
Families United	1	1	2
Fullcircle Supports Inc	5	0	5
Graham Behavioral Services	7	1	8
Health Affiliates Maine	34	0	34
Home Counselors Inc	19	5	24
Independence Association	6	1	7
Kennebec Behavioral Health	40	98	138
KidsPeace	32	1	33
Learning Works	29	0	29
Life by Design	31	6	37
Maine Behavioral Health Organization	14	0	14
Maine Immigrant and Refugee Services	13	1	14
MAS Home Care of Maine - Bangor	18	7	25
MAS Home Care of Maine - Westbrook	18	1	19
Merrymeeting	6	3	9
Mid Coast Mental Health	10	1	11
Milestones Family Services	9	0	9
Mobius, Inc	3	0	3
Morrison Developmental Center	4	0	4
New Day Counseling	10	3	13
Northern Lighthouse	2	3	5
Northern Maine General	16	1	17
Penquis	4	1	5
Pine Tree Society	7	0	7
Providence	17	31	48
Saco River Health Service	11	3	14
Sequel Care of Maine	16	0	16
Smart Child & Family Services	4	2	6
Special Childrens Friends	15	0	15
Spurwink	132	12	144
Stepping Stones	8	0	8
Sweetser	232	7	239
The Childrens Center	30	8	38

The Opportunity Alliance	32	17	49
The Progress Center	6	0	6
Tri-County Mental Health	67	24	91
United Cerebral Palsy of Maine	16	2	18
Waban Projects	22	0	22
Wings	122	2	124
Woodfords Family Services	35	0	35
Total	1,483	312	1,795

**OCFS Provider Contract Performance Measures Report:
Outpatient Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2013 To 12/31/2013

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Outpatient** - Outpatient therapy includes clinic based visits, individual therapy with a single clinician & group therapy.

What This Report Measures: The number of youth discharged from children outpatient services and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

Total number of youth discharged from Outpatient Services: 3,045

Total number of youth who did NOT enter residential treatment within 1 Year: 3,002

Percent of youth who did NOT enter residential treatment within 1 Year: 99%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Acadia Family Center	2	2	100%
Alison Lindquist LCSW, LADC	2	2	100%
Allies	2	2	100%
AngleZ Behavioral Health Services - OPT	1	1	100%
Arnold G. Davis Jr LCSW	1	1	100%
Aroostook Mental Health Services	100	102	98%
Assistance Plus	19	20	95%
Bangor Counseling Center	24	24	100%
Barron Morse Counseling, PLLC	1	1	100%
Behavioral Health Center	13	13	100%
BlueSage Therapies Inc.	1	1	100%
Brian Meldrum, MS	1	1	100%
Care & Comfort	110	110	100%
Casa, Inc.	1	1	100%
Central Maine Family Counseling	1	1	100%
Charlotte White Center	8	8	100%
Christine Kimball, LCSW	1	1	100%
Christopher Aaron Counseling Center	21	21	100%
Community Care	5	5	100%

Providers	# NOT entering residential treatment within 1 year	# Discharged	% NOT entering residential treatment within 1 year
Community Counseling Center	98	100	98%
Community Health & Counseling Services	97	100	97%
Connections for Kids	11	11	100%
Constellations, Inc.	1	1	100%
Cornerstone Behavioral Healthcare	96	98	98%
Counseling Services Inc.	155	158	98%
Crisis and Counseling Centers	4	4	100%
Day One	13	13	100%
Debra Papps LCSW	2	3	67%
Dirigo Counseling Clinic	10	10	100%
Dorothy Breen	1	1	100%
Eileen Fair	1	1	100%
Elizabeth A. Marcotte	5	5	100%
Elyse Corbett	1	1	100%
Empowerment Enterprises	1	1	100%
Families United	1	1	100%
Farwell North, Inc	13	13	100%
Food Addiction and Chemical Dependency Consultants	8	8	100%
Freeport Counseling Center	2	2	100%
Full Circle Wellness Center	8	8	100%
Group and Family Center	6	6	100%
Harbor Family Services	42	43	98%
Health Affiliates Maine	185	189	98%
HealthReach network	4	4	100%
Higher Ground Services	3	3	100%
Home Counselors Inc	4	4	100%
Individual Family Therapy for Growth & Change	4	4	100%
Jeffrey S Levy, LCSW	2	2	100%
John F Murphy Homes-Sec 28	22	23	96%
Karla Diffin PhD	1	1	100%
Kathryn Klein, LCSW	1	1	100%
Kennebec Behavioral Health	233	238	98%
KidsPeace	7	7	100%
Kindred Spirits	3	3	100%
KP Counseling PLLC	3	3	100%
Kryse S. Skye, LCSW	7	7	100%
Laurie Redfield	11	11	100%
Learning Works	30	31	97%
Life by Design	63	63	100%
Life Transitions - Dave Patch LCPC	2	2	100%
Lorraine O Wight	3	3	100%
Maine Behavioral Health Organization	7	7	100%
Maine Childrens Home for Little Wanderers	13	13	100%
Margot Fine, LCSW	2	2	100%
Mary Auger	2	2	100%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
MAS Home Care of Maine - Bangor	5	5	100%
MAS Home Care of Maine - Westbrook	2	2	100%
Maura Reed LCPC	3	3	100%
Michelle Brown, LCSW	2	2	100%
Mid Coast Mental Health	18	18	100%
Mount Washington Valley Psychological Services	1	1	100%
New Day Counseling	9	9	100%
Nicole Richman, LCSW	1	1	100%
Northeast Occupational Exchange	22	23	96%
Northern Lighthouse	4	4	100%
Northern Maine General	1	1	100%
Oceanside Community Services, LLP	8	9	89%
Options Counseling	2	2	100%
Oxford County Mental Health Services	1	1	100%
Patricia Kenny, LCSW	3	3	100%
Providence	42	43	98%
Renewed Hope	3	3	100%
Resources for Resolving Violence	2	2	100%
Respectful Living, LLC	1	1	100%
Ricky P. Condon	7	7	100%
Rose A. Bradshaw	1	1	100%
Saco River Health Service	3	3	100%
Sandcastle Preschool Program	4	4	100%
Searsport Counseling Association	1	1	100%
Sequel Care of Maine	2	2	100%
Smart Child & Family Services	15	16	94%
Sonnet Psychological	3	3	100%
Spurwink	171	174	98%
Stephen Benson	1	1	100%
Stepping Stones - Outpatient	7	7	100%
Sweetser	883	891	99%
Sweetser 23 - Primary Care Integration	74	74	100%
The Opportunity Alliance	19	19	100%
Tri-County Mental Health	147	148	99%
Umbrella Mental Health Services	11	11	100%
United Cerebral Palsy of Maine	7	7	100%
Vicky L. Willey, PhD.	9	9	100%
Wild Irish Farm LLC	0	1	0%
Woodfords Family Services - Outpatient	5	5	100%
	3,002	3,045	99%

**OCFS Provider Contract Performance Measures Report:
Med Management Services Treatment Outcome Standard- 80% Do Not Access ITRT Within 1 Year of Discharge
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2013 To 12/31/2013

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **ITRT** - is an abbreviation for Intensive Residential Treatment. ITRTs provide residential treatment for youth under age 21 with mental illness or mental retardation or both. Crisis Units, Treatment Foster Care and Infant residential care are not included in the definition. In Maine, residential treatment is also called **PNMI**.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Med Management-** Medication management is provided by a physician. It includes prescribing and monitoring of mental health related medications.

What This Report Measures: The number of youth discharged from children med management and whether or not they enter residential treatment within one year of discharge. Note that this report is about youth discharged from services over a year ago. This allows time to pass before assessing the outcomes of treatment. It includes the youth discharged from APS CareConnection system as well as the youth whose authorization for the service has expired.

The number of youth discharged from children med management 589

Total number of youth who did NOT enter residential treatment within 1 Year: 572

Percent of youth who did NOT enter residential treatment within 1 Year: 97%

Providers	<u># NOT entering</u>	<u># Discharged</u>	<u>% NOT entering</u>
	<u>residential treatment</u>		<u>residential treatment</u>
	<u>within 1 year</u>		<u>within 1 year</u>
Aroostook Mental Health Services	4	4	100%
Assistance Plus	12	12	100%
Community Counseling Center	15	16	94%
Community Health & Counseling Services	31	33	94%
Cornerstone Behavioral Healthcare	70	72	97%
Counseling Services Inc.	144	147	98%
Crisis and Counseling Centers	1	1	100%
Daniel Bates, DO	2	2	100%
Harbor Family Services	38	38	100%
John F Murphy Homes-Sec 28	17	17	100%
John F. Murphy Homes Inc	2	2	100%
Kennebec Behavioral Health	88	93	95%
Spurwink	9	10	90%
Sweetser	118	121	98%
The Opportunity Alliance	12	12	100%
Umbrella Mental Health Services	7	7	100%
Woodfords Family Services - Medication Management	2	2	100%
	<u>572</u>	<u>589</u>	<u>97%</u>

Report Number: 38d

**Members Discharged from Children’s Inpatient Psychiatric Facilities Who Are Readmitted within 180 days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates: 04/01/2014 To 06/30/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Inpatient psychiatric services** Children’s inpatient psychiatric services are available at two private psychiatric hospitals (Acadia and Spring Harbor) and two community hospitals that have inpatient psychiatric units that serve children (Northern Maine Medical Center & St Mary’s Regional Medical Center). Hospitals designate whether they are providing child or adult inpatient psychiatric services to a member. Thus, an 18 year old may be authorized for adult inpatient services or a 20 year old may be receiving children’s inpatient services.
- **Readmission:** A readmission occurs when a MaineCare member is discharged in CareConnecton® from any child inpatient psychiatric service and is then admitted into a same or different inpatient psychiatric facility within 2 - 180 days.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: Quarterly count of members discharged from children’s inpatient psychiatric facilities who are readmitted to the same or a different hospital. The first column shows members readmitted from 2 to 180 Days later. The second column shows members not readmitted within 180 Days and the third column is the total number of discharges. Members may have more than one discharge. Note that this report is about members in inpatient psychiatric services seven to ten months ago. This allows 180 days to pass before looking to assess if members were readmitted within that time frame.

Total number of discharges:** 413

Total number of members readmitted within 180 days: 93

% of members discharged from inpatient psychiatric facilities that are readmitted within 180 days: 22.5%

	<u>Members Readmitted within 180 days</u>	<u>Members Not Readmitted within 180 days</u>	<u>Total # of Discharges</u>
All Members			
Total MaineCare	93	320	413
Total	93	320	413
	<u>Members Readmitted</u>	<u>Members Not Readmitted</u>	<u>Total # of</u>
	<u>within 180 days</u>	<u>within 180 days</u>	<u>Discharges</u>
Gender			
Female	48	174	222
Male	45	146	191
Total	93	320	413
	<u>Members Readmitted</u>	<u>Members Not Readmitted</u>	<u>Total # of</u>
	<u>within 180 days</u>	<u>within 180 days</u>	<u>Discharges</u>
Age Groups			
4-5	1	5	6
6-12	28	88	116
13-17	54	200	254
18-20	10	25	35
25-64	0	2	2
Total	93	320	413
	<u>Members Readmitted</u>	<u>Members Not Readmitted</u>	<u>Total # of</u>
	<u>within 180 days</u>	<u>within 180 days</u>	<u>Discharges</u>
Child/Adult			
Children (ages 0 -17)	83	293	376
Adult (ages 18+)	10	27	37
Total	93	320	413

*Note: Discharges and authorizations can be processed retroactively causing numbers to fluctuate when rerunning reports.

**Note: Members readmitted in 0 or 1 days are excluded from this report. These are likely either transfers or continued stays, not true readmissions.

***Members age 22 & over reported as receiving child inpatient psychiatric services are removed from this report. These were most likely data entry errors.

District	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
District 1/ York County	12	53	65
District 2/ Cumberland County	21	56	77
District 3/ Androscoggin, Franklin, and Oxford Counties	20	64	84
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	16	44	60
District 5/ Somerset and Kennebec Counties	9	42	51
District 6/ Piscataquis and Penobscot Counties	10	29	39
District 7/ Washington and Hancock Counties	3	10	13
District 8/ Aroostook County	1	20	21
Unknown	1	2	3
Total	93	320	413
Providers	<u>Members Readmitted</u> <u>within 180 days</u>	<u>Members Not Readmitted</u> <u>within 180 days</u>	<u>Total # of</u> <u>Discharges</u>
Acadia Hospital	31	107	138
Anna Jacques Hospital	1	0	1
Emma Pendleton Bradley hospital	0	1	1
Hampstead Hospital	1	2	3
Northern Maine Medical Center	3	19	22
Spring Harbor Hospital	44	131	175
St. Marys Regional Medical Center	13	60	73
Total	93	320	413

OCFS Provider Contract Performance Measures Report: Section 28 Community-Based Services Outcome Standard
95% of those currently in Sec 28 do not access PNMI or Inpatient during quarter
(Includes MaineCare members and Courtesy Reviews done by APS)
Report Dates: 10/01/2014 To 12/31/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. School-based Section 28 is not included.
- **Code H2021HI** is Services for Children with Cognitive Impairments and Functional Limitations - 1:1. Note, neither H2021HI for School-based services nor H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 is included in this report.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II.** Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Inpatient psychiatric services** Children's inpatient psychiatric services are available at two private psychiatric hospitals (Acadia and Spring Harbor) and two community hospitals that have inpatient psychiatric units that serve children (Northern Maine Medical Center & St Mary's Regional Medical Center). Out of state hospitals such as Hampstead Hospital are also included. Hospitals designate whether they are providing child or adult inpatient psychiatric services to a member. Thus, an 18 year old may be authorized for adult inpatient services or a 20 year old may be receiving children's inpatient services.

What This Report Measures: The percent of youth currently in the community-based Section 28 service (H2021HI) who do not have any inpatient admissions or child PNMI admissions during the quarter. The denominator includes youth with an authorization in APS CareConnection at any point in the quarter, including youth who were discharged during the quarter. The numerator is everyone in the denominator who had no authorizations for child inpatient psychiatric services or for child PNMI during the quarter. If the youth was admitted to Section 28 during the quarter, any inpatient or child PNMI admissions prior to entering the Section 28 service are not included

of unique members* in Section 28 Community-based services (H2021HI only) : 2,389

of unique members admitted to either inpatient or PNMI or both: 45

% of members not admitted: 98.1%

* Note: If a youth has authorizations for community-based Section 28 from two different providers, then they are counted twice.

<u>Providers</u>	<u># of members</u>	<u># admitted to inpatient OR child PNMI</u>	<u>% NOT Admitted</u>	<u>Target Met?</u>
AB Home Healthcare	23	0	100.0%	Target Met
Abazinnia	12	0	100.0%	Target Met
Addison House	1	0	100.0%	Target Met
Addison Point Agency- Addison	29	0	100.0%	Target Met
Addison Point Agency- Houlton	53	0	100.0%	Target Met
Addison Point Agency- Waterville	49	1	98.0%	Target Met
Affinity	62	1	98.4%	Target Met
Aroostook Home Health Services	28	1	96.4%	Target Met
Aspire Behavioral Health and Counseling	2	0	100.0%	Target Met
Assistance Plus	28	1	96.4%	Target Met
Back to Basics Behavioral Health Services	77	1	98.7%	Target Met
Bangor Counseling Center	9	0	100.0%	Target Met

<u>Providers</u>	<u># of members</u>	<u># admitted to inpatient OR child PNMI</u>	<u>% NOT Admitted</u>	<u>Target Met?</u>
Behavior Health Solutions for Me	8	0	100.0%	Target Met
Blue School	52	2	96.2%	Target Met
Bridges of Maine, LLC- North	47	1	97.9%	Target Met
Bridges of Maine, LLC- South	45	0	100.0%	Target Met
Broadreach Family & Community Services	24	0	100.0%	Target Met
Care & Comfort	308	11	96.4%	Target Met
Casa, Inc.	33	0	100.0%	Target Met
Catch A Falling Star	7	0	100.0%	Target Met
Catholic Charities Maine- Saint Louis CDL	1	0	100.0%	Target Met
Central Aroostook Association- Section 28	20	0	100.0%	Target Met
Charlotte White Center	71	1	98.6%	Target Met
Children's Center Section 28	14	0	100.0%	Target Met
Choices are for Everyone, Inc.	15	0	100.0%	Target Met
Compass Behavioral Health,LLC	16	0	100.0%	Target Met
Connections for Kids	81	0	100.0%	Target Met
Creative Innovations, Inc	39	0	100.0%	Target Met
Downeast Horizons Inc	69	1	98.6%	Target Met
Fullcircle Supports Inc	16	1	93.8%	Target Not Met
Gallant Therapy Services	39	2	94.9%	Target Not Met
Graham Behavioral Services	19	0	100.0%	Target Met
Growing Opportunities Inc	51	1	98.0%	Target Met
Independence Association	12	0	100.0%	Target Met
Living Innovations Support Services	68	0	100.0%	Target Met
Maine Vocational & Rehabilitation Assoc. Inc. Children's- Portland	15	0	100.0%	Target Met
MAS Home Care of Maine - Bangor	128	3	97.7%	Target Met
MAS Home Care of Maine - Westbrook	67	0	100.0%	Target Met
MC Community Services, LLC	51	1	98.0%	Target Met
Merrymeeting Behavioral Health- Sec 28	36	0	100.0%	Target Met
Mobius, Inc	3	0	100.0%	Target Met
Northern Lighthouse - Section 28	93	0	100.0%	Target Met
Northern Maine General - Section 28	36	1	97.2%	Target Met
Port Resources IHS-Sec 28	23	1	95.7%	Target Met
Progressions Behavioral Health Svc	35	1	97.1%	Target Met
R.I.S.E. Inc	1	0	100.0%	Target Met
Saco River Health Service	90	2	97.8%	Target Met
Sequel Care of Maine	206	9	95.6%	Target Met
Sunrise Opportunities	11	0	100.0%	Target Met
The Progress Center	20	0	100.0%	Target Met
United Cerebral Palsy IV - Section 28	64	2	96.9%	Target Met
Watch Me Shine Preschool, Inc.	10	0	100.0%	Target Met
Woodfords Family Services- In-Home Support	72	0	100.0%	Target Met
Total	2,389	45	98.1%	

Child PNMI Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 10/01/2014 To 12/31/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Residential care:** Youth in need of residential treatment services are served in PNMI. **PNMI** stands for Private Non-Medical Institution (PNMI). PNMI's provide food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes. There are four types of child PNMI as follows: **Mental Health Level I & Level II and Mental Retardation Level I & Level II**. Youth in **Multidimensional Treatment Foster Care** are also included. Multidimensional Treatment Foster Care is an evidenced based residential treatment service used as an alternative to incarceration.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	0 To 1 day	2 To 3 days	4 To 5 days	6 or more days	Total # with elig. determined	Avg. days to determination
Care manager authorized	82	4	0	0	86	0.3
Care manager authorized with changes	9	0	0	0	9	0.0
Physician authorized	2	3	0	0	5	2.0
Physician denied	0	0	0	0	0	0.0
	93	7	0	0	100	0.3

OCFS Quarterly Report: Days Wait Times to Enter Intensive Residential Treatment (PNMI)
(Includes MaineCare members and Courtesy Reviews done by APS)

10/01/2014 To 12/31/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Child Residential** is community residential treatment for youth under age 21 with mental illness or mental retardation or both. (Section 97). It is sometimes also referred to as ITRT Intensive Residential Treatment. There are 4 levels of child PNMI as follows: H0019HE Child PNMI - Mental Health Level I; H0019CG Child PNMI - Mental Health Level II; H0019SE Child PNMI - Mental Retardation Level I and H0019U9 Child PNMI - Mental Retardation Level II. In addition, the evidenced-based residential treatment of multidimensional treatment foster care is also included. Other types of treatment foster care are not included.
- **PNMI** - A Private Non-Medical Institution (PNMI) provides food, shelter, personal care, and treatment services to four or more residents in single or scattered site facilities. PNMI services are not provided in medical settings such as hospitals or nursing homes.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering residential treatment. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to residential treatment: 65

Number of youth admitted within 15 days: 19

% admitted within 15 days: 29.2%

Number of youth admitted in 16 - 30 days: 18

% admitted within 30 days: 56.9%

Service Type	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Child PNMI - Mental Health Level I	11	11	13	2	59%
Child PNMI - Mental Health Level II	7	6	6	4	57%
Child PNMI - Mental Retardation Level I	0	1	0	0	100%
Child PNMI - Mental Retardation Level II	1	0	2	1	25%
	19	18	21	7	57%

Child Age Groups	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
6-12	3	10	4	1	72%
13-17	16	8	16	6	52%
18-20	0	0	1	0	0%
	19	18	21	7	57%

Gender	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Female	6	7	15	3	42%
Male	13	11	6	4	71%
	19	18	21	7	57%

District	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
District 1/ York County	3	3	2	3	55%
District 2/ Cumberland County	5	2	8	1	44%
District 3/ Androscoggin, Franklin, and Oxford Counties	2	2	1	1	67%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	3	3	2	0	75%
District 5/ Somerset and Kennebec Counties	1	2	3	0	50%
District 6/ Piscataquis and Penobscot Counties	2	3	2	1	63%
District 7/ Washington and Hancock Counties	2	1	2	0	60%
District 8/ Aroostook County	1	2	1	1	60%
	19	18	21	7	57%

Satisfied Provider	0 To 15 Days	16 To 30 Days	31 To 60 Days	Over 60 days	% Admitted < 30 days
Aroostook Mental Health Services	1	2	0	0	100%
Becket Houses of Maine	4	3	0	0	100%
Easter Seals	0	0	0	3	0%
KidsPeace	1	0	0	0	100%
NFI North	4	3	2	1	70%
Northern Lighthouse	1	0	0	1	50%
Spaulding Youth Center	0	0	1	0	0%
Spurwink	2	2	3	2	44%
Sweetser	6	7	15	0	46%
The Opportunity Alliance	0	1	0	0	100%
	19	18	21	7	57%

**Report 25b Non-Hospitalized Members Assigned to HCT Service within 3 and 7 Working Days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates 07/01/2014 To 09/30/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Non-hospitalized member** - MaineCare member who is not in an inpatient psychiatric facility at the time of application for services. This is indicated by the member not having an open authorization for inpatient psychiatric services on the day a CFSN is completed or on the day the member is referred for HCT services.
- **Home and Community Based Treatment (HCT)** is a high intensity community-based mental health service. There are several; types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.
 - **HCT - MST Problem Sex Behaviors** is a sub type of HCT MST for children with this issue. If a member is authorized for both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.
 - **HCT MST - Funded by Dept. of Corrections** is a sub type of HCT MST funded with state funds. It is used when the youth is not eligible for MaineCare. If a member is authorized for both HCT MST and HCT MST - Funded by Dept. of Corrections at the same time, only the MaineCare HCT MST service shows on this report in order to avoid showing the same member twice.
- **Prior Authorization (PA)** Whenever a provider is requesting to begin a service with a member, they are required to submit a request for prior authorization (PA) in APS CareConnection.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific HCT team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the date of assignment to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of non-hospitalized members authorized for HCT and whether they

a.) were assigned to HCT service within 3 working days, b.) Waited 4 - 7 working days to be assigned to HCT

or c.) waited longer than 8 days but were eventually assigned to the HCT service.

Total number of non-hospitalized members applying for HCT: 821

Total assigned within 3 working days: 428

% assigned within 3 working days: 52%

Total assigned in 4 - 7 working days: 80

% assigned in 4 - 7 working days: 10%

Total assigned within 7 working days: 508

% assigned within 7 working days: 62%

Total assigned after 8 or more working days: 313

% assigned after 8 or more working days: 38%

Service Name	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
HCT - Child Welfare - Master's	26	4	31	61
HCT - Children's Home & Com. Based Tx - Master's	334	64	233	631
HCT - FFT	9	3	11	23
HCT - MST	51	9	35	95
HCT - MST - Problem Sex. Behaviors	8	0	3	11
Total	428	80	313	821
	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	
Gender	<u>days or less</u>	<u>working days</u>	<u>working days</u>	Total
Female	168	21	110	299
Male	260	59	203	522
Total	428	80	313	821

Child Age Groups	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
0-3	29	4	28	61
4-5	50	9	45	104
6-12	196	45	142	383
13-17	148	22	95	265
18-20	5	0	3	8
Total	428	80	313	821

District	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District 1/ York County	85	15	28	128
District 2/ Cumberland County	80	12	27	119
District 3/ Androscoggin, Franklin, and Oxford Counties	87	12	47	146
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	41	2	25	68
District 5/ Somerset and Kennebec Counties	47	16	98	161
District 6/ Piscataquis and Penobscot Counties	41	11	55	107
District 7/ Washington and Hancock Counties	18	7	22	47
District 8/ Aroostook County	24	5	11	40
Unknown	5	0	0	5
Total	428	80	313	821

Providers	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Aroostook Mental Health Services	16	1	6	23
Assistance Plus	16	0	4	20
Bangor Counseling Center	1	0	0	1
Care & Comfort	6	3	7	16
Casa, Inc.	3	0	0	3
Catholic Charities Maine	4	1	11	16
Christopher Aaron Counseling Center	8	2	5	15
Community Care	33	4	26	63
Community Health & Counseling Services	12	5	6	23
Connections for Kids	18	1	1	20
Counseling Services Inc.	17	0	2	19
Families United	5	4	3	12
Home Counselors Inc	10	1	3	14
Kennebec Behavioral Health	22	12	83	117
KidsPeace	34	3	1	38
Maine Immigrant and Refugee Services	5	1	1	7
MAS Home Care of Maine - Bangor	9	3	19	31
MAS Home Care of Maine - Westbrook	11	2	9	22
New Day Counseling	1	0	0	1
NFI North	1	0	0	1
Northern Lighthouse	5	0	0	5
Port Resources	7	3	2	12
Providence	25	21	84	130
Saco River Health Service	12	0	1	13
Sequel Care of Maine	30	2	6	38
Smart Child & Family Services	9	0	0	9
Spurwink	20	2	3	25
St. Andre Homes	0	0	1	1
Sweetser	26	0	6	32
Tri-County Mental Health	44	3	12	59
United Cerebral Palsy of Maine	9	6	11	26
Woodfords Family Services - Home and Community Treatment	9	0	0	9
Total	428	80	313	821

**Report 25c Hospitalized Members Assigned to HCT Service within 3 and 7 Working Days
(Includes MaineCare members and Courtesy Reviews done by APS)**

Report Dates 07/01/2014 To 09/30/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Hospitalized member** - MaineCare member who is in an inpatient psychiatric facility at the time of application for services. This is indicated by the member having an open authorization for inpatient psychiatric services at the time a CFSN authorization is entered into CareConnection or on the day that the member is referred for HCT services.
- **Home and Community Based Treatment (HCT)** is a high intensity community-based mental health service. There are several; types of HCT services.
 - **HCT** is the regular home and community based treatment service.
 - **HCT - FFT** is home and community based treatment service using the evidenced based treatment model of family functional therapy.
 - **HCT - MST** is home and community based treatment service using the evidenced based treatment model of multi-systems therapy.
 - **HCT - MST Problem Sex Behaviors** is a sub type of HCT MST for children with this issue. If a member is authorized for both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.
 - **HCT MST - Funded by Dept. of Corrections** is a sub type of HCT MST funded with state funds. It is used when the youth is not eligible for MaineCare. If a member is authorized for both HCT MST and HCT MST - Funded by Dept. of Corrections at the same time, only the MaineCare HCT MST service shows on this report in order to avoid showing the same member twice.
- **Prior Authorization (PA)** Whenever a provider is requesting to begin a service with a member, they are required to submit a request for prior authorization (PA) in APS CareConnection.
- **Date of Assignment:** When providers submit a prior authorization (PA), they are asked to fill in APS CareConnection "Date worker assigned". The date worker assigned indicates the day that a specific HCT team has been assigned to begin providing the service to the member.
- **Contact for Service Notification (CFSN)** is a form submitted by a Provider into CareConnection whenever a member is put on a waiting list for service. When there is a CFSN, it is used in conjunction with the start date of the service to determine the number of days the member waited.
- **Referral Date** is a field in CareConnection that the Provider may fill in when a member applies for a service. If the member is not put on a waiting list, (i.e. no CFSN) the referral date is used with the date of assignment to determine the number of days the member waited.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.

What This Report Measures: The number of hospitalized members authorized for HCT and whether they a.) were assigned to HCT service within 3 working days, b.) Waited 4 - 7 working days to be assigned to HCT or c.) waited longer than 8 days but were eventually assigned to the HCT service. Note: If a member is assigned to both HCT MST and HCT MST PSB at the same time, only the HCT MST service shows on this report in order to avoid showing the same member twice.

Total number of hospitalized members applying for HCT: 31

Total assigned within 3 working days: 14

% assigned within 3 working days: 45%

Total assigned in 4 - 7 working days: 3

% assigned in 4 -7 working days: 10%

Total assigned within 7 working days: 17

% assigned within 7 working days: 55%

Total assigned after 8 or more working days: 14

% assigned after 8 or more working days: 45%

Service Name	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
HCT - Children's Home & Com. Based Tx - Master's	10	2	11	23
HCT - FFT	0	0	1	1
HCT - MST	4	1	2	7
Total	14	3	14	31

Gender	<u>Waited 3 working</u>	<u>Waited 4 to 7</u>	<u>Waited 8 or more</u>	Total
	<u>days or less</u>	<u>working days</u>	<u>working days</u>	
Female	8	3	6	17
Male	6	0	8	14
Total	14	3	14	31

Child Age Groups	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
6-12	5	0	7	12
13-17	9	3	7	19
Total	14	3	14	31

District	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
District 1/ York County	3	0	1	4
District 2/ Cumberland County	4	0	2	6
District 3/ Androscoggin, Franklin, and Oxford Counties	2	1	4	7
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	1	0	0	1
District 5/ Somerset and Kennebec Counties	2	1	2	5
District 6/ Piscataquis and Penobscot Counties	1	1	3	5
District 7/ Washington and Hancock Counties	0	0	1	1
District 8/ Aroostook County	1	0	1	2
Total	14	3	14	31

Providers	<u>Waited 3 working days or less</u>	<u>Waited 4 to 7 working days</u>	<u>Waited 8 or more working days</u>	<u>Total</u>
Aroostook Mental Health Services	0	0	1	1
Care & Comfort	0	0	1	1
Catholic Charities Maine	0	0	1	1
Community Care	1	0	0	1
Connections for Kids	0	0	1	1
Counseling Services Inc.	3	0	0	3
Home Counselors Inc	1	0	0	1
Kennebec Behavioral Health	1	0	1	2
KidsPeace	1	0	0	1
MAS Home Care of Maine - Bangor	1	2	0	3
MAS Home Care of Maine - Westbrook	1	0	1	2
Providence	2	0	8	10
Saco River Health Service	1	0	0	1
Sequel Care of Maine	1	0	0	1
Tri-County Mental Health	1	1	0	2
Total	14	3	14	31

Section 28 Family Choice Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 10/01/2014 To 12/31/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	<u>0 To 1</u> <u>day</u>	<u>2 To 3</u> <u>days</u>	<u>4 To 5</u> <u>days</u>	<u>6 or more</u> <u>days</u>	<u>Total # with elig.</u> <u>determined</u>	<u>Avg. days to</u> <u>determination</u>
Care manager authorized	264	55	5	7	331	1.2
Care manager authorized with changes	38	2	0	1	41	0.5
Physician denied	0	0	0	0	0	0.0
	302	57	5	8	372	1.1

**OCFS Quarterly Report: Wait Times to Enter Section 28 - Family Choice
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2014 To 12/31/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering the Section 28 service. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to Section 28 services: 275

Number of youth admitted within 15 days: 110

% admitted within 15 days: 40.0%

Number of youth admitted in 16 - 30 days: 69

% admitted within 30 days: 65.1%

Service Type	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Services for Children with Cognitive Impairments and Functional Limitations - 1:1	107	64	50	29	68%
Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1	3	5	3	14	32%
	110	69	53	43	65%
Child Age Groups	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
0-3	9	5	0	3	82%
4-5	22	6	7	9	64%
6-12	48	28	31	19	60%
13-17	25	28	11	12	70%
18-20	6	2	4	0	67%
	110	69	53	43	65%

	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Gender					
Female	43	20	21	11	66%
Male	67	49	32	32	64%
	<u>110</u>	<u>69</u>	<u>53</u>	<u>43</u>	65%
	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
District					
District 1/ York County	15	16	9	7	66%
District 2/ Cumberland County	10	6	4	7	59%
District 3/ Androscoggin, Franklin, and Oxford Counties	13	9	9	4	63%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	13	9	4	4	73%
District 5/ Somerset and Kennebec Counties	12	6	5	0	78%
District 6/ Piscataquis and Penobscot Counties	27	12	6	10	71%
District 7/ Washington and Hancock Counties	9	6	3	5	65%
District 8/ Aroostook County	11	5	13	6	46%
	<u>110</u>	<u>69</u>	<u>53</u>	<u>43</u>	65%

Satisfied Provider	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
AB Home Healthcare	6	0	1	0	86%
Addison House	0	0	0	1	0%
Addison Point Agency- Addison	4	0	1	1	67%
Addison Point Agency- Houlton	0	1	1	2	25%
Addison Point Agency- Waterville	1	0	1	0	50%
Affinity	0	3	1	0	75%
Aroostook Home Health Services	2	1	0	0	100%
Aspire Behavioral Health and Counseling	1	0	0	0	100%
Assistance Plus	1	2	0	0	100%
Back to Basics Behavioral Health Services	7	6	1	0	93%
Bangor Counseling Center	0	1	0	0	100%
Blue School	6	0	0	1	86%
Bridges of Maine, LLC- North	0	1	1	0	50%
Bridges of Maine, LLC- South	0	3	0	1	75%
Broadreach Family & Community Services	2	2	1	0	80%
Care & Comfort	19	6	2	0	93%
Casa, Inc.	2	0	0	0	100%
Catch A Falling Star	1	0	0	0	100%
Charlotte White Center	6	4	1	0	91%
Children's Center Section 28	1	1	0	0	100%
Compass Behavioral Health, LLC	5	2	0	0	100%
Connections for Kids	1	1	3	3	25%
Creative Innovations, Inc	0	1	0	0	100%
Downeast Horizons Inc	2	0	3	7	17%
Gallant Therapy Services	3	0	0	0	100%
Graham Behavioral Services	2	2	0	0	100%
Growing Opportunities Inc	2	0	0	0	100%
Living Innovations Support Services	5	0	0	0	100%
MAS Home Care of Maine - Bangor	6	12	6	0	75%
MAS Home Care of Maine - Westbrook	1	0	2	0	33%
MC Community Services, LLC	3	1	0	1	80%
Merrymeeting Behavioral Health- Sec 28	1	1	0	2	50%
Northern Lighthouse - Section 28	1	1	8	2	17%
Northern Maine General - Section 28	0	1	1	0	50%
Port Resources IHS-Sec 28	2	0	4	2	25%
Progressions Behavioral Health Svc	0	1	0	0	100%
Providence	2	0	0	4	33%
R.I.S.E. Inc	0	5	0	1	83%
Saco River Health Service	2	2	3	2	44%
Sequel Care of Maine	8	1	5	1	60%
Sunrise Opportunities	0	1	1	0	50%
The Progress Center	0	0	3	0	0%
United Cerebral Palsy IV - Section 28	3	5	1	2	73%
Watch Me Shine Preschool, Inc.	2	0	0	0	100%
Woodfords Family Services- In-Home Support	0	1	2	10	8%
	110	69	53	43	65%

Section 28 OCFS Quarterly Report: Application to Eligibility Determination Times
(Includes ContactForService Reviews done by APS)
Report Dates: 10/01/2014 To 12/31/2014

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Family Choice** The family-choice designation is used to note members who request a specific provider. The eligibility criteria for Family Choice is the same for other community-based Section 28 services, but the member is placed on a wait list for members waiting for a specific provider.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

Definitions:

- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Care manager authorized:** The APS Care Manager has authorized the service requested for the member.
- **Care manager authorized with changes:** APS Care Manager has authorized the service, but has made changes to the service code, the number of units or the length of the authorization from the original request.
- **Physician authorized:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has authorized the service requested for the member.
- **Physician denied:** The APS Care Manager requested that the physician review the request for the service. The physician, upon review, has denied the service requested for the member based on MaineCare eligibility criteria. This report shows first level denials. The member has a right for a reconsideration with a different physician and if denied a second time, has the right to request a formal appeal.

What This Report Measures: This report shows the number of days from when the Contact for Service Notification (CFSN) is submitted in APS CareConnection to the time the application is reviewed and an eligibility determination is made by APS Care Managers.

Service Status	<u>0 To 1</u> <u>day</u>	<u>2 To 3</u> <u>days</u>	<u>4 To 5</u> <u>days</u>	<u>6 or more</u> <u>days</u>	<u>Total # with elig.</u> <u>determined</u>	<u>Avg. days to</u> <u>determination</u>
Care manager authorized	150	21	2	5	178	1.1
Care manager authorized with changes	22	2	0	0	24	0.5
Physician denied	0	0	0	0	0	0.0
	<u>172</u>	<u>23</u>	<u>2</u>	<u>5</u>	<u>202</u>	<u>1.1</u>

**OCFS Quarterly Report: Wait Times to Enter Section 28 - OCFS Providers
(Includes MaineCare members and Courtesy Reviews done by APS)**

10/01/2014 To 12/31/2014

Run Date: 01/30/2015

Report Source: Authorization data from APS CareConnection®

Definitions:

- **Section 28 Community Based Rehabilitation and Community Support Services (Sec.28)** is also known as Rehabilitative and Community Services. The service is for youth up to the age of 21 who have a developmental disability that affects their everyday functioning. (Ex. Autism, intellectual disability (MR), Retts Syndrome, PDD). Services include skill building in activities of daily living and behavior management. Services may be delivered in individual or group settings, and are intended to help the child function better in home and community through working on goals identified in a treatment plan. Code H2021HI is Services for Children with Cognitive Impairments and Functional Limitations - 1:1 and Code H2021HK which is Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1 are included in this report. School-based Section 28 is not included.
- **Courtesy Review** - APS completes courtesy reviews when a member is not MaineCare eligible at the time of admission, but is expected to be served using either MaineCare and/or state funds.
- **Contact for Service Notification (CFSN)** is a form submitted by APS Care Managers into CareConnection whenever a member is applying for this service. From there, APS determines the eligibility and the CFSN enters the **process final** state.
- **Process final date** is the date that the members eligibility for the service is determined. Because APS acts as the intake for this service, CFSNs are submitted before the member's eligibility is determined. If the member is not eligible, they would not be included on this report because they will not be eligible for a prior authorization for the service.
- **Prior authorization (PA)** is submitted by the specific provider that is requesting to provide the service after medical necessity criteria has been determined. The prior authorization is the date the service will begin.

What This Report Measures: This report shows the number of days a youth waited before entering the Section 28 service. It is calculated as days between the "process final date" to the date of the prior authorization (PA) submitted by the specific provider.

Number of youth admitted to Section 28 services: 155

Number of youth admitted within 15 days: 95

% admitted within 15days: 61.3%

Number of youth admitted in 16 - 30 days: 27

% admitted within 30days: 78.7%

Service Type	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Services for Children with Cognitive Impairments and Functional Limitations - 1:1	94	27	23	10	79%
Specialized Services for Children with Cognitive Impairments and Functional Limitations - 1:1	1	0	0	0	100%
	<u>95</u>	<u>27</u>	<u>23</u>	<u>10</u>	<u>79%</u>
Child Age Groups	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
0-3	2	0	1	1	50%
4-5	13	4	3	1	81%
6-12	44	9	9	7	77%
13-17	32	11	9	1	81%
18-20	4	3	1	0	88%
	<u>95</u>	<u>27</u>	<u>23</u>	<u>10</u>	<u>79%</u>
Gender	0 To 15	16 To 30	31 To 60	Over	% Admitted
	Days	Days	Days	60 days	< 30 days
Female	27	11	8	3	78%
Male	68	16	15	7	79%
	<u>95</u>	<u>27</u>	<u>23</u>	<u>10</u>	<u>79%</u>

	0 To 15	16 To 30	31 To 60	Over	% Admitted
District	Days	Days	Days	60 days	< 30 days
District 1/ York County	8	4	6	1	63%
District 2/ Cumberland County	9	5	1	3	78%
District 3/ Androscoggin, Franklin, and Oxford Counties	9	1	3	0	77%
District 4/ Knox, Lincoln, Sagadahoc, and Waldo Counties	10	6	5	3	67%
District 5/ Somerset and Kennebec Counties	16	4	1	1	91%
District 6/ Piscataquis and Penobscot Counties	27	5	2	2	89%
District 7/ Washington and Hancock Counties	5	0	2	0	71%
District 8/ Aroostook County	11	2	3	0	81%
	95	27	23	10	79%
Satisfied Provider	Days	Days	Days	60 days	< 30 days
AB Home Healthcare	6	2	0	0	100%
Addison Point Agency- Addison	0	0	1	0	0%
Addison Point Agency- Houlton	1	0	0	0	100%
Addison Point Agency- Waterville	0	1	1	0	50%
Aspire Behavioral Health and Counseling	2	0	0	0	100%
Assistance Plus	0	0	1	0	0%
Back to Basics Behavioral Health Services	1	0	1	0	50%
Blue School	13	1	2	2	78%
Bridges of Maine, LLC- North	3	0	0	1	75%
Bridges of Maine, LLC- South	0	2	0	0	100%
Care & Comfort	23	4	4	0	87%
Casa, Inc.	0	1	1	0	50%
Charlotte White Center	5	1	0	1	86%
Children's Center Section 28	0	1	0	0	100%
Choices are for Everyone, Inc.	1	0	1	0	50%
Compass Behavioral Health, LLC	0	0	1	0	0%
Connections for Kids	2	0	0	0	100%
Downeast Horizons Inc	2	1	0	0	100%
Fullcircle Supports Inc	1	1	1	1	50%
Gallant Therapy Services	5	0	1	0	83%
Graham Behavioral Services	3	0	0	0	100%
Growing Opportunities Inc	1	1	0	0	100%
Living Innovations Support Services	5	0	2	0	71%
MAS Home Care of Maine - Bangor	3	0	0	0	100%
MAS Home Care of Maine - Westbrook	4	1	1	1	71%
MC Community Services, LLC	1	0	0	0	100%
Merrymeeting Behavioral Health- Sec 28	0	2	0	1	67%
Northern Lighthouse - Section 28	0	1	0	0	100%
Northern Maine General - Section 28	3	0	1	0	75%
Providence	1	0	0	0	100%
Saco River Health Service	0	1	2	1	25%
Sequel Care of Maine	7	6	1	2	81%
The Progress Center	1	0	1	0	50%
United Cerebral Palsy IV - Section 28	1	0	0	0	100%
	95	27	23	10	79%