

**Department of Health and Human Services
Division of Licensing and Regulatory Services
State House, Augusta, ME
Certificate of Need/Certificate of Public Advantage APPLICATION¹**

Date:

Project Title:

| | Applicant 1 | Applicant 2 | Applicant 3 |
|-----------------------|--------------------|--------------------|--------------------|
| Contact | | | |
| Applicant Name | | | |
| Address | | | |
| Telephone | | | |
| E-mail | | | |

Copies of all notices, correspondence and decisions relating to this project's CON/COPA Application should also be copied to the following third party*:

Name:

Company:

Address:

Telephone:

E-mail:

***This does not give CONU the authority or obligation to communicate directly with this third party.**

Signature

Date

¹ CONU has organized the application to incorporate both CON and COPA criteria and standards in an effort to consolidate similar criteria for the ease of applying and analysis. However, the applicant has the burden to meet all relevant CON and COPA criteria and standards regardless of the organization of the application.

I. Abstract

I. Abstract

Please include an abstract of the project, not to exceed one to two (1-2) page(s) in length.

From Applicant

II. Fit, Willing and Able

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Relevant criteria for inclusion in this section are specific to the determination that the applicant is fit, willing and able to provide the proposed services at the proper standard of care as demonstrated by, among other factors, whether the quality of any health care provided in the past by the applicant or a related party under the applicant's control meets industry standards;

A. From Applicant

III. Financial Capability of Applicants and Financial Impact of Project

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Relevant criteria under the CON law for inclusion in this section are specific to the determination that the economic feasibility of the proposed services is demonstrated in terms of the:

- Capacity of the applicant to support the project financially over its useful life, in light of the rates the applicant expects to be able to charge for the services to be provided by the project; and
- The applicant's ability to establish and operate the project in accordance with existing and reasonably anticipated future changes in federal, state and local licensure and other applicable or potentially applicable rules.

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely gains in the cost efficiency of services provided by the hospitals or health care providers;
- The extent of any likely adverse impact on the ability of health maintenance organizations, preferred provider organizations, managed health care service agents or other health care payors to negotiate optimal payment and service arrangements with hospitals or health care providers;
- The extent of any disadvantages attributable to reduction in competition among hospitals or health care providers or other persons furnishing goods or services to, or in competition with, covered entities that are likely to result directly or indirectly from the cooperative agreement; and
- The extent of any likely adverse impact on patients or clients in the price of health care services.

A. From Applicant

IV. Public Need

IV. Public Need

Relevant criteria under the CON law for inclusion in this section are specific to the determination there is a public need for the proposed services as demonstrated by certain factors, including, but not limited to:

- Whether, and the extent to which, the project will substantially address specific health problems as measured by health needs in the area to be served by the project;
- Whether the project will have a positive impact on the health status indicators of the population to be served;
- Whether the services affected by the project will be accessible to all residents of the area proposed to be served; and
- Whether the project will provide demonstrable improvements in quality and outcome measures applicable to the services proposed in the project;

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely preservation of hospitals or health care providers and related facilities in geographical proximity to the communities traditionally served by those facilities; and
- The extent of any likely adverse impact on patients or clients in the availability of health care services.

A. From Applicant

V. Orderly and Economic Development

V. Orderly and Economic Development

Relevant criteria under the CON law for inclusion in this section are specific to the determination that the proposed services are consistent with the orderly and economic development of health facilities and health resources for the State as demonstrated by:

- The impact of the project on total health care expenditures after taking into account, to the extent practical, both the costs and benefits of the project and the competing demands in the local service area and statewide for available resources for health care;
- The availability of state funds to cover any increase in state costs associated with utilization of the project's services; and
- The likelihood that more effective, more accessible or less costly alternative technologies or methods of service delivery may become available.

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely avoidance of duplication of hospital or other health care resources.

A. From Applicant

VI. State Health Plan & Educational Opportunities

VI. State Health Plan & Educational Opportunities

Relevant criteria under the CON law for inclusion in this section are specific to the determination that the project is consistent with the State Health Plan.

Please indicate which State Health Plan goals are being met. Please ONLY complete the description sections on the priorities that pertain to your application/project.

State Health Plan goals targeted by Applicant

- The applicant is redirecting resources and focus toward population-based health and prevention.
- The applicant has a plan to reduce non-emergent ER use.
- The applicant demonstrates a culture of patient safety, that it has a quality improvement plan, uses evidence-based protocols, and/or has a public and/or patient safety improvement strategy for the project under consideration and for the other services throughout the hospital.
- The project leads to lower costs of care / increased efficiency through such approaches as collaboration consolidation, and/or other means.
- The project improves access to necessary services for the population.
- The applicant has regularly met the Dirigo voluntary cost control targets.
- The impact of the project on regional and statewide health insurance premiums, as determined by BOI, given the benefits of the project, as determined by CONU.
- Applicants (other than those already participating in the HealthInfoNet Pilot) who have employed or have concrete plans to employ electronic health information systems to enhance care quality and patient safety.
- Projects done in consultation with a LEEDS certified-architect that incorporate “green” best practices in building construction, renovation and operation to minimize environmental impact both internally and externally.

PRIORITY: The applicant is redirecting resources and focus toward population-based health and prevention.

A. From Applicant

VI. State Health Plan & Educational Opportunities

PRIORITY: The applicant demonstrates a culture of patient safety, that it has a quality improvement plan, uses evidence-based protocols, and/or has a public and/or patient safety improvement strategy for the project under construction and for other services throughout the hospital.

A. From Applicant

PRIORITY: The impact of the project on regional and statewide health insurance premiums, as determined by BOI, given the benefits of the project, as determined by CONU.

A. From Applicant

PRIORITY: Applicants (other than those already participating in the HealthInfoNet Pilot) who have employed or have concrete plans to employ electronic health information systems to enhance care quality and patient safety.

A. From Applicant

PRIORITY: Projects done in consultation with a LEEDS certified-architect that incorporate "green" best practices in building construction, renovation and operation to minimize environmental impact both internally and externally.

A. From Applicant

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely continuation or establishment of needed educational programs for health care providers; and
- The extent of any likely adverse impact on the access of persons enrolled in in-state educational programs for health professions to existing or future clinical training programs.

A. From Applicant

VII. Outcomes and Community Impact

VII. Outcomes and Community Impact

Relevant criteria under the CON law for inclusion in this section are specific to the determination that the project ensures high-quality outcomes and does not negatively affect the quality of care delivered by existing service providers.

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely enhancement of the quality of care provided to citizens of the State; and
- The extent of any likely adverse impact on patients or clients in the quality of health care services.

A. From Applicant

VIII. Service Utilization

VIII. Service Utilization

Relevant criteria under the CON law for inclusion in this section are specific to the determination that the project does not result in inappropriate increases in service utilization, according to the principles of evidence-based medicine adopted by the Maine Quality Forum.

Relevant criteria under the COPA law that should be discussed in this section are:

- The likely improvements in the utilization of hospital or other health care resources and equipment.

A. From Applicant

IX. Funding in Capital Investment Fund

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Relevant criteria under the CON law for inclusion in this section are related to the needed determination that the project can be funded within the Capital Investment Fund.

A. From Applicant

X. Less Restrictive Alternatives

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Relevant criteria under the COPA law that should be discussed in this section are:

- The availability of arrangements that are less restrictive to competition and achieve the same benefits or a more favorable balance of benefits over disadvantages attributable to any reduction in competition likely to result from the agreement.

A. From Applicant

XI. Likely Benefits v. Likely Disadvantages

XI. Likely Benefits v. Likely Disadvantages

Relevant criteria under the COPA law that should be discussed in this section are:

- Please discuss how the likely benefits resulting from the agreement outweigh any disadvantages attributable to a reduction in competition likely to result from the agreement.

A. From Applicant

XII. Timely Notice

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A. From Applicant