

# SLFRF Compliance Report - SLT-0817 - P&E Report - 2021

## Report Period : March - December 2021

---

### Recipient Profile

#### Recipient Information

Recipient DUNS	057979312
Recipient DUNS (+4)	
Recipient TIN	016000001
Recipient Legal Entity Name	State of Maine
Recipient Type	
FAIN	
CFDA No./Assistance Listing	
Recipient Address	1 State House Station
Recipient Address 2	
Recipient Address 3	
Recipient City	Augusta
Recipient State/Territory	ME
Recipient Zip5	04333-0001
Recipient Zip+4	
Recipient Reporting Tier	Tier 1. States, U.S. territories, metropolitan cities and counties with a population that exceeds 250,000 residents
Discrepancies Explanation	
Is the Recipient Registered in SAM.Gov?	Yes

# Project Overview

## Project Name: Healthcare Workforce Recruitment

Project Identification Number	K.36.1
Project Expenditure Category	1-Public Health
Project Expenditure Subcategory	1.12-Other Public Health Services
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"This project will support recruitment resources for nursing homes, federally qualified health centers, and hospitals to alleviate Maine's healthcare workforce shortage, intensified by COVID-19, by financing research, marketing, and outreach to promote healthcare career opportunities. Funding will target marketing to high school graduates, out of state veterans, community college students and others to promote health career opportunities and their many benefits."

## Project Name: Family Caregiver Grants Pilot

Project Identification Number	CC.90.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.3-Household Assistance: Cash Transfers
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"Respite care funding to be disbursed through the family caregiver pilot program. This will serve an increased number of families in need of financial support for respite care, alleviate costs associated with providing in-home care of an adult, provide improved economic security for family caregivers and evaluate the needs and preferences of the families served by the Respite Care Fund and the pilot program."

## Project Name: Administration of Family Caregiver Grants Pilot

Project Identification Number	CC.91.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.3-Household Assistance: Cash Transfers
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"To cover administrative costs of the Family Caregiver Grant Pilot Program. In addition to securing a contracted staff person to help coordinate and facilitate the stakeholder group, develop programmatic policies, and oversee program implementation, the proposed funds will be used to develop and deliver outreach materials to increase awareness and solicit participation in the pilot program."

**Project Name: Evaluate Family Caregiver Grants Pilot**

Project Identification Number	CC.92.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.3-Household Assistance: Cash Transfers
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"One time allocation to the respite care fund within Maine DHHS totalling \$150,000 necessary to evaluate the Family Caregiver Grants pilot program. The third-party organization hired to evaluate this program will be tasked with reviewing the policy, monitoring the ongoing success of the program, and the design of performance metrics."

**Project Name: Career Center Consultants**

Project Identification Number	EE.100.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.7-Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"The ARPA resources will expand capacity to provide intensive services to unemployed and underemployed job seekers—with a specific emphasis on communities that experienced disproportionate effects of COVID-19—including BIPOC communities, those with lower educational attainment, justice[1]involved, immigrant communities, and more. Job seekers will get help overcoming skill deficiencies, accessing supports to overcome barriers such as childcare and transportation, and an opportunity to receive continued follow-up support and guidance as they find suitable employment and start in new jobs. The initiative is also important to business who are experiencing a shortage of workers. Many lost workers due to factors named above and others are experiencing a surge in business and are increasing staffing levels based on increased business in their sector. This initiative will dovetail efforts to fill openings with efforts to guide and prepare individuals for those in-demand jobs. It will provide direct connection and referral for individuals who have required qualifications, and will help individuals without needed skills access education and training resources to bridge the skills gap."

**Project Name: Healthcare Career Navigators**

Project Identification Number	H.22.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.7-Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment)
Total Obligations	\$0.00

Total Expenditures	\$0.00
Project Description	"Navigators will contribute significantly to filling the crisis-level health care workforce shortages, using deep expertise in health care occupations, training, education, and employers to provide residents with the information and referrals needed to launch or advance in a health care career, including in stackable credentials, prior learning credits, and apprenticeships. Navigators will work with incumbent healthcare workers as well as those interested in healthcare professions—with a specific focus on helping to navigate the complex ecosystem & supporting foreign-trained health care workers to successfully be recertified and/or move to a related health care occupation. Navigators will be networked throughout the workforce system, building partnerships with community-based organizations representing priority communities of New Mainers as well as healthcare employers to keep a pulse on industry workforce needs."

**Project Name: Training and Stackable Credentials**

Project Identification Number	H.23.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.7-Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"This funding invests in training and stackable credential attainment for incumbent frontline healthcare workers. To retain this critical workforce and reduce turnover, establish a tuition remission program for direct service healthcare workers to attain credentials and move into the next rung on their career pathway (such as CNA to LPN, BHP to OT). This program will target incumbent healthcare workers in clinical settings—including long term care, hospital, behavioral health and dental sectors with a primary focus on DHHS Medicare/Medicaid providers. The focus will be on entry-level workers attaining next-level credentials though additional information on exact credential, provider & sectoral needs will be further fleshed out after employer training needs survey are captured (currently in the field) later this month. Current focus on paying for the training needs though additional needs may emerge around bridge/foundational skills education for pre-reqs, test readiness, English Language Learning and/or credential evaluation to support workers from priority communities (New Mainers, those with lower educational attainment, who may be interested but face additional barriers and need additional support to pursue next-level credential attainment."

**Project Name: Career Center Consultants - Workforce**

Project Identification Number	P.50.1
Project Expenditure Category	2-Negative Economic Impacts
	2.7-Job Training Assistance (e.g., Sectoral job-training,

Project Expenditure Subcategory	Subsidized Employment)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"The ARPA resources will expand capacity to provide intensive services to unemployed and underemployed job seekers—with a specific emphasis on communities that experienced disproportionate effects of COVID-19—including BIPOC communities, those with lower educational attainment, justice involved, immigrant communities, and more. Job seekers will get help overcoming skill deficiencies, accessing supports to overcome barriers such as childcare and transportation, and an opportunity to receive continued follow-up support and guidance as they find suitable employment and start in new jobs. The initiative is also important to business who are experiencing a shortage of workers. Many lost workers due to factors named above and others are experiencing a surge in business and are increasing staffing levels based on increased business in their sector. This initiative will dovetail efforts to fill openings with efforts to guide and prepare individuals for those in-demand jobs. It will provide direct connection and referral for individuals who have required qualifications, and will help individuals without needed skills access education and training resources to bridge the skills gap."

**Project Name: HC Tuition Remission**

Project Identification Number	H.21.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.7-Job Training Assistance (e.g., Sectoral job-training, Subsidized Employment)
Total Obligations	\$717.29
Total Expenditures	\$717.29
Project Description	"This Project provides funding for 3 LPP Career Center Consultant and 1 LPP Employment and Training Specialist to assist in establishment, program referrals, and coordination of tuition remission process/payment processing, and enrollment process for tuition remission. It includes a system to track enrollments, process payments, and gather required information to evaluate outcomes including match with existing employer wage records, surveys, etc. Additionally, this project provides funding investments in training and stackable credential attainment for incumbent frontline healthcare workers. To retain this critical workforce and reduce turnover, establish a tuition remission program for healthcare workers to attain credentials and move into the next rung on their career pathway (such as CNA to LPN, BHP to OT). This program will target incumbent healthcare workers—including LTC, hospital, behavioral health and dental with a primary focus on DHHS Medicare/Medicaid providers. The focus will be on entry-level workers attaining credentials though additional info on credential, provider & sectoral needs will be further fleshed out after employer training needs survey are captured (currently in the field) later this month. Current

	focus on paying for the training though additional needs may emerge around bridge/foundational skills education for prerequisites, test readiness, ELL and/or credential evaluation to support workers from priority communities"
--	---

**Project Name: Unemployment Compensation**

Project Identification Number	D.8.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.8-Contributions to UI Trust Funds
Total Obligations	\$80,000,000.00
Total Expenditures	\$80,000,000.00
Project Description	<p>"The calculation of the unemployment tax rate for 2022 calendar year will be made based on the funds available in the unemployment trust fund for payment of benefits as of September 30, 2021, in accordance with Maine Revised Statute Title 26 §1221.</p> <p>The Governor and the Legislature both intended that the \$80 million be included in the trust fund balance for the calculation of 2022 employer tax rates. The funds are scheduled to be transferred on October 18, after the September 30 statutory date.</p> <p>While the transfer will not be physically made until October, the Department will include the \$80 million in its calculation of the 2022 unemployment tax rates because the public law requires that the transfer be made no later than November 30, the funds will be available with absolute certainty, and doing so is consistent with legislative intent. The Department will record an accounts receivable from the SLFRF and add the amount to the calculation of the fund balance."</p>

**Project Name: Grants for Homeless Shelters**

Project Identification Number	EE.98.1
Project Expenditure Category	3-Services to Disproportionately Impacted Communities
Project Expenditure Subcategory	3.11-Housing Support: Services for Unhoused persons
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	<p>"Due to COVID-19 social distancing requirements, homeless shelters in Maine were forced to reduce capacity in emergency shelters, often utilizing hotel rooms when shelter accommodation was not available. Along with staffing challenges, this has caused a significant increase in overall operating expenses for shelters. To ensure that shelters are able to continue to serve their populations, MaineHousing will provide one-time grants to existing emergency homeless shelters, including those that assist homeless youth, to be used for operations, building maintenance or capital improvement expenses. Funding will be distributed by MaineHousing to shelters that participate in the Emergency Shelter and Housing Assistance Program using a capacity-based formula."</p>

**Project Name: Economic Recovery Grants**

Project Identification Number	A.1.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.9-Small Business Economic Assistance (General)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	<p>"Federal and State economic relief and recovery programs have been a crucial lifeline for Maine businesses and non-profits during the pandemic. These additional recovery grants aim to support those organizations facing ongoing challenges, or that may have failed to qualify for prior programs.</p> <p>The economic impact of the pandemic continues to impact Maine businesses. These impacts vary by industry sector, as well as by the size, age, location, and structure of the business. High service-related industries that rely on large numbers of people in small spaces and lots of face-to-face support are the areas of the economy that are most visibly impacted. Additionally, there are businesses in other sectors like dry cleaning and transportation that have also experienced significant losses. This need is urgent, businesses need our support now in order to quickly build back their own capacity.</p> <p>These funds will be used to offer grant funding for businesses and nonprofits that demonstrate a financial impact due to COVID."</p>

**Project Name: Seafood Processors & Dealer - Upgrades**

Project Identification Number	B.6.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.9-Small Business Economic Assistance (General)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	<p>"This project will provide one-time funding for a competitive grant program for Maine licensed seafood processors and dealers to upgrade or replace aging or failing infrastructure or other capital expenditures or make investments that the business has otherwise been unable to do prior to, or absent this funding due to the negative economic impacts of the pandemic, or reengineer and retool facilities in response to product changes or safety protocols necessary as a result of the COVID-19 pandemic.</p> <p>FAQ 2.10 allows for assistance to address negative economic impacts to impacted industries other than travel, tourism and hospitality that have suffered comparable losses to that sector. Maine's ex-vessel revenues averaged \$654M annually from 2015-2019, and dropped to \$517M in 2020, a loss of 21% across the sector, but because the price of lobster remained high throughout 2020, this does not reflect the much deeper revenue losses in other sectors, including groundfish harvesters and wholesalers, who saw their markets completely dry up and prices drop over 60%, and</p>

	processors, who had to spend significant resources to re-engineer facilities in response to the pandemic to protect worker safety or overhaul their product handling and packaging to target new markets. While there are always fluctuations in the market for seafood, the dramatic drop in landings (pounds and value) coincident with the start of the pandemic is clearly evidenced in DMR's landings data."
--	---

**Project Name: New Business Grants**

Project Identification Number	E.9.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.9-Small Business Economic Assistance (General)
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	<p>"The Maine Jobs &amp; Recovery Plan's elements to provide immediate economic recovery recognize that it remains crucial to support Maine's employers to get through this difficult time. Maine's businesses, founders, owners and employees, no matter how resilient, persistent, and creative, have had a difficult 18 months and will continue to do so with customer patterns, supply chain disruptions, and workforce challenges continuing into the near future. This has been particularly true for some of our early-stage businesses and entrepreneurs who have slipped through the cracks of the public relief programs that were based on financial records unavailable to early-stage business owners. Maine has at least 14,000 new businesses that were started or purchased in 2020 and 10,598 to date in 2021 (per Secretary of State business registration data). This program will offer grants to early-stage companies and entrepreneurs who have not been able to take full advantage of other economic recovery programs or have had additional difficulties as the pandemic has continued to progress. The benefits to these early-stage companies and entrepreneurs will be immediate in terms of meeting needs for survival and delayed growth opportunities. It will also give these companies the additional runway they need to meet the growth projections whose assumptions were waylaid by the pandemic and its lingering economic and market effects."</p>

**Project Name: Premium Relief**

Project Identification Number	C.7.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.9-Small Business Economic Assistance (General)
Total Obligations	\$3,372,500.00
Total Expenditures	\$0.00
	<p>"The Small Business Health Insurance Premium Support Program within the Department of Professional and Financial Regulation, Bureau of Insurance, establishes a temporary program to provide payments to small group health insurance carriers in the State to reduce insurance</p>



Project Description	premium costs for small businesses and their employees. The program will reimburse small group health insurance carriers for actual premium credits made monthly by the carriers to small businesses and will ensure the payments result in a reduction of small group health insurance premiums of \$50 per employee per month with an additional reduction for family coverage."
---------------------	--

**Project Name: Farm & Food Sustainability**

Project Identification Number	B.4.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.12-Aid to Other Impacted Industries
Total Obligations	\$15,000.00
Total Expenditures	\$0.00
Project Description	"Establishes one Contract Grant Manager position through June 10, 2023 and provides one-time funding to support the State's farms and food processors to ensure the sustainability of farms and farm families, increase the supply of local food to meet state food consumption goals, and enhance the State's agricultural exports while reducing the State's reliance on food imports."

**Project Name: Forest Product Recovery**

Project Identification Number	B.5.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.12-Aid to Other Impacted Industries
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"The goal of the Forest Product Recovery Grant Program is to stabilize and aid in the recovery of Maine's \$8.5 billion forest economy that was severely impacted by the COVID-19 pandemic with pandemic-related market shifts as well as supply chain and workforce disruptions that still exist today. This program's investments in Maine's Forest Products sector will provide two key benefits to support the sector. The first benefit of the program is the injection of much needed capital, in the form of grants, to parts of the industry that faced and continue to face market disruptions, supply chain and workforce challenges because of the COVID-19 pandemic and related public health response. The second benefit is investments in the form of grants in Maine businesses to aid transitions to address new market demands that are a direct result of the COVID – 19 pandemic and related health response, sustainable products, and provide long term stability across the industry."

**Project Name: Campaign to Promote Direct Care Careers**

Project Identification Number	H.25.1
Project Expenditure Category	2-Negative Economic Impacts

Project Expenditure Subcategory	2.12-Aid to Other Impacted Industries
Total Obligations	\$426,933.04
Total Expenditures	\$1,795.20
Project Description	"This initiative will be part of a coordinated marketing effort to rebuild and grow this essential direct health care sector with respect to direct care workers in long term care facilities, nursing homes, and those working as home health aides, personal aides, and nursing assistants."

**Project Name: MCCS Workforce Development Plans**

Project Identification Number	N.41.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.12-Aid to Other Impacted Industries
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"The Maine Community College System (MCCS) received \$35 million in one-time "Maine Jobs & Recovery Plan" federal funding for use in workforce development initiatives that support short-term training for approximately 8,500 Mainers negatively impacted by COVID-19. These short-term no-cost trainings provide Maine residents access to the necessary skills, micro-credentials, industry recognized certificates, industry recognized certifications, state licensures, credentials of higher-value, and college credits creating pathways to degree obtainment necessary to participate in a thriving post-pandemic economic landscape and meet employers' workforce needs across the State. MCCS training programs focus on the following critical industry sectors: Healthcare, The Green Economy, Manufacturing, Hospitality, Education, Computer Technology, and the Trades. These industry sectors experienced challenges throughout the pandemic, often finding vacant positions and lacking a trained workforce to assume those roles and responsibilities. Training will occur over the next two to three years at our seven Community College Campuses, with plans in development, to deliver targeted industry short-term trainings. Training delivery methods include on-line, hybrid, and in-person hands-on training meeting Mainers specific learning needs. All trainings must meet the established Maine Quality Center ROI's, are informed by real time labor market data (Jobs: EQ), and in support of industry needs. "

**Project Name: Elderly Tax Deferral**

Project Identification Number	AA.87.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.13-Other Economic Support
Total Obligations	\$0.00
Total Expenditures	\$0.00
	An Elderly Tax Deferral Program and the associated

Project Description	program administrator will help to mitigate the economic strain caused by COVID-19 by allowing individuals to remain in their homes and would reimburse municipalities for deferred property taxes.
---------------------	---

**Project Name: Elderly Tax Deferral - Admin**

Project Identification Number	AA.88.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.13-Other Economic Support
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	This project would fund the administrative costs of establishing an Elderly Tax Deferral Program and cover the costs of a Property Appraiser. An Elderly Tax Deferral Program and the associated program administrator will help to mitigate the economic strain caused by COVID-19 by allowing individuals to remain in their homes and would reimburse municipalities for deferred property taxes.

**Project Name: Contract Grant Specialist (PreK Expansion)**

Project Identification Number	R.58.1
Project Expenditure Category	2-Negative Economic Impacts
Project Expenditure Subcategory	2.13-Other Economic Support
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	This project provides funding for grants to School Administrative Units (SAU) and for a Contract/Grant Specialist position to establish new or expanded public pre-kindergarten programs to increase the number of children accessing high-quality pre-kindergarten. It will also provide technical assistance to the SAU.

**Project Name: Expand High Speed Broadband**

Project Identification Number	R.64.1
Project Expenditure Category	5-Infrastructure
Project Expenditure Subcategory	5.16-Broadband: "Last Mile" projects
Total Obligations	\$0.00
Total Expenditures	\$0.00
Project Description	"The Maine Connectivity Authority seeks to expand affordable, high-speed broadband access to the residents, businesses, and community anchor institutions through the following goals: Universal availability to high-speed broadband for individuals and businesses; Communications technology will be affordable, secure, reliable, and sustainable in the future; Ensuring the ability of all residents and businesses the access to the opportunities available through connectivity services; The effective and efficient use of existing broadband services."

---

**Project Name: Controller and Program Management**

Project Identification Number	78.A.1
Project Expenditure Category	7-Administrative and Other
Project Expenditure Subcategory	7.1-Administrative Expenses
Total Obligations	\$1,100,000.00
Total Expenditures	\$0.00
Project Description	"This project provides statewide financial management and oversight of the funds provided from the State Fiscal Recovery Fund established in the federal American Rescue Plan Act of 2021, Public Law 117-2, including state discretionary and direct funds as well as the local passthrough funds authorized in the federal American Rescue Plan Act of 2021."

**Project Name: Workforce & Innovation**

Project Identification Number	78.A.2
Project Expenditure Category	7-Administrative and Other
Project Expenditure Subcategory	7.1-Administrative Expenses
Total Obligations	\$75,724.07
Total Expenditures	\$73,798.43
Project Description	"This project provide one-time allocations for preliminary program, metrics, assessment tool development for economic development, innovation and workforce programs"

**Project Name: ARPA Financial Management Oversight**

Project Identification Number	Y.86.1
Project Expenditure Category	7-Administrative and Other
Project Expenditure Subcategory	7.1-Administrative Expenses
Total Obligations	\$80,326.59
Total Expenditures	\$18,430.59
Project Description	"This project provides statewide financial management and oversight of the funds provided from the State Fiscal Recovery Fund established in the federal American Rescue Plan Act of 2021, Public Law 117-2, including state discretionary and direct funds as well as the local passthrough funds authorized in the federal American Rescue Plan Act of 2021."

## Subrecipients

### Subrecipient Name: Horne LLP

DUNS	075071548
DUNS (+4)	
TIN	
Type	
POC Email Address	<a href="mailto:karla.bennett@hornellp.com">karla.bennett@hornellp.com</a>
Address Line 1	661 Sunnybrook Rd
Address Line 2	Suite 100
Address Line 3	
City	Ridgeland
State	MS
Zip	39157
Zip+4	
Is the Recipient Registered in SAM.Gov?	Yes

### Subrecipient Name: Pulse Marketing Agency

DUNS	009674340
DUNS (+4)	
TIN	
Type	
POC Email Address	
Address Line 1	1 Merchants Plaza
Address Line 2	
Address Line 3	
City	Bangor
State	ME
Zip	04410
Zip+4	
Is the Recipient Registered in SAM.Gov?	Yes

### Subrecipient Name: Maine Community Health Options

DUNS	969789200
DUNS (+4)	
TIN	
Type	
POC Email Address	

Address Line 1	150 Mill St Suite 3
Address Line 2	
Address Line 3	
City	Lewiston
State	ME
Zip	04240
Zip+4	
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	Yes

**Subrecipient Name: Tri-State Staffing, Inc**

DUNS	011457061
DUNS (+4)	
TIN	
Type	
POC Email Address	<a href="mailto:racheld@tristatestaffing.com">racheld@tristatestaffing.com</a>
Address Line 1	225 Western Ave
Address Line 2	Unit I
Address Line 3	
City	Augusta
State	ME
Zip	04330
Zip+4	
Is the Recipient Registered in SAM.Gov?	No
In the preceding fiscal year, did recipient receive 80% or more of its annual gross revenue from federal funds?	No
In the preceding fiscal year, did recipient receive \$25 million or more of its annual gross revenue from federal funds?	No

## Subawards

### Subward No: CT 2021\*1055

Subaward Type	Contract: Definitive Contract
Subaward Obligation	\$3,372,500.00
Subaward Date	10/19/2021
Place of Performance Address 1	150 Mill St
Place of Performance Address 2	Suite 3
Place of Performance Address 3	
Place of Performance City	Lewiston
Place of Performance State	ME
Place of Performance Zip	04240
Place of Performance Zip+4	
Description	"The Small Business Health Insurance Premium Support Program within the Department of Professional and Financial Regulation, Bureau of Insurance, as a temporary program to provide payments to small group health insurance carriers in the State to reduce insurance premium costs for small businesses and their employees. The program will reimburse small group health insurance carriers for actual premium credits made monthly by the carriers to small businesses and will ensure the payments result in a reduction of small group health insurance premiums of \$50 per employee per month with an additional reduction for family coverage"
Subrecipient	Maine Community Health Options
Period of Performance Start	10/25/2021
Period of Performance End	6/30/2023

### Subward No: CT 2021\*1297

Subaward Type	Contract: Definitive Contract
Subaward Obligation	\$395,900.00
Subaward Date	11/18/2021
Place of Performance Address 1	1 Merchants Plaza
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Bangor
Place of Performance State	ME
Place of Performance Zip	04410
Place of Performance Zip+4	
Description	"A targeted recruitment effort designed to support the recruitment of workers into the direct care field such as long term care facilities, nursing homes, and those working as

	home health aides, personal aides, and nursing assistants."
Subrecipient	Pulse Marketing Agency
Period of Performance Start	11/23/2021
Period of Performance End	4/30/2022

**Subaward No: CT 18F 20210630000000003834**

Subaward Type	Contract: Definitive Contract
Subaward Obligation	\$1,100,000.00
Subaward Date	8/11/2021
Place of Performance Address 1	661 Sunnybrook Rd
Place of Performance Address 2	Suite 100
Place of Performance Address 3	
Place of Performance City	Ridgeland
Place of Performance State	MS
Place of Performance Zip	39157
Place of Performance Zip+4	
Description	"This contract is required to obtain expert advice and support services to understand and interpret federal guidance, design program management processes, perform eligibility determinations, reporting and compliance for Maine's allocation from the American Rescue Plan Act (ARPA). The support will provide compliance expertise to keep us up to date with changing provisions of the federal regulations associated with ARPA and if necessary and upon request, Coronavirus Relief Funds (CRF)."
Subrecipient	Horne LLP
Period of Performance Start	11/10/2021
Period of Performance End	8/11/2023

**Subaward No: DO 18F 20211116000000004085**

Subaward Type	Contract: Delivery Order
Subaward Obligation	\$61,896.00
Subaward Date	11/16/2021
Place of Performance Address 1	111 Sewall St
Place of Performance Address 2	
Place of Performance Address 3	
Place of Performance City	Augusta
Place of Performance State	ME
Place of Performance Zip	04333
Place of Performance Zip+4	
Description	This contract supports an additional contracted worker for Bureau of Budget to help them administratively manage the additional funds provided by the American Rescue Plan.



Subrecipient	Tri-State Staffing, Inc
Period of Performance Start	11/29/2021
Period of Performance End	9/22/2022

# Expenditures

## Aggregate Disbursements less than \$50,000

Expenditure: EN-00088158

Project Name	Farm & Food Sustainability
Expenditure Category	
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Quarterly Expenditure Amount	\$0.00
Total Quarterly Obligation Amount	\$15,000.00

Expenditure: EN-00088159

Project Name	Campaign to Promote Direct Care Careers
Expenditure Category	
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Quarterly Expenditure Amount	\$1,795.20
Total Quarterly Obligation Amount	\$31,033.04

Expenditure: EN-00088160

Project Name	Workforce & Innovation
Expenditure Category	
Subaward Type (Aggregates)	Aggregate of Contracts Awarded
Total Quarterly Expenditure Amount	\$40,866.36
Total Quarterly Obligation Amount	\$42,792.00

Expenditure: EN-00088161

Project Name	ARPA Financial Management Oversight
Expenditure Category	
Subaward Type (Aggregates)	Aggregate of Direct Payments
Total Quarterly Expenditure Amount	\$183.24
Total Quarterly Obligation Amount	\$183.24

## Aggregate Disbursements to Individuals

Expenditure: EN-00088185

Project Name	HC Tuition Remission
Expenditure Category	
Total Quarterly Expenditure Amount	\$717.29
Total Quarterly Obligation Amount	\$717.29

Expenditure: EN-00088183

Project Name	Unemployment Compensation
Expenditure Category	
Total Quarterly Expenditure Amount	\$80,000,000.00
Total Quarterly Obligation Amount	\$80,000,000.00

Expenditure: EN-00088184

Project Name	Workforce & Innovation
Expenditure Category	
Total Quarterly Expenditure Amount	\$32,932.07
Total Quarterly Obligation Amount	\$32,932.07

Expenditure: EN-00088186

Project Name	ARPA Financial Management Oversight
Expenditure Category	
Total Quarterly Expenditure Amount	\$18,247.35
Total Quarterly Obligation Amount	\$18,247.35

# Report

## Federal Financial Reporting

Base Year General Revenue	\$6,332,528,345.00
Fiscal Year End Date	6/30/2019
Growth Adjustment Used	5.200%
Actual General Revenue as of 12 months ended December 31, 2020	\$6,643,928,083.00
Estimated Revenue Loss Due to Covid-19 Public Health Emergency as of December 31, 2020	\$188,904,063.00
Were Fiscal Recovery Funds used to make a deposit into a pension fund?	No
Please provide an explanation of how revenue replacement funds were allocated to government services	The State has not yet allocated any revenue replacement funds to government services.

## Ineligible Activities: Tax Offset Provision

Revenue-reducing Covered Changes from date of award through July 31, 2021	\$0.00
Revenue-reducing Covered Changes from August 1, 2021 – September 30, 2021	\$0.00

## Questions on Equity and Evidence

1. Do you have a learning agenda, evidence-building plan, or another strategic approach to using evidence and evaluation for the spending outlined in your Recovery Plan?	
1. If Yes, Please provide a link	
2. Do the performance metrics for the projects listed in the Recovery Plan include data disaggregated by race, ethnicity, gender, income, and other relevant factors?	
3. Do you have a full Community Engagement Plan that accompanies the community engagement activities outlined in your Recovery Plan?	
3. If Yes, Please provide a link	
4. Do you have a public awareness campaign or other planned dissemination activities to make residents and businesses aware of the SLFRF supported projects from your Recovery Plan?	
4. If Yes, Please describe in 1-2 sentences and provide a link.	
5. What is the total number of performance indicators across all projects in your Recovery Plan (including mandatory performance indicators)?	

# Certification

Name	Francis Wiltuck
Telephone	2076268440
Title	Director of Internal Audit
Email	<a href="mailto:frank.wiltuck@maine.gov">frank.wiltuck@maine.gov</a>
Submission Date	1/31/2022 7:42 PM