

# **Section K**

## **Swallowing/ Nutritional Status**

# Objectives

- State the intent of Section K Swallowing/ Nutritional Status.
- Describe how to conduct an assessment of a resident's nutritional status.
- Calculate resident weight change (gain or loss) accurately.
- Code Section K correctly and accurately.

# Intent of Section K

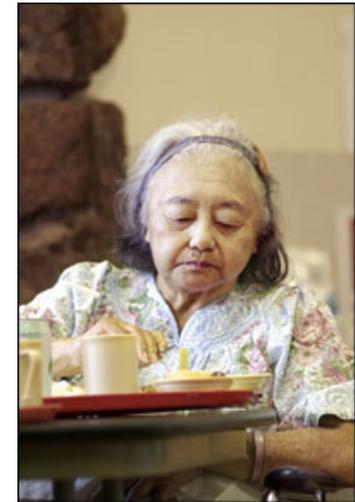
- Assess conditions that affect ability to maintain adequate nutrition and hydration.
- Includes:
  - Swallowing disorders
  - Height and weight
  - Weight change
  - Nutritional approaches
- Collaborate with dietician and dietary staff.

**Item K0100**

**Swallowing Disorder**

# K0100 Importance

- Ability to swallow safely can be affected by many disease processes and functional decline.
- Alterations in the ability to swallow can result in choking and aspiration.
- Can increase the resident's risk:
  - Malnutrition
  - Dehydration
  - Aspiration pneumonia



# K0100 Conduct the Assessment<sub>1</sub>

- Ask resident about any difficulty swallowing during the look-back period.
- Ask about each symptom.
- Observe resident to identify any symptoms.
  - During meals
  - At times resident is eating, drinking, or swallowing
- Interview staff members across all shifts.



# K0100 Conduct the Assessment<sub>2</sub>

- Review medical record
  - Nursing notes
  - Physician notes
  - Dietician notes
  - Speech language pathologist notes
  - Dental history or problems
- Dental problems may include:
  - Poor fitting dentures
  - Dental caries
  - Edentulous
  - Mouth sores
  - Tumors
  - Pain with food consumption

# K0100 Assessment Guidelines

- Code a symptom even if it occurred only once in the look-back period.
- Do **not** code a swallowing problem if interventions have been successful in treating the problem.

# K0100 Coding Instructions

- Check all items that apply during the look-back period.

<b>K0100. Swallowing Disorder</b>	
Signs and symptoms of possible swallowing disorder	
↓ Check all that apply	
<input type="checkbox"/>	A. Loss of liquids/solids from mouth when eating or drinking
<input checked="" type="checkbox"/>	B. Holding food in mouth/cheeks or residual food in mouth after meals
<input type="checkbox"/>	C. Coughing or choking during meals or when swallowing medications
<input checked="" type="checkbox"/>	D. Complaints of difficulty or pain with swallowing
<input type="checkbox"/>	Z. None of the above

**Item K0200**

**Height and Weight**

# K0200 Importance

- Diminished nutritional and hydration status can lead to debility that can adversely affect:
  - Health and safety
  - Quality of life
- Measuring weight is one guide for determining nutritional status.
- Significant weight gain is as important to monitor as weight loss.



# K0200A Height

## Conduct the Assessment

- Measure and record height in inches on admission.
- Measure height consistently over time in accordance with facility policy and procedure.
- Check the medical record for subsequent assessments.
- Measure and record height again if last measurement is more than one year old.

# K0200A Height Coding Instructions

- Record height to the nearest whole inch.
- Use mathematical rounding.
  - Record a height of 62.5 inches as 63 inches.
  - Record a height of 62.4 inches as 62 inches.

K0200. Height and Weight – While measuring, if the number is X.1 – X.4, round down; X.5 or greater round up

63

inches

A. Height (in inches). Record most recent height measure since admission.

K0200. Height and Weight – While measuring, if the number is X.1 – X.4, round down; X.5 or greater round up

62

inches

A. Height (in inches). Record most recent height measure since admission.

# K0200B Weight

## Conduct the Assessment

- Weigh resident on admission.
- For subsequent assessments:
  - Check the medical record.
  - Enter the weight taken within 30 days of the ARD.
- Weigh resident again if:
  - Last recorded weight was taken more than 30 days prior to the ARD.
  - Previous weight is not available.

# K0200B Weight Assessment Guidelines

- Record the most recent weight if the resident's weight was taken more than once during the preceding month.
- Measure weight consistently over time in accordance with the facility policy and procedure, which should reflect current standards of practice.

# K0200B Weight Coding Instructions

- Use mathematical rounding.
  - o Record a weight of 152.5 pounds as 153 pounds.
  - o Record a weight of 152.4 pounds as 152 pounds.
- Use the no-information code ( - ) if the resident cannot be weighed.

--	--	--

pounds

**B. Weight** (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.).

**Item K0300**

**Weight Loss**

# K0300 Importance

- Weight loss can result in debility.
- Can adversely affect:
  - Health
  - Safety
  - Quality of life
- Controlled and careful weight loss can improve mobility and health status for persons with morbid obesity.
- Controlled and careful diuresis can improve health status for persons with a large volume (fluid) overload.

# K0300 Conduct the Assessment New Admission

- Ask the resident, family, or significant other.
- Compare admission weight to previous weight.
  - Consult the resident's physician.
  - Review transfer documentation.
- Calculate the percentage of weight loss if admission weight is less than previous weight.
  - Compare to weight 30 days ago.
  - Compare to weight 180 days ago.

# K0300 Conduct the Assessment Subsequent Assessments

- Compare current weight to weight 30 days ago.
- Calculate the percentage of weight loss if current weight is less.
- Compare current weight to weight 180 days ago.
- Calculate the percentage of weight loss if current weight is less.



# K0300 Assessment Guidelines

- Does not consider weight fluctuation outside of these two time points
- Should not wait for the 30-day or 180-day timeframe if resident is losing or gaining significant amounts of weight
  - 5% in one month
  - 7.5% in three months
  - 10% in six months
- Code weight loss based on whether it was planned/ managed or unplanned/ unmanaged.

# K0300 Calculate Percentage (5%)

- Use mathematical rounding before calculation.
- Multiply previous weight by 0.95 to determine resident weight after 5% weight loss.
- Example: 160 pounds  $\times$  0.95 = 152 pounds
  - A resident whose weight drops from 160 to 152 lbs or less has experienced 5% or more weight loss.
- Example: 200 pounds  $\times$  0.95 = 190 pounds
  - A resident whose weight drops from 200 to 190 lbs or less has experienced 5% or more weight loss.

# K0300 Calculate Percentage (10%)

- Use mathematical rounding before calculation.
- Multiply previous weight by 0.90 to determine resident weight after 10% weight loss.
- Example: 160 pounds  $\times$  0.90 = 144 pounds
  - A resident whose weight drops from 160 to 144 lbs or less has experienced 10% or more weight loss.
- Example: 200 pounds  $\times$  0.90 = 180 pounds
  - A resident whose weight drops from 200 to 180 lbs or less has experienced 10% or more weight loss.

# K0300 Calculation Practice #1

- Mrs. J has been on a physician-ordered, calorie-restricted diet for the past year.
- Her current weight is 169 lbs.
- Her weight 30 days ago was 172 lbs.
- Her weight 180 days ago was 192 lbs.

# Does Mrs. J have weight loss of 5% or more over the last 30 days?

- A. Yes, Mrs. J had weight loss of 5% or more.
- B. No, Mrs. J did not have weight loss of 5% or more.
- C. Resident did not have weight loss over this time period.

# K0300 Coding Sample #1

## 30-Day Weight Loss

- Mrs. J's current weight is 169 lbs.
- Her weight 30 days ago was 172 lbs.
- 30-day 5% calculation =  $172 \text{ lbs} \times .95$
- 5% weight loss point is 163.4 lbs.
- Mrs. J does not weigh less than 163.4 lbs.
- Mrs. J does not have 5% weight loss over the last 30 days.

# Does Mrs. J have weight loss of 10% or more over the last 180 days?

- A. Yes, Mrs. J had weight loss of 10% or more.
- B. No, Mrs. J did not have weight loss of 10% or more.
- C. Resident did not have weight loss over this time period.

# K0300 Coding Sample #1

## 180-Day Weight Loss

- Mrs. J's current weight is 169 lbs.
- Her weight 180 days ago was 192 lbs.
- 180-day 10% calculation =  $192 \text{ lbs} \times .90\%$
- 10% weight loss point is 172.8 lbs.
- Mrs. J weighs less than 172.8 lbs.
- Mrs. J does have 10% or more weight loss over the last 180 days.

# K0300 Coding Instructions<sub>1</sub>

- Coding determined by percentage of weight loss over the 30-day and 180-day snapshot period.
  - Loss of 5% or more in last month
  - Loss of 10% or more in last six months
  - Does not have to meet both criteria

K0300. Weight Loss	
Enter Code	Loss of 5% or more in the last month or loss of 10% or more in last 6 months
<input type="checkbox"/>	0. No or unknown
<input type="checkbox"/>	1. Yes, on physician's report

Loss of 5% or more in the last month or loss of 10% or more in last 6 months

0. No or unknown

# K0300 Coding Instructions<sub>2</sub>

- **Code 0. No or unknown**
  - Resident did not experience defined weight loss.
  - Prior weight is not available.
- **Code 1. Yes, on physician-prescribed weight loss regimen**
  - Weight loss planned and pursuant to physician's order.
  - Expressed goal of the diet must be inducing weight loss.
- **Code 2. Yes, not on physician-prescribed weight loss regimen**
  - Weight loss not planned and prescribed by a physician.

**Item K0500**

**Nutritional Approaches**

# K0500 Importance

- The resident's clinical condition may potentially benefit from various nutritional approaches.
- It is important for the facility to work with the resident and family members to establish nutritional support goals that integrate the resident's preferences with the overall clinical goals.

# K0500 Conduct the Assessment

- Review the medical record.
- Determine if any of the listed nutritional approaches were received by the resident during the look-back period.

K0500. Nutritional Approaches

↓ Check all that apply

<input type="checkbox"/>	A. Parenteral/IV feeding	<input type="checkbox"/>	A. Parenteral/IV feeding
<input type="checkbox"/>	B. Feeding tube - nasogastric or abdominal	<input type="checkbox"/>	B. Feeding tube - nasogastric or abdominal
<input type="checkbox"/>	C. Mechanically altered diet - regular	<input type="checkbox"/>	C. Mechanically altered diet - regular (liquids)
<input type="checkbox"/>	D. Therapeutic diet (e.g., low sodium)	<input type="checkbox"/>	D. Therapeutic diet (e.g., low sodium)
<input type="checkbox"/>	Z. None of the above	<input type="checkbox"/>	Z. None of the above

Percent Intake by Ass...

# K0500 Assessment Guidelines<sub>1</sub>

- Nutritional approaches include:
  - Any and all nutrition and hydration received by the nursing home resident
  - At the nursing home or at a hospital as an outpatient or as an inpatient
  - **Provided it was administered for nutrition or hydration**

# K0500 Assessment Guidelines<sub>2</sub>

- Enteral feeding formulas:
  - o Should not be coded as a mechanically altered diet.
  - o Should be coded as a therapeutic diet only if used to manage problematic health conditions (for example, enteral formulas for diabetics).

# K0500 Assessment Guidelines<sub>3</sub>

- Parenteral/ IV feeding can include the following when there is **supporting documentation that reflects the need for additional fluid intake specifically for nutrition or hydration:**
  - o IV fluids or hyperalimentation, including total parenteral nutrition (TPN), administered continuously or intermittently
  - o IV fluids running at KVO (Keep Vein Open)
  - o IV fluids contained in IV Piggybacks
  - o Hypodermoclysis and subcutaneous ports in hydration therapy

# K0500 Assessment Guidelines<sub>4</sub>

- The following items are **NOT** coded in K0500A:
  - o IV medications
  - o IV fluids administered as a routine part of an operative or diagnostic procedure or recovery room stay
  - o IV fluids administered solely as flushes
  - o Parenteral/ IV fluids administered in conjunction with chemotherapy or dialysis

# K0500 Assessment Guidelines<sub>5</sub>

- IV fluids can be coded in K0500 if needed to prevent dehydration.
- Additional fluid intake specifically for nutrition or hydration and preventing dehydration should be clinically indicated.
- Supporting documentation should be provided in the medical record.

# K0500 Coding Instructions

- Check all that apply.
- Check option **Z** if none apply.

K0500. Nutritional Approaches	
↓ Check all that apply	
<input checked="" type="checkbox"/>	A. Parenteral/IV feeding
<input type="checkbox"/>	B. Feeding tube - nasogastric or abdominal (PEG)
<input checked="" type="checkbox"/>	C. Mechanically altered diet - require change in texture of food or liquids (e.g., pureed food, thickened liquids)
<input type="checkbox"/>	D. Therapeutic diet (e.g., low salt, diabetic, low cholesterol)
<input type="checkbox"/>	Z. None of the above

# K0500 Practice #1<sub>1</sub>

- Mrs. H is receiving an antibiotic in 100 cc of normal saline via IV.
- She has:
  - Urinary tract infection (UTI)
  - Fever
  - Abnormal lab results (e.g. new pyuria, microscopic hematuria, urine culture with growth >100,000 colony forming units of a urinary pathogen)
  - Documented inadequate fluid intake (i.e. output of fluids far exceeds fluid intake) with signs and symptoms of dehydration

# K0500 Practice #1<sub>2</sub>

- She is placed on the nursing home's hydration plan to ensure adequate hydration.
- Documentation shows IV fluids are being administered as part of the already identified need for additional hydration.

# How should K0500 be coded?

- A. Check K0500A Parenteral/ IV feeding.
- B. Check K0500B Feeding tube.
- C. Check K0500C Mechanically altered diet.
- D. Check K0500D Therapeutic diet.
- E. Check K0500Z None of the above.

# K0500 Practice #1 Coding

- Check K0500A Parenteral/ IV feeding.
- The resident received 100 cc of IV fluid.
- There is supporting documentation that reflected an identified need for additional fluid intake for hydration.

# K0500 Practice #2

- Mr. J is receiving an antibiotic in 100 cc of normal saline via IV.
- He has a UTI, no fever, and documented adequate fluid intake.
- He is placed on the nursing home's hydration plan to ensure adequate hydration.

# How should K0500 be coded?

- A. Check K0500A Parenteral/ IV feeding.
- B. Check K0500B Feeding tube.
- C. Check K0500C Mechanically altered diet.
- D. Check K0500D Therapeutic diet.
- E. Check K0500Z None of the above.

# K0500 Practice #2 Coding

- Check K0500Z None of the above.
- Although the resident received the additional fluid, there is no documentation to support a need for additional fluid intake.

**Item K0700**

**Percent Intake by  
Artificial Route**

# K0700 Percent Intake by Artificial Route

- Complete this item only if K0500A or K0500B is checked.

K0500. Nutritional Approaches	
↓ Check all that apply	
<input type="checkbox"/>	A. Parenteral/IV feeding
<input type="checkbox"/>	B. Feeding tube - nasogastric or abdominal (PEG)
<input type="checkbox"/>	C. Mechanically altered diet - require change in texture of food or liquids (e.g., pureed food, thickened liquids)
<input type="checkbox"/>	D. Therapeutic diet (e.g., low salt, diabetic, low cholesterol)
<input type="checkbox"/>	Z. None of the above

# K0700A Parenteral/ IV Feeding

## Conduct the Assessment

- Review intake records to determine actual intake through parenteral or tube feeding routes.
- Calculate the proportion of total calories received through these routes.
- If the resident took no food or fluids by mouth or took just sips of fluid, stop here.
- If the resident had more substantial oral intake than this, consult with the dietician.

# K0700A Calculate Proportion<sub>1</sub>

- Dietician report of total calories:

	<b>Oral</b>	<b>Tube</b>
Sun.	500	2,000
Mon.	250	2,250
Tues.	250	2,250
Wed.	350	2,250
Thurs.	500	2,000
Fri.	250	2,250
Sat.	350	2,000
<b>Total</b>	<b>2,450</b>	<b>15,000</b>

# K0700A Calculate Proportion<sub>2</sub>

- Total oral intake = 2,450 calories
- Total tube intake = 15,000 calories
- Total calories = 2,450 + 15,000 = 17,450
- Percentage of calories by tube feeding
  - $15,000 / 17,450 = 0.859$
  - $0.859 \times 100 = 85.9\%$

# K0700A Coding Instructions

- Select the best response.
- Code **3** if the resident took no food or fluids by mouth or took just sips of fluid.

K0700. Percent Intake by Artificial Route - Complete K0700 only if K0500A or K0500B is checked	
Enter Code	<b>A. Proportion of total calories the resident received through parenteral or tube feeding</b>
<input type="checkbox"/>	1. 25% or less
<input type="checkbox"/>	2. 26-50%
<input type="checkbox"/>	3. 51% or more

**25% or less**

**26-50%**

**51% or more**

# K0700B Feeding Tube

## Conduct the Assessment

- Add up the total amount of fluid received each day by IV and/ or tube feedings only.
- Divide the week's total fluid intake by 7 to calculate the average of fluid intake per day.
- Divide by 7 even if the resident did not receive IV fluids and/ or tube feeding on each of the 7 days.

# K0700B Assessment Guidelines

- Code the average number of cc's of fluid the resident received per day by IV or tube feeding.
- Record what was **actually received** by the resident.
- Do not code what was ordered.

# K0700B Coding Instructions

- **Code 1.** 500 cc/ day or less
- **Code 2.** 501 cc/ day or more

Enter Code <input type="checkbox"/>	<b>B. Average fluid intake per day by IV or tube feeding</b> 1. 500 cc/day or less 2. 501 cc/day or more
--	--

A blue oval highlights the numbers 1 and 2 in the list, corresponding to the coding instructions above.

# K0700B Scenario #1<sub>1</sub>

- Ms. A has swallowing difficulties secondary to Huntington's disease.
- She is able to take oral fluids by mouth with supervision, but not enough to maintain hydration.
- She received daily fluid by supplemental tube feedings (including water, prepared nutritional supplements, juices) during the last 7 days.

# K0700B Scenario #1<sub>2</sub>

Sun	1250 cc	Thurs	1200 cc
Mon	775 cc	Fri	500 cc
Tues	925 cc	<u>Sat</u>	<u>450 cc</u>
Wed	1200 cc	Total	6,300 cc

- Daily average = 6,300 cc/ 7 days
- Daily average = 900 cc/ day
- Code option **2. 501 cc/ day or more**

# K0700B Scenario #2<sub>1</sub>

- Mrs. G received 1 liter of IV fluids during the 7-day assessment period.
- She received no other intake via IV or tube feeding during the assessment period.

# K0700B Scenario #2<sub>2</sub>

Sun	00 cc	Thurs	00 cc
Mon	00 cc	Fri	00 cc
Tues	1000 cc	<u>Sat</u>	<u>00 cc</u>
Wed	00 cc	Total	1,000 cc

- Daily average = 1,000 cc/ 7 days
- Daily average = 142.9 cc/ day
- Code option **1. 500 cc/ day or less**

# **Section K**

## **Summary**

# Section K Summary

- Intent is to assess conditions that could affect a resident's ability to maintain adequate nutrition and hydration.
- Addresses multiple factors reflecting nutritional status:
  - Difficulties swallowing
  - Weight loss
  - Nutritional approaches required
  - Intake of calories or fluid by parenteral or tube feeding