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6	Maine State Liquor and Lottery Commission
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8	Maine State Armory
9	179 Western Avenue
10	Augusta, Maine
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13	Tuesday, July 11, 2017
14	10:00 a.m.
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23	Boyce & Leighton
24	PO Box 954 Scarborough, Maine 04070
25	207-883-0378

(This portion of the hearing commenced at 10:36 a.m. on July 11, 2017.)

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CHAIRMAN McPHERSON: We'll call the meeting back to order.

This part of the meeting is to consider the recommendations of the Bureau of Alcoholic Beverages & Lottery Operations of all 50-milliliter-sized spirit products currently listed for sale in the State of Maine be de-listed effective January 12th, 2018.

Under Title 28-A, Section 81 of the Maine Revised Statutes, it is the Commission's responsibility to determine which items may be listed for sale in this state and whether any items should be discontinued or de-listed.

In making such determinations, the Commission must take into consideration the Legislature's intent, that the growth in revenue from the sale of spirits be achieved in a socially responsible manner.

The Commission has the written recommendations from the Bureau which explains the reasons for the proposed de-listing. The Commission also has written comments from members of the public regarding the Bureau's proposal.

For the purposes of today's meeting, the Bureau may

make an oral presentation followed by oral comments from those members of the public who submitted a written request to do so with the Bureau in accordance with the public meeting notice dated June 13th, 2017.

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I ask that you clearly state your name and spell your name at the start of your comments so we have that information in the record. As chair, it is — as chair of the Commission, I will exercise my discretion in allowing Commission members to ask questions as to whether the time for comments from any member of the public should be extended for a reasonable length of time, and last, that all questions and comments be made through the chair with the permission of the chair.

I'll try to keep it orderly.

At the close of the public meeting, the Commission will deliberate and vote on the proposed de-listing.

The Commission will consider the Bureau's recommendations and all comments, both written and oral, into careful consideration when making its decision.

All deliberations will be conducted in public, but the Commission will not accept further public comment or input at that time.

A written notice of the Commission's final decision will be issued. And to those who will be making oral presentation, you will be limited to 5 minutes, and at

the end of 4 minutes, with one minute left, you will be given -- by the attorney sitting next to me -- a 1-minute notice that your time is running out.

With that, I will now recognize the chairman -- not the chairman -- the director of the Bureau of Alcoholic Beverages.

MR. MINEO: Thank you, Mr. Chair. I'm Greg Mineo, director of the Bureau. Thank you for the opportunity to speak on this issue today.

The Bureau's business plank and this Commission's guiding principle must always remain a balance between economic interests and social responsibility. This is the mandate from the Legislature, and I quote, "It is in the public interest to seek efficiencies and maximize growth in the state's wholesale spirits' business while ensuring that the growth in revenue from the business is achieved in a socially responsible manner."

Our goal has always been to conduct and manage the spirits' business in an orderly and responsible path to market. The rapid growth in sales of 50 ml spirits' products coupled with our mandate to manage the spirits' business in a socially responsible manner presents us with a genuine dilemma.

When we objectively look at the evidence, however, it becomes increasingly clear that the sales of the 50

ml spirit size in the state runs counter to our responsibilities as marketers representing the citizens of Maine.

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This size is really about price, portability, and concealability. The low price, especially on about half of the available codes, creates an advantageous relationship to larger sizes, while its size and weight make it extremely portable and concealable, especially in motor vehicles. The data provided to the Commission and the Bureau's comments leads to the commonsense conclusion that many purchasers, who predominantly frequent convenience stores, are consuming the size of spirits in moving vehicles.

Roadside litter indicates that purchasers of 50 ml's are in fact consuming them in moving vehicles and discarding the empty bottles out the window. By selling spirts in 50 ml containers, we are making it too easy for consumers to violate the law.

While the Bureau acknowledges the recent surge in sales in the 50 ml size and its related profit returns, we maintain that this size is not strategic to our overall spirits' business model and to its future growth potential. And this size is certainly not integral to our mission to manage the business in a socially responsible manner.

The Bureau's strategy is built on the growth of the 750 ml and the 1.75 litre sizes, the foundation of the spirits' industry in Maine and elsewhere.

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As the Commission knows from its oversight of the Bureau, the utilization of aggressive price supports and tactical trade marketing programs bolster these sizes and strengthen our path to market.

You are likely still concerned about how de-listing 50 ml sized bottles will impact our business. In my 39 years in the drinks' business, I have seen brands come and go, categories shift, and even the size mix rebalanced. This industry will always find its own level.

If the 50 ml is not available, the consumer will migrate to another size, more than likely in the same brand or category. It's been alleged in comments during these proceedings that the Bureau's decision to recommend de-listing of the 50 ml size was rash and arbitrary; on the contrary, our decision to propose this action is a thoughtful reaction to new information and insights that arose from the discourse concerning LD 56.

While the Legislative committee's discussions were about litter, they have also shown a light on concerns that the 50 ml size was a factor in drinking and driving. As a consequence, we took a serious look at

this issue.

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We have a discipline system in place to constantly analyze our strategies and tactics, perform research, and respond to those learnings by adapting our programs. Our diligence to constantly analyze our business practice certainly requires us to respond to the concerns raised during the LD 56 discussions, and we have.

The financial effects that may arise from this de-listing are temporary and manageable. Weighing these minor effects against the fact that a 50 ml container too easily facilitates drinking and driving, we must conclude that the 50 ml size is inconsistent with our responsible path to marketing.

In closing, the Bureau relies upon the benefits from a strong and interactive relationship with the State Liquor and Lottery Commission. This includes rich dialogue, the sharing of sales' programs and trade marketing tactics, and all this takes place during our monthly public meetings with the Commission.

Today, like always, the process is transparent, and we openly share our strategies, issues, and concerns.

The information you received has aided your ability to make an informed decision and is reminded of the

Commission's mission, which is to "Maximize growth while

ensuring that growth and revenue from the business is 1 2 achieved in a socially responsible manner." 3 With today's decision, you have an opportunity to make a responsible change to our business model in the 4 interest of public safety and safer roads. 5 I thank you for your time. I'm happy to answer any 6 7 questions now or at any time during these proceedings, 8 if you'd like to bring me back up. Thank you. CHAIRMAN McPHERSON: We'll now -- no questions. 9 10 Anything further? 11 MR. MINEO: No, that's it. I'll entertain 12 questions, Mr. Chair. Thank you. CHAIRMAN McPHERSON: We will now start on the list 1.3 14 of those that have asked permission to speak or make 15 presentation, and I quess I would just ask that there's 16 going to be a lot of repetition, I'm sure, and if it has 17 already been said, try to leave it right there. 18 On the list I'll start with Senator Saviello, is 19 it? 20 Saviello, I apologize. 2.1 STATE SENATOR SAVIELLO: No problem. I've been 2.2 called worse. 23 I know what you're saying. CHAIRMAN McPHERSON:

STATE SENATOR SAVIELLO: Thank you.

everybody can hear me all right. I'm Senator Tom

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Saviello. I represent Senate District 17, which is all of Franklin County and parts of Kennebec County, and I'm here to testify, obviously, against this proposal, but I'm also -- as I appreciate Mr. Mineo's statements about how the Commission or the BABLO has decided to relook at this -- but let's make it very clear why we're here.

On May 16th, 2017, after my committee had worked on this bill and decided that the best way we could handle it was through deposit, we received a communication from the Governor that said, Legislators say they want to prevent littering of empty nip bottles but they do not care for cuts into State programs or increased costs for companies that do business here. Senator Saviello, Mr. LePage said, said he would call my bluff -- I call no one's bluff -- and that I would de-list the 50-milliliter nip bottles if the bill passes. A nonlegislator should know better.

In his veto message to that, he said to us, Absent increased penalties, which the bill failed to impose, an alternate approach to discontinue the sale of 50 millimeter nips altogether. If this bill passes, I will direct the Bureau of Alcoholic Beverages and Lottery Operations to work with the Liquor Commission to de-list these products. If the veto message says, fine, pass what you want, I simply believe that we should de-list

them, I think we'd be in a different place today.

Let me start by saying that if you decide to de-list them, then I would recommend and would certainly support legislation to get the State out of the liquor business.

We are in a profit-making business. You will be walking away from the fourth largest sales item in our business. We know that in 2016 about 8 million nips were sold, and a projected 14 million are supposed to be sold in 2018. I believe this represents a net profit to the liquor budget, not to the general fund, of about \$4 million in 2016 and about \$8 million dollars projected for 2018. This does not include approximately a 13 percent return to the stores selling the nips or the liquor sales tax that goes to the general fund. Let me also add that the nips' projection for the future is \$150 million sales over the next ten years.

I know when I worked for the paper industry, which I did for 32 years, we never walked away from our forest's largest sales' items. If it had concerns, we addressed those.

I would also recommend that all containers that are empty that were carrying liquor at one time be prohibited in the State of Maine. This includes

Bud Light because you'll hear, probably later, from

testimony, that it is the second most popular item found on the side of the road even with the nickel deposit.

I would also recommend, as Mr. Mineo said, with a smile on my face that we should ban McDonald's wrappers because they are littering, too.

Let me also talk about the little statistical diagram you saw in the report where it correlated nips' sales to liquor sales -- or nips' sales to OUIs. I would suggest that you redo the calculations. I just did. In fact, there were about 3,500 OUIs -- and remember, at this time nip sales had skyrocketed.

3,500 OUIs. That blows up to about 3,700 the next year and 4,000 the next year. It's directly correlated to your increase of sales, not nip sales. You talked about an 8 percent increase in sales; it's about a 6 percent annual basis. It does not correlate to nips.

When I was in graduate school, we used to talk about, take the data and knead it until it submits.

That's a classic example of doing that. I would suggest that you look at better ways to attract -- increase the cost of these things.

Let me add that I would also recommend that we take down the Open for Business sign because you see the people in the back with the black shirts on, they're from Sazerac. They're from an organization that employs

about 130 people in the state of Maine and with plans to put a \$1 million investment into this state, along with 31 employees moving into permanent status.

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Also, I would recommend that you placate to those who buy nips, that you really don't care about what their preference is, because you're right, it is cheaper to do that. Thank you.

Let me also add that one of the other correlations you have directly to the increase in OUIs are the ads you put out. These are sexy ads. Gees, I'm going to try that stuff. I'll go buy a nip of it.

Take the commercials off the TV that make drinking and driving and romanticize it.

So finally I recommend that you increase the price of the nips to cover the costs of the deposit. You can still do that and still drive people to the 750-milliliter container. You have the ability to do that under Title 28.

I would also recommend that you talk to law enforcement that they sit outside these convenience stores. It won't take very many stops before this problem stops, and if you put the deposit on it, the material will be picked up. I would stop the advertising. This is what drives people to drink and drive, not nips.

And I would also thank the people from Sazerac because they came to the table. They know that there's an issue, they were willing to do it as a Maine company. Don't put them out of business.

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Thank you very much. I'll be glad to answer the questions you might have.

CHAIRMAN McPHERSON: Senator Libby. No show. Senator Mason. Representative Austin.

STATE REPRESENTATIVE AUSTIN: I showed. I'm here. Good morning, ladies and gentlemen. Good morning to the commissioner and good morning to those that came from afar to be with us for this hearing.

I have to tell you one quick little nugget that I've learned over the years as a Legislator. I often tell my folks back home, I say, gee, you know, Sue, I'd really like to put in this piece of legislation, and what I say to them always, I remind them. Have you thought this through clearly? Do you know what you're doing? And do you really mean what you say? Because once you submit a bill, a piece of legislation, and that comes into the hands of a committee and on to the due chambers, it takes on a very, very lively life of its own.

At that point I have no control whatsoever about what happens other than giving good testimony. So I

find that this is proof positive. Here we are today. I started on a journey, which I will share with you, to do something that I thought was very positive, and I feel a bit hardened that this now has turned into the possibility that we could actually lose a very, very positive and successful business.

So I am Sue Austin, State Representative from Gray, Sue, S-u-e, A-u-s-t-i-n. I represent my hometown of Gray, portions of Raymond, Casco, and I have the wonderful privilege of representing all of Frye Island, which sits in Big Sebago Lake.

I'm going to give you a little history of how this came about for me. By design the inception of the bottle bill was to address environmental concerns hoping to encourage the return of bottles and cans in order to reduce litter along the highways and byways.

A good deal of my workout routine for the last 18 years has been accomplished by walking a 2-mile jaunt on two heavily traveled roads in Gray. Over the last two years, in particular, it has become blatantly obvious that there are a tremendous number of wee bottles -- wee as in wee, little bottles -- appropriately referred to now, as I have found, nips on the side of the road, on the shoulders, in the ditches, and on folks' lawns. It also seemed that the small bottle was going to stay

right there day after day until I picked it up.

These nips were being consumed by folks, I would assume, in vehicles, once emptied, were being tossed out of the vehicle. I became compulsive in gathering them up, putting them in plastic bags, and taking them to the dump -- my endearing term for the Gray Transfer Station -- for recycling.

That may also be the behavior of those who enjoy a nip at home by the fire, but when en route, it appears it's out the window they go.

It dawned on me that the die-hard bottle collectors didn't care about these troublesome, worthless -- very worthless -- little nips, empty nips. Worthless is why they were keeping me company and being a constant eyesore on my jaunt down the road.

True bottle pickers are on the hunt for as many refundable bottles and cans that they can carry to the redemption center or to miss the clink.

During my hands-on research, I have found that clearly Fireball is the choice by far and very, very popular. Upon closer inspection as I washed and categorized my nips neatly, I read on the back of the little bottle why it might be so much in demand, and I said this in jest, and I quote, "Ignite the night."

There's some marketing for you. A clever marketing

suggestion. Hence, I ask you to consider allowing the nips to go to the deposit de-list and just stay in the Maine state market.

Had I not taken my exercise to the streets, I would never have realized the extent of how many bottles — how many bottles and cans — not just nips are out there on the side of our roads. It requires a very up-close, very personal, somewhat slower than others' trips down the side of the road.

My emphasis in the last six months has been, No on nips. Make no mistake, they're not lonely. I've seen everything. I've seen Twisted Tea, juice boxes, Coors, Bud Light, pints of liquor -- pints, empty pints of liquor, all of which eventually get picked up for a 5-cent tip.

I'll move along. I have served 11 years on the business committee, eight years on the business research and economic development, and another three years on the labor and commerce, and that is where I became acquainted with the bottle bill. Combining those 11 years and being very, very active in supporting business here in Maine, I find that I am now, as I said, heavy-hearted because I would not have taken this step and been a part of losing a profitable, positive company that's in the twin cities of Lewiston and Auburn and who

has offered you substantive, valued jobs to many who need it.

I will share that our executive office, chief executive officer's concern around drinking and driving is very, very serious and real, but it's not just about nips, and I think we need to address that. Because of the --

CHAIRMAN McPHERSON: You are pretty well out of time. You are out of time.

STATE SENATOR AUSTIN: I usually am.

MS. RICE: Thank you.

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STATE SENATOR AUSTIN: Can I show you a few?

CHAIRMAN McPHERSON: Quickly.

STATE SENATOR AUSTIN: And I'll tell you why I did this. It's kind of a dog and pony show at that Legislature. When you have to educate 185, plus myself, on an issue, you need to show them pictures and give hands-on experience. Let me just show you a picture.

This is a bag that I picked up just within the last two days right by my home, so as you can see, that is out there all the time. Now, that does not mean it stays there. The point is that doesn't stay there. It gets picked up and it gets turned in, and this would create another revenue stream for the redemption centers.

This is a necklace. I had to get a little bit 1 2 creative because people up here really need to see 3 things to have a strong suggestion. CHAIRMAN McPHERSON: You've got a whole bagful 4 there? 5 I mean --STATE SENATOR AUSTIN: I've got all kinds of things 6 7 here. It depends on how much you want. This is how 8 popular Fireball is (indicates). 9 CHAIRMAN McPHERSON: I think that one makes your 10 point. 11 STATE SENATOR AUSTIN: Does it? Am I good? 12 CHAIRMAN McPHERSON: You're good. 1.3 STATE SENATOR AUSTIN: I'm good to go and travel 14 on? 15 I thank you for your attention, and I ask that 16 you -- I know you will give it good deliberation and 17 fair decision. Thank you very much. 18 CHAIRMAN McPHERSON: Representative Shagoury. STATE REPRESENTATIVE SHAGOURY: Good morning. My 19 20 name is Daniel Shagoury, S-h-a-g-o-u-r-y, and 2.1 Representative Golden could not be here this morning, 2.2 and he asks for your forgiveness and forbearance and to 23 allow me to give his written testimony. 2.4 STATE REPRESENTATIVE SHAGOURY: My name is Jared 25 Golden. I represent Maine House District 60, which is

part of Lewiston. I'm offering testimony in opposition to the de-listing of 50 millimeter bottles of liquor, also known as nips.

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I share your concerns about drunk driving, however, the State is in the business of selling liquor, and with all due respect, all the other products sold in the state containing alcoholic products do, if abused, lead to a whole host of problematic and often criminal behavior.

I believe it is unfortunate, but true, that there are many other products the Commission puts on the shelves that contributes to drunk driving.

The issue at hand did not arise out of a concern about alcohol abuse and drunk driving. The Legislature, in an act to help control litter, passed a law requiring a 5-cent deposit on nips. While his veto of the bill was being considered by the Legislature, the Governor stated that he would just ban the 50 millimeter bottles if we overrode his veto. I took his word seriously, and I'm concerned for the workers whose livelihoods are at risk by this de-listing. I did not vote to override the Governor's veto.

There are jobs at stake here. Workers shouldn't lose their jobs because the Legislature and the Governor disagree about the correct approach to address a

problem. It is this policy disagreement that led us here today, plain and simple.

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Hurting businesses and workers over political disagreements is not good government and is not the Maine way of doing business. Let us not start with this decision today. Thank you.

CHAIRMAN McPHERSON: Thank you. Representative Grohman.

STATE REPRESENTATIVE GROHMAN: Thank you, chairman, first, and members of the committee.

I, too, have brought a prop. This is my bottle of nips that I collected around Biddeford as constituents brought to me to ask me to bring this issue forward way back last summer proposing legislation that ultimately became LD 56.

So I'm Martin Grohman, House District 12 down in Biddeford, a beautiful beachfront community. Come see us.

I want to emphasize three things about this legislation, if I can. We worked with everybody through this process. I'm want to commend, in particular, Chair Saviello and Chair Tucker for their work on the environment and natural resources committee with this.

This is not the kind of bill where we, you know, sort of tried to impose our will without hearing from

everyone affected. We worked with BABLO, with all of the members of the business community, and really heard from them, and I think you can see that in the legislation that resulted; and two significant changes were made to the legislation as proposed, and I would like to highlight those.

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The first is that the implementation date was delayed until January 1st of 2019, and that was very intentional. That was done based on feedback from the manufacturers who said, in our natural cycle of updating our labels, we will have an opportunity then to preprint this information on the labels and it won't be necessary to hand sticker, and I think that was a really important change that we made.

And the other was, as proposed, the legislation had a 15-cent deposit. I think there was a feeling that that was pretty unreasonable for a \$1 item.

So those were the kinds of things that the chairs of committee and working together with all the stakeholders to change. I think that kind of attitude was why the legislation was ultimately successful.

Look, I'm a member of the criminal justice and public safety committee. We're passionate about all kinds of issues related to drunk driving and have heard very difficult stories personally related to that. I've

happened to sit right next to the roster where people testify and have heard things that literally made me cry many times, but I just don't see this as the solution to that.

You know, there are all kinds of correlations you could make to the increase in driving under the influence, including, say, the sales of fidget spinners; but I think we want to focus on that problem, but I think this here is best treated as a separate issue.

So as a small business owner myself, I really ask and implore you as the committee just, you know, think about the impact here, and I think it would be very real, and I think it would be very unfortunate. Perhaps there are other changes that you could make.

I know in particular that the nips are -- 15 nips are cheaper than a 750-millimeter bottle, which has always struck me as somewhat odd, and that may not be an item for consideration today but that's just to plant a seed around that kind of thinking.

So just to sum up, the three things. I really think we worked with everybody through the process. We listened very attentively, we made sensible changes to the legislation that made it much easier and simpler to implement. It does not go into effect for about 18 months -- January 1st of 2019 -- so really allowing that

label reprint, and I'm very pleased as a member of the criminal justice and public safety committee to work on any issues related to operating under the influence.

Thank you. I'll wrap up there. I think I got the gong.

MS. RICE: Thank you.

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CHAIRMAN McPHERSON: Thank you. Mr. Chadbourne.

MR. CHADBOURNE: Thank you very much,

Chairman McPherson, and distinguished members of the Maine State Liquor and Lottery Commission.

My name is Aaron Chadbourne, and I serve as senior policy adviser to Governor LePage, and I'm here today to testify on behalf of Governor LePage in support of the Bureau's recommendation to de-list all 50-millilitersized spirit products that are currently for sale in Maine.

In the interest of time I will not repeat the arguments presented by Director Mineo; however, I would like to take this opportunity to thank Director Mineo for his leadership of the Bureau of Alcoholic Beverages and Lottery Operations.

Under his management and leadership, in collaboration with your Commission, the State's spirit business continues to be strong. Greg has become known for his sound business judgment and his ability to forge

partners throughout the industry, while partnering with you, to ensure that growth in the Maine spirit business is sustainable and responsible.

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Governor LePage thanks Director Mineo for his careful handling of this sensitive issue before you today.

I think we all know that we're here because of the conversation surrounding LD 56, and LD 56 illuminated several issues associated with the sale of 50-milliliter containers and spirits, and those issues ought to be examined and addressed while 50-milliliter containers are removed from the market.

As has already been mentioned during the last several months, the Legislature passed LD 56 and overrode the Governor's veto of that bill to place a 5-cent deposit on 50-milliliter containers.

During the public debate on this proposal before the Legislature, members of the public made it clear that the discarding of empty 50-milliliter containers has become a significant problem alongside the roads of Maine. However, while the 5-cent deposit imposed by LD 56 may alleviate the litter problem, it doesn't answer the fundamental question of why are these containers ending up on the side of Maine's roads in the first place? The answer is clear. Motorists are

consuming alcohol in 50-millilitere containers and throwing them out of their car and truck windows. Now, some may argue that the 5-cent deposit of the miniature bottles will cause motorists to think twice about whether to toss their empties onto the side of the road, but Governor LePage believes that for those individuals who are already violating Maine's open container laws and using alcohol while driving, the incentives are far greater to simply discard the evidence of their crime.

Are there lawful uses of spirits packaged as 50-millilitere containers? Absolutely. And it was likely those uses, such as product trial or affordability, that led the Commission to list this product size in the first place. However, by everyone's admission, sales of this product size have exploded far surpassing anyone's expectation when they were listed.

Further, it does not seem that the widespread issue of drinking and discarding while driving was anticipated when the Commission decided to list 50 ml's for sale in Maine.

While de-listing 50 ml spirit products, will this eliminate all drinking while driving and will it eliminate incidents of operating under the influence?

Of course not; however, Governor LePage believes that 50 ml products make it much easier for drinking while

driving, and we should not turn a blind eye and make it easier for them to do so.

Larger packaging makes it harder to conceal a possession and use of spirit products, and Governor LePage believes that is appropriate.

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Drinking while driving is a threat to public safety, and it's on the rise in Maine. As was stated in more than one veto message to this legislative session, Governor LePage is concerned that the Legislature is not taking seriously the risk to the public of increased drug and alcohol usage, particularly while operating a motor vehicle.

These concerns and addressing them with de-listing is also consistent with past action the Commission has taken on products with packaging that seemed designed to encourage underage drinking by appealing to youth or to illegal concealment from law enforcement because they looked too much like a soft drink container, for instance.

Similarly, the 127th Maine Legislature was concerned about public safety implications of selling alcohol in powdered form, and you'll recall that they passed a law prohibiting you from listing it before it even got to this Commission for consideration.

Taking action to disallow the sales of spirits of

50-millilitere containers is consistent with this past practice with a concern for public safety.

In addition to raising questions why 50-millilitere spirit containers are ending up on the side of Maine's roads and whether they're too easy to conceal from law enforcement, consideration of LD 56 raised several other questions, including pricing. I've listed the discrepancy in my testimony that I handed out -- I'll skip it. As Representative Grohman mentioned, buying ten 50 milliliters gives you 500 milliliters for about 9.99, while the sales' price of a 375-milliliter is also 9.99.

Finally, de-listing is not permanent, and the Commission may revisit its decision at any point in the future.

Reports in the media characterize this proposal as a ban, but we believe it's more responsible to characterize this proposal as taking a step back to allow the Commission to work from a clean slate while making decisions about small-sized packaging for spirits, including the expansion of 100 milliliters.

Governor LePage and the Bureau remain committed to a strong spirit business for Maine. The progress the state's made under the LePage administration is undeniable and results in record profits for the state

enabling the repayment of hospital debt and great profit to our agency liquor store partners, all of which has increased as they have exceeded incentive markets like you heard this morning.

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For the 35 suppliers whose product lines will be impacted by today's decision -- and I know one of them is particularly well represented here today -- we remain committed to working with them to grow their business in the future.

CHAIRMAN McPHERSON: You have gone over the limit, but it would be my call as courtesy to the Office of the Governor for you to finish.

MR. CHADBOURNE: I thank the Chair for that courtesy. I don't want to overstay my welcome.

I'll just say that because of the recent attention to this issue, we really do feel that we can no longer turn a blind eye to the problem this illuminated; however, we also do appreciate the investments being made locally by manufacturers and by suppliers, and we are encouraged that they recently joined the local Chamber of Commerce and the State's Chamber of Commerce. We see them as a long-term business partner, and we look forward to seeing continued growth in their other product lines. Thank you.

CHAIRMAN McPHERSON: Thank you.

1 MS. RICE: Thank you.

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CHAIRMAN McPHERSON: Bob Macdonald.

MR. MACDONALD: Good morning. My name is Robert E. Macdonald, M-a-c-d-o-n-a-l-d, and I am the mayor of Lewiston. I'm also a retired police detective from the Lewiston Police Department.

I am here today in opposition to the proposed de-listing of all 50-milliliter-sized spirits more commonly known as nips.

Banning the sale of nip bottles is not an answer to illegal drinking and driving. People who drink and drive are not going to stop doing so due to a container change. It is a conscious choice in their behavior that needs changing.

If nip bottles aren't available, those individuals will utilize larger bottles of alcohol. Banning nip bottles will not deter those who want to drink and drive.

In addition, the physical presence of nip bottles does not automatically equal illegal behavior. If a vehicle contains nip bottles, for instance, it does not mean that the operator is driving while under the influence. The only definitive way to actually determine is to subject the driver to a Breathalyzer is -- I'm sorry -- subject the driver to Breathalyzer or

blood tests.

What banning nips will do, however, is seriously impact a Lewiston company, who we have their employees out there. These are the faces that we're talking about tonight.

Sazerac, a bottling facility and taxpayer in Lewiston, employs 130 people. They are currently occupying 90,000 square feet, and they are poised to make a million-dollar expansion in Lewiston. They also pay over \$166,000 in annual property taxes.

Half of the nips sold in Maine are of the Fireball variety, which are bottled right here in Lewiston. If one of the main items produced here is de-listed, then jobs may be de-listed, too. This would be extremely unfortunate, as the company has indicated that it could transition 30 part-time positions to full-time if it continues to grow. I would suspect that such a blow to the company operations would also put its expansion on hold.

I'm 100 percent opposed to drinking and driving, and I am 100 percent supportive of local business.

However, the choice to drink and drive is not about containers; it's about individuals' lack of responsibility as to public safety.

Thank you.

CHAIRMAN McPHERSON: Thank you. Stephen Roop.

MR. ROOP: Hi. My name is Stephen Roop, with -p-h and R-o-o-p. I own six liquor stores in the state of Maine: Five in Lewiston/Auburn and the new one in Oxford, by the way, which was a million dollar investment.

But had I known that the Governor was going to take this stance and call it a drinking/driving issue, I can tell you right now that my beer sales in all of my stores exceed all of our liquor sales.

Our nip sales, I'll just give you an idea of what that means to me personally in our business. It was over \$700,000 last year, gross sales in nip sales. So if you want to call us a culprit, then we're a culprit. That's \$84,000 in gross profit.

This affects how I do business. Is it going to shut me down? No. No, I'll make adjustments, and anybody who's been in business before knows where those adjustments come from, and quite frankly, I don't need to say much more because Tom Saviello was very graceful in the way he went about it.

Here you are listing 200-milliliter items. You just did. You just did. What is the difference between that and a ml? 50 ml? Nothing. So there's your argument.

Do we want to go back to the prohibitionist's way to rule this industry, or do we want to let it continue to grow and let the culprits that drink and drive be handled by law enforcement?

I have every faith in the world in our law enforcement in this state, but this just seems to be a vindictive way for the Governor to get back at maybe one or two or more senators and legislators who to dare go up against him.

I voted for him twice. I don't think I'd vote for him again. He's childlike, and I don't mind saying that in front of the cameras. And if he wants to call me, he knows where I live.

If anyone has any questions of me, please feel free. I'm very passionate about this. Thank you.

MS. RICE: Thank you.

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CHAIRMAN McPHERSON: Cathleen Sullivan.

MS. SULLIVAN: Thank you for listening to testimony today. This is my prop master, Rick, right here.

My name is Cathleen Sullivan, S-u-l-l-i-v-a-n. With my brother, Steven, we own RSVP Discount in Portland.

RSVP has been in business since 1981 and has been an agency store since '93. We have a reseller's license, and we also sell to bars and restaurants. We

employ 33 employees with access to medical and retirement benefits.

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I am strongly opposed to the de-listing of 50 ml, or nips. In my experience, there are three types of nip consumers: Samplers. These are people who are just trying the product before they commit to a full bottle and a nip is a convenient way for them to do so.

There's also the type of consumer who buys small quantities for recipes that just need a teaspoon of a particular product. Grand Mayan clearly is an example. They don't want to spend \$35 because they need a teaspoon per recipe.

Portion control. These are my second group of consumers. These are consumers who want to limit their consumption. They come in and they might buy — typically nips are wrapped in 10s or 12s. They might buy a container of them to last them a week or two weeks or however they want to do it, but they don't want to buy a 750 ml.

Some people buy smaller containers because they don't want to have extra booze left around their house, they just want what they need.

Some like to have something to offer to guests -whether it's gin, rum, vodka -- but they don't typically
consume spirits in their home.

Then there's the savvy consumer. These are consumers who know their prices and realize the 50 ml is a good deal. It is a cheaper cost per ounce to purchase the No. 1 selling 50 ml than it is to buy any package smaller than a half gallon of that package.

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So that product is so explosive in this state because it's a great deal. So why not buy it and save money?

I believe if we remove nips for sale in Maine, I believe these consumers will look elsewhere, and by that I mean outside of Maine for these products, but they simply will switch to nonspirits to fill these needs.

Moreover, removing the nips will dramatically limit responsible marketing opportunities to these consumers because it will restrict, or in some cases eliminate, the chance to sell these consumers a higher priced product. This will include many of the VAPs that we see at the holidays. You buy a bottle and they have one or two nips of corresponding products.

This past holiday season we had the typical candy canes, which I know were a huge success. That was a \$5 gift. People gave those with something else.

This is my favorite, Delle Gavine gift pack. It sells for 23.99. There's three products in here, and in a 750-milliliter bottle, those products range from 62.99

to the great product that's on the luxury price list for 139.99. So you get to try a product that costs \$140 in a package here that you might not normally try or buy the bigger product later on. So we're seriously limiting ourselves.

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De-listing 50 ml's will not reduce OUIs. Have the people who have made this claim taken into consideration other product changes in the marketplace? Have they taken into consideration the increase in sales of beers with a higher alcohol content or Tetra Packs of wine or the proliferation of single-serve sizes now available to the consumer, and they're everywhere. It's not just in liquor, it's everywhere.

For that matter, check out the actual difference in size between a 50 ml, 100 ml, and a half pint. There's really not that much difference in size. If someone wants to break the law by drinking and driving, any of these packages can easily be concealed.

In 2016, there were 3,700-plus convictions in Maine for operating under the influence. This figure takes into account consumption of any spirit, any alcohol, not just spirits.

Over a roughly similar 12-month period of time there were nearly 10 million units of 50 ml's sold. The vast majority of the consumers who purchase these 50 ml

packages did not and do not break the law.

By de-listing the nips, it will lead to a significant loss of revenue for everyone involved in the liquor business in Maine, giving up 5.5 million in annual gross profit to save the 1.6 million projected with ongoing bottling, handling fees, and pick-up costs does not make any business sense whatsoever.

The State stands to lose over \$4 million in gross profits; agents stand to lose over 1.5 million in gross profits. As stated by BABLO, this represents a majority of independent stores.

Ultimately, less business for us means fewer jobs for our employees. I would like to suggest that the circumstances that have brought us all to this point today, a proposal to de-list nips because of the impending 5-cent deposit, provides us with a unique opportunity to grow the spirit business responsibly.

Let's find a way to eliminate some of these costs associated with the deposit law. Perhaps it is possible to work with suppliers to get voluntary compliance for labeling. Many suppliers do this already for other sizes. This could eliminate all of the initial start-up costs.

Alternatively, this would be a good time to evaluate pricing and see if a 99-cent nip is what the

State wants to grow. Maybe a price for 1.49 would be acceptable, and this could offset Maine redemption costs. Thank you very much.

CHAIRMAN McPHERSON: Thank you.

MS. RICE: Thank you.

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CHAIRMAN McPHERSON: Ed Bearor.

MR. BEAROR: Good morning. Thank you,
Mr. Chairman. My name is Ed Bearor. I'm an attorney
from Bangor. I'm here representing the Sazerac Company
today.

My job is primarily to introduce you to the company and to the representatives who will be speaking in a moment.

I recognize the chairman's admonishment to not repeat everything that previously has been said, and it would seem that the testimony and the evidence that you heard is rather compelling for not de-listing this particular product.

At a minimum, you seem to be taking time out.

There's been some rather precipitous behavior on the part of some politicians that have brought us here today, an unattended consequence of the good work of the legislators who were trying to solve a littler problem.

So let me focus just for a moment, if I can, with my time on what I think is in front of you.

You're political appointments but you have an independent job to do regardless of the source of the recommendation before you today. You have to make your decision based upon the evidence and the testimony that you hear, and I think one thing that's plainly clear, despite all of the State's suggestions to the contrary, is that there's no direct correlation between nips and OUI convictions. There is none. And if there was, there would be law enforcement personnel here to speak in support of this proposition. There are none. There haven't been any. I haven't read or heard any commentaries from law enforcement personnel supporting this proposition.

I've had personal contact and reached out to law enforce personnel and have been told that they know of no connection between consumption of nips and OUI convictions.

There have been more OUI stops in recent years because the Federal government has provided funding for such stops, not to find drunk driving, but drugged drivers that they're finding. Obviously, they're finding drunk drivers in the same net.

But there's no record, there's nothing that you can point to, the State can point to that connects the nip to the OUI convictions. They call it a spike or an

increase. Yes, it's gone up in the last two years. You've heard the sales' report, it's no wonder, but it's dropped dramatically over the last ten years, and the rate that it's currently at, the 3,700 or so, is much lower than it was 5 or 10 or 15 years ago.

So you have a difficult decision because you're presented with having to accept or reject a recommendation, which I describe is nothing more than a very poorly packed snowball. The evidence is lacking.

Taking two phenomena and trying to connect them saying that's all we have is rather revealing in and of itself. There is nothing that connects the consumption of nips to OUI convictions. Absolutely nothing that's been said or presented to you in written testimony that does that.

Now, I'm going to present to you three individuals who are going to speak to you: Andy Muschinski, the plant manager at Sazerac in Lewiston; Gerry Reid works for the company and you probably know him as the former director of BABLO; and Mark Brown is the president of the company.

I beg your indulgence. This is a very important matter for this company and for its employees. We have trimmed our presentation list by half. There are three people who we were going to have present who are not,

and we would ask that you indulge, at least Mr. Brown, with one to two extra minutes for his presentation, and with that, that's all I have. Thank you.

CHAIRMAN McPHERSON: Andy Muschinski.

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MR. MUSCHINSKI: Good morning. My name is Andy
Muschinski. It's M-u-s-c-h-i-n-s-k-i. I'm the plant
manager for Sazerac Boston Brands of Maine in Lewiston.

I'd like to tell you a little bit about myself, our plant, and our employees. First, a little about my position at Boston Brands of Maine and my connection to Maine.

In general, it is my job to make things run more safely, efficiently, and productively in large operations such as Sazerac in Lewiston. Throughout my career I've been in various manufacturing plants in the food, chemical, and alcohol industries. Over the years my family and I have lived in several different locations due to my career path, but it has always been a hope of ours to find a position in Maine. My wife and I have family here, and we have always wanted to move closer to them.

When I came on board Sazerac in January, it felt like everything was starting to fall into place for my family and I.

Second, the plant. The plant itself has an

interesting history. In 2012, Beam Suntory purchased it with the idea to move production and shutter the plant.

When Sazerac purchased the facility in 2013, it may well have been the intention of the company to allow that to continue down the path of closure, but as our COE, Mark Brown, has told me -- and I wholeheartedly agree -- he encountered a very dedicated productive workforce in Lewiston and determined it was worth building versus tearing up.

And as you've heard from my background, I'm someone who understands the logistics and cutting costs out of production systems. It makes no logical sense to have a plant in Lewiston, Maine. We have no suitable raw materials, plastic, or glassware suppliers nearby, and our location is not advantageous to low-cost transportation. It follows no good rationale unless you factor in the workforce. Once you do that, the negatives and positives all balance out and it makes complete sense.

To be clear, Sazerac is not in Maine but for our dedicated workforce. We presently have 124 employees and we run seven productions lines. We average five lines every two shifts, as well as a third shift and some Saturdays. We have plans to expand upon those numbers, including the employees.

We also produce several products that highlight our connection to Maine, such as Maynard vodka and Mr. Boston's Coffee Flavored Brandy that uses locally roasted coffee beans.

Which brings me to my final point: The 124 employees at Boston Brands of Maine and the additional 30-plus temporary positions that support our operation. I cannot adequately describe the toll this issue has taken on them.

If you consider that many of them have fresh memories of their plant being slated for shutdown and are now confronted daily by news articles that puts their livelihood at stake, it creates serious unease.

Just the other day this is what we had to wake up to from an editorial board of the Press Herald:

Bottling those nips also provides good paying jobs to a number of Mainers, but that cannot be the deciding factor here. If the tiny bottles are making Maine roads unacceptably less safe, and it appears they are, those jobs have to go.

I was surprised by the callousness of this statement, because regardless of how we got here, this really comes down to over 160 peoples' piece of minds.

We are the most important part of all of this, yet no one seems to consider that fact. Our plant, despite

its less than ideal location from a pure business standpoint, is looking to increase its positive economic impact on Maine. We have a \$1 million capital investment plan on hold now due to the unrest, and with that investment could well come full-time positions for 30 of our part-time workers.

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I urge the Commission to think about what is at stake with this entire spectacle: The well-being and future of 160 Maine workers in Lewiston.

Thank you for the opportunity to speak today.

CHAIRMAN McPHERSON: Gerry Reid.

MR. REID: Good day, commissioners. My name is Gerry Reid. It's Gerry with a G- and R-e-i-d.

When I last spoke to you, it was a few years ago, I was your BABLO commissioner -- or director, excuse me.

We worked together to create and improve a wholesale distribution contract for the State. I'm very proud of that accomplishment.

I was quite gratified to hear Director Mineo's performance review that the business continues to do well. That means that it's working, so that's a great accomplishment.

When I departed BABLO, I went back to the private sector where I had come from originally, to the Sazerac Company, and I'm very proud, also, to perform a

significant role with that company. A very professional organization nationally but particularly in the state of Maine, and it's also quite a high integrity organization.

Now, I just want to touch on two points, and I'll be brief because several people have quoted my figures already.

What I do want to say with a little bit of emotion, if you'll forgive me, the economic impact on the State of Maine would be impacted and dreadful. Do not underestimate that. Do not look in the rearview at this year and back. Look at an accurate forecast of the future.

This business is positively on fire, it's on a growth trajectory like this (indicates) led by my company for reasons which I'll touch on in just a second.

But what we've done, if you take that growth rate of this year and you actually step it down by 5 percentage points a year until there is no more growth -- 50 ml's I'm talking about -- at the end of about ten years it's not growing any longer.

That value to the State of Maine and its current gross profit is approximately \$250 million.

Now, you might look at me and say, that's way too

optimistic, you're never going to get that much out of this business. I would say to you, I think we can, but reduce my number by half. You're still talking about a massive number. It's not an insignificant number. It's the phenomenon of compounding, folks, and anybody who's done banking knows what compounding does to cash projections. It's a wonderful thing when a business is going this way (indicates), and that's the real number that needs to be taken into consideration.

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Others have talked about taxes and -- property taxes and sales taxes, so I won't elaborate on that, but just to say, they're on top of the figure I just talked about.

Now, the other side of this equation, which I think is equally important, is it has been suggested that if you de-list 50 ml's, that business would just simply go back to larger sizes.

I would respectively disagree with that. We, having led this business, have measured and monitored where the business has come from. If it was going to go back to larger sizes, that would suggest that during its growth trajectory, those larger sizes would have gone down, okay. If it's going to go back, then it must have come from there.

Well, they're not going down. Okay. It is

incremental to a large degree to this category, so there is no evidence that that business will simply migrate back, and that's the most dangerous assumption I've heard in all of these things.

Now, the last part of this equation is, why is this business doing so well? I've heard words like concealment, and so forth, which make me recoil, quite frankly. It's doing so well because if you look across — beer, soft drinks, water, snack foods, coffee microwaved dinners, laundry detergents — all of these categories are growing in single—serve pack sizes dramatically. It's not just spirits. The consumers want them. They're convenient, they're lifestyle consistent, and their cash outlay is much smaller compared to the larger size. There's no mystery to this. That's why these things are doing so well.

So finally concluding this point -- and I only have one more -- we respectfully suggest to the Commission that providing consumers an incentive to purchase larger spirit bottles is not desirable State policy, in fact, strikes me as bizarre in a certain way.

Consumers -- nor do we want to give consumers another reason to go to the State of New Hampshire.

I'm getting my time notice. I'm going to go to OUI very quickly and say, others have commented on this.

The recent 3,700 or so OUIs, in eight of the ten previous years, that number was higher, substantially higher. So this is not a high number.

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Also, the 800-pound gorilla in the room is the beer business. Beer is 12 times the physical volume of spirits -- 12 times. Beer is almost all single-serve. Before there ever was a nip, there were beer cans and beer bottles.

And lastly, don't forget the spirit industry has grown 3 to 5 percent a year in our state for over ten years. A reasonable conclusion of that would be that the cumulative effect of that might have caused the limited increase in OUI.

So I conclude simply by saying, denying consumers the right to choose a legal product we think is really not appropriate public policy in Maine, and just from my view, the independent voters of this state have approved medicinal and recreational marijuana at the ballot box. De-listing 50 ml spirits seems strangely out of touch with the will of the electorate. Thank you.

MS. RICE: Thank you.

CHAIRMAN McPHERSON: Mark Brown.

MR. BROWN: Good morning. My name is Mark Brown,
M-a-r-k, B-r-o-w-n, and I am the president and CEO of
the Sazerac Company. I have just completed my 45th year

in the industry and have been fortunate enough to work in over 50 countries.

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My involvement in Maine dates back to 1980. In my career I have made over 100 presentations advocating for industry responsibility and regulation. I strongly believe in a responsible industry in partnership with elected officials, regulators, and law enforcement.

Over this time I have learned many lessons.

Through the most important lessons I've learned,

prohibition does not work, and OUI is an ongoing and

serious problem. Prohibition did not work in 1919 and,

the type of discriminatory nonevidence-based prohibition

being proposed today is not going to work either.

The prohibition proposed by BABLO covers almost half of the unit spirits sold in the state of Maine. It sets a very dangerous precedent. How does it not logically lead to total prohibition?

BABLO argues that substantial evidence of increased drinking while driving should lead to prohibiting all sales of 50 ml products. First, even if there were evidence to support the correlation — and BABLO has presented none — then why would we not be back here in 30 days dealing with the prohibition on other sizes or the banning of alcohol in bars and restaurants?

The supposed logic that says prohibiting 50 ml $\,$

spirit products decreases OUI risks should apply to all alcohol containers and behavior, should it not?

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Second, Maine consumers are not going to tolerate targeted prohibition either. They will simply shift all of their alcohol purchases to neighboring states, inflicting economic harm to the state and undoing the wonderful job the State has been doing on regaining cross-border business. If you believe BABLO's theory, it will make the roads much less safe.

OUI is a serious problem not to be trivialized.

BABLO presents no fact-based evidence to support its
theory that the small reported increase in OUI is
related to an increase in 50 ml purchases. The agency
is simply guessing.

Is it due to an increase in the large-sized bottles? Is it because more federal grant money to crack down on drugged drivers via more sobriety check points is in fact catching more violators?

Where were the violators drinking? On premise?

Off premise? What were they drinking? Beer, wine, or spirits? Where is the study BABLO is required to perform to answer the question?

BABLO has not answered any of these questions nor has it presented any evidence or data to support its call for the targeted prohibition. This is not the way

you tackle OUI. It can only be tackled through robust education at very early ages and strong enforcement programs, both of which we support.

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So let's move to 50 ml's. 50 ml's are part of a broad consumer trend in portion control products from snack bars to Keurigs. 50 ml's are the opposite of BABLO's characterization. They are, in fact, good news for our industry because they are the most socially responsible package sold. This allows the consumer to keep precise tabs on their consumption versus a free-pouring 750 bottle. They represent a substantial step forward in measured consumption.

In Maine, in addition, 50 ml's represent a further step towards moderation and social responsibility since these are lower proof products, typically 66, 48, or even less, and that is in sharp contrast to the growth in 80-proof products in the larger sizes.

A 50 ml is not even a standard drink. It is less than one 5 percent 12-ounce beer. In a worst-case scenario, according to the NHTSA, a 100-pound woman would have an expect BAC of less than .05 after consuming a 50 ml of Fireball.

Significantly, there has been no testimony or even anecdotal evidence from law enforcement that 50 ml's are responsible for the change in OUI.

BABLO suggests that 50 ml sales are increasing because Maine consumers are experiencing some sort of pent-up --

CHAIRMAN McPHERSON: Excuse me just a minute. Are you on your last page there?

MR. BROWN: I am on my last page.

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BABLO suggests that 50 ml's are increasing because Maine consumers are experiencing some sort of pent-up demand and propensity to drive drunk, behavior BABLO would have us to believe is facilitated by the sale of 50 ml bottles. The theory is simply false and unsupported by any hard facts whatsoever.

We would respectfully recommend that the Commission decline BABLO's unsupported and discriminatory proposal to target a single spirit size or product for prohibition.

Thank you for the time. I'm happy to answer any questions.

CHAIRMAN McPHERSON: Josh Tardy.

MR. TARDY: Good morning. Mr. Chairman,

Commissioners, I am Joshua Tardy. I'm a resident of

Newport. I am here today in my capacity of local

counsel, co-counsel with Mr. Bearor, the Sazerac

Company, and I don't want to repeat Mr. Bearor's

comments. I just want to add on briefly.

I was troubled when I reviewed Mr. Mineo's reply comments in which he suggested, or rather strongly asserted, that nobody in the public comments disputed the Bureau's conclusion that 50 ml containers were "inordinately contributing to illegal drinking and driving." I would just respectfully disagree with that assertion.

I think the public comments and the written comments and, in fact, Sazerac's written comments, which specifically have a heading disputing the assertion, make the record clear that we disagree respectfully with Mr. Mineo's conclusion that nip sales are up and that OUIs are up and that therefore nips cause OUIs. It kind of gave me a bad flashback of when I used to have arguments with my parents and I questioned them. I'd say, but, mom, why? And she'd say, because I said so. And then I'd try to appeal it to my dad and I said, and I said why? And he said, because your mother said so.

And just because the department and Mr. Mineo says that nip sales can be attributed to the increase in OUIs, I just would respectfully suggest that we call that a logical fallacy, okay, it's begging the question, if you will.

Listen, the evidence is clear about OUI in the very Bureau's submission. If you look at it, I would

respectfully suggest that you look at a ten-year average.

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Skowhegan court, for example, has court on Wednesdays. On a particular Wednesday, four or five people might go in and plead guilty to an OUI, and on a Thursday, because they don't have court scheduled, there's nobody that's pleading guilty to an OUI. So is it fair to say that OUIs are on a decrease on a Thursday, or is it more fair to look at it over a ten-year period?

I think the record is clear, the data is clear that OUIs are not on an uptick. So I respectfully dispute that assertion.

Anecdotally -- I don't know, Mr. Chair, if you can take judicial notice of anything that you hear on the George Hale/Rick Tyler show, but Chris Greeley, police chief of Holden, did talk about how he didn't think it was fair to attribute the uptick in OUIs, if there were any, to nips. He thought that was unfair. He thought it would be more about opioids, and more importantly, the treatment for opioid addiction and the relationship of impaired driving to methadone treatment.

We also know that medical marijuana has been a very tough policy discussion at the Legislature and how you quantify THC levels and how do you compute that to

impairment and how do you deal with that from a public safety standpoint.

So I don't think it's fair to say that because nip sales are up that you can cause this public policy debate in this manner. Craft brew, craft beer -- an emerging industry -- craft brew sales are up. I don't think it's fair to say that that's to blame for OUI increases.

And I'll just say, finally, on behalf of Sazerac and myself as a taxpayer and citizen in this state, I appreciate your service, Mr. Chair and members of the Commission. I understand the role that you have to play. I have some in my past experience. I know what it's like to cast difficult votes, and I would suggest that — and I think you all know this — that your actions today put you under a microscope, and very importantly, they put Maine and its very important asset, the liquor business, under a microscope and has major implications, not just for the great employees of Sazerac, but for Maine taxpayers and the general fund.

You all probably talked about it in your applications to become commissioners and through the confirmation process. You probably talked about your willingness to contribute your public service commitment and understanding the great, great resource that this

business is to the general fund.

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And so I would just say, stop, pause, deliberate, and good luck in this deliberation. Thank you.

CHAIRMAN McPHERSON: Dana Connors.

MR. CONNORS: Thank you, Mr. Chair and members of the Commission of Liquor and Lottery Commission. My name is Dana Connors. I'm the president of the Maine State Chamber of Commerce, and it is my pleasure to be here today to present you our strong opposition to the de-listing of this product.

I think I'd be happy to yield my time to the previous four because I think they made some very compelling -- very compelling -- and convincing arguments as to why it should not be de-listed.

I'm here today on behalf of all businesses because we truly believe that this can open the door to a lot of other problems that have been presented to you today by various parties that have commented.

I simply want to point out that I remember not too long ago, in fact four to five years ago, that the current — then current foreign owner of this Lewiston liquor bottling business was prepared to close, they were going to abandon this business; but instead, the owner, as you've heard the manager say, the facility, family—owned American company, Sazerac Company, who's

CEO, Mark Brown, saw the potential when he came to the facility and spoke with the employees. He liked what he saw and heard from them, and today he credits those employees, their commitment, dedication, and hard work with much of the company's success.

But in addition to what Sazerac saw in our Maine workforce, it was a changing regulatory environment and marketing strategy on behalf of Maine's liquor industry, and today, in a relatively short period of time, it has gone from a probable shutdown to a success story.

That same business in Lewiston that's been modernized today employs over 120 full-time Mainers with the possibility of adding 30 more full-time from their part-time employee pool. In addition, revenue to our State has multiplied. This business is now the No. 1 supplier of nips in our state and plans call for an added investment of \$1 million to their bottling facility in Lewiston, which will grow and expand jobs even more, and that is the good news.

But instead of celebrating and rewarding that success, its significance to our state and our economy, the proposal before you today seems, instead, to punish that success. As good corporate citizens, the company agreed to the 5-cent deposit to address the issue of litter, but in spite of that, they face a possible

action that would take away a major portion of their business.

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With the lack of predictability and consistency in policy being a paramount concern facing businesses today, this appears to be a shining example of why that is so, and bringing with it a cloud of uncertainty for their future planned investment, as well as its impact on employment and revenue to our state.

The proposal before you today, if it were accepted, would have a significant negative impact on the local economy in Lewiston and once again bring back an unlevel playing field for our retail community as they compete against our neighbor in New Hampshire.

In light of economic impact to our state, I strongly urge you, on behalf of all business in the state of Maine, to reject this recommendation that's before you today.

With that I thank you very much for your time and consideration.

CHAIRMAN McPHERSON: Rebecca Swanson Conrad.

MS. SWANSON CONRAD: I'm Rebecca Swanson Conrad, the president and CEO of the Lewiston Auburn

Metropolitan Chamber of Commerce. I'm here on behalf of our region and our member Sazerac Boston Brands, as well as numerous Lewiston-Auburn retailers, to ask the Bureau

not to ban the sale of 50-milliliter bottles in Maine, and certainly without further study and discussion.

Definitive evidence has not been presented that removing only this particular-sized bottle for sale in Maine will address the issues of litter or drunk driving and that makes a ban premature. It also sets a dangerous precedent with long-range implications for the alcoholic beverage production, distribution, and sales industries in Maine.

A decision of this magnitude that will reduce State revenue, substantially reduce income for retailers across Maine, and in the case of the Lewiston producer, Sazerac Boston Brands, have a direct impact on employment levels, business growth, and the resulting economic impact is in direct opposition and to the supportive business environment that our region and our state seeks to promote.

Boston Brands, in particular, is an important member of the Lewiston Auburn economy, not only carrying on, but expanding a facility with deep roots in the community.

Sazerac made a significant commitment to remain in Lewiston Auburn, Maine's second largest economy. One of our many niche manufacturing industries linked to a national market, they are exactly the type of company we

seek to attract, retain, and most importantly, help to expand to ensure that our region has a broad range of good job opportunities for our increasing population.

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The best conclusions follow healthy debate. Please do not make a unilateral decision on this issue. Thank you.

CHAIRMAN McPHERSON: Thank you. Victor Horton.

That being the end of public comment --

PARTICIPANT: I'm sorry, did you say Victor Horton?

I didn't hear you. I apologize.

MS. WRIGHT: My name is Shelly Wright, and I'm here to testify in place of Victor Horton, my executive director, who was unable to make it today.

I represent the Maine Resource Recovery

Association, which is the State's nonprofit sharing

organization for recycling and solid waste

professionals. As such, we work to support economically

sustainable and environmentally friendly recycling and

solid waste practices that uphold the State's waste

hierarchy set by the Maine State Legislature.

We are writing to support the discontinuance of sales of the so-called nips and also the Bureau's -- BABLO's recommendation to de-list all 50-millilitere spirit products.

Recent legislative hearings have brought to light

the growing problem of roadside litter in Maine and established consensus that tiny 50-millilitere nips' bottles are a rapidly increasing contributor.

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MRRA created Maine -- Keep Maine Clean program earlier this year to encourage outdoor enthusiasts to pick up roadside litter and work against illegal dumping on private lands. When LD 56 came into the public forum, our Facebook page was literally inundated with pictures of nips taken by citizens as they walked Maine's roads and combed their beaches.

Our members supported adding small containers to the Bottle Bill as proposed in LD 56; however, when Governor LePage suggested doing away with the small spirit containers altogether, we needed only to look at Maine's solid waste hierarchy for guidance.

The hierarchy sets State policy regarding solid waste management and the priority with which an integrated approach to waste shall be based.

It establishes such priorities in the following order: Reduction, reuse, recycling, composting of biodegradable waste, processing that reduces the volume of waste in landfills -- including incineration -- and lastly, landfills.

The statute further establishes the above list as a guiding principle in making decisions related to solid

waste management and goes on to establish that it is

Maine State policy -- and I quote the statute -
Actively promote and encourage waste reduction measures

from all sources.

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By reducing the amount of unnecessary single-use packaging and thereby stopping the multitudes of discarded containers on Maine's roadsides from piling up in the first place, logic dictates that the de-listing of the 50-milliliter spirts is not only a socially responsible solution, but it is the optimum course of action to uphold Maine's solid waste hierarchy and achieve the litter reduction goals of LD 56.

MRRA supports the recommendation of BABLO to de-list the wasteful single-use 50-milliliter delivery method for spirits.

Thank you for your consideration.

CHAIRMAN McPHERSON: Thank you. That concludes the public comments.

PARTICIPANT: Chair McPherson, I believe there are people who would like to address the Commission, if at all possible. We were not aware of the sign-up and did not think that it was a requirement. I would ask the Commission to oblige those --

CHAIRMAN McPHERSON: It was well posted and notified that they had to make $\ensuremath{\mathsf{--}}$

PARTICIPANT: Nowhere on the website did I see that 1 2 it was a requirement to sign up. 3 CHAIRMAN McPHERSON: Pardon? STATE REPRESENTATIVE HANDY: Nowhere on your 4 website did I see that it was a requirement to sign up 5 in order to speak -- my name is Representative Jim 6 7 Handy, by the way -- and I say that because this plant 8 is in my district, and I care about every one of the employees there, and I care about all the other 9 10 employees and small businesses that will be impacted by 11 this. 12 But also, the other thing I'd like to say is that the OUI and the attempt to correlate OUI --13 14 CHAIRMAN McPHERSON: Sir, we have --15 STATE REPRESENTATIVE HANDY: -- is not --16 CHAIRMAN McPHERSON: We have that information. 17 STATE REPRESENTATIVE HANDY: You have that 18 information, but just let me make my point. 19 My point is that if that's a faulty piece of 20 evidence that you have before you, what other evidence 2.1 do you have before you that's equally at fault? 2.2 CHAIRMAN McPHERSON: That's been brought out by 23 some of the others that spoke. 2.4 STATE REPRESENTATIVE HANDY: And I would join with

Senator Saviello and making this open free market idea

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with a well regulated free market liquor sales in the state with the proper liquor enforcement that has been decimated. Thank you for the opportunity.

CHAIRMAN McPHERSON: Thank you. We'll end the public comment right there. I think we have the information we need to continue and make a decision.

MR. RANDLETT: So just for members of the public, we've ended the public comment portion of this meeting. At this point the Commission members are going to discuss the issues and deliberate to their decision.

For those who don't know, my name is Mark Randlett, I'm An assistant attorney general. I'm here to advise the Commission members during the deliberations. I do not have a vote, but I will assist them in terms of the process and give them any guidance with regard to legal questions they may have.

So at this stage it's up to the Commission members to talk about it and reach a decision. There will be no further public comment. At this point it's purely among Commission members, although it is a public process so you're welcome to stay and listen to the process.

And the last thing I will just point out is that after the Commission votes today, assuming they are able to reach a decision today, the final decision will be put into writing and I assume will be posted on the

Commission's website for public viewing, and that written decision will explain the vote and the reasons for that decision, which they will articulate during their deliberations today, but today will be their, I presume, final decision assuming they're able to reach a consensus on this issue.

So at this point I'm going to turn the deliberations over to the Commission members. I just want to point out a couple things. First of all, as the chairman pointed out in his opening statements, the -- it is the Commission members' decision to determine whether or not items should be listed or de-listed in the state of Maine.

As far as I can tell from my review of the law, that that is a discretionary function of the Commission, in other words, the standard that you have is whether or not you believe that there is good reason to make a decision to de-list or a good reason to make a decision not to de-list. That's up to you.

It's a discretionary matter, but I would ask that in making that determination, you take into consideration all the evidence you've heard, and when making your determination, articulate the reasons why you believe that these items should be de-listed or why they should not be de-listed so that they can go into an

appropriate decision.

Does anybody have any questions about the process that we're going to go through?

MR. DAVIS: Any chance we can get a break?

MR. RANDLETT: I'd be happy to take a -- I mean, that's up to you guys. I don't control the scope of the meeting at this point, so if you want to take like a five-minute break before you deliberate, that would be fine. I would just ask that if you are going to take a break, that members of the public not approach

Commission members with anything further at this point, that the Commission members just be allowed to go about their business on their own and then we'll be back in five minutes. Thank you.

(There was a break in the hearing at 12:04 p.m. and the hearing resumed at 12:12 p.m.)

MR. RANDLETT: Please take your seats. We're going to start with the Commission deliberation. Thank you.

The problem with taking a break like that, it makes me think about a couple more things to say.

Just for the public's information, the way this is going to work is the Commission members will have a discussion about the issues. At some point when they get to making a decision, they'll have to make a motion to de-list by the board -- by a Commission member. It

will have to be seconded and then they'll vote on it. 1 2 There might be more discussion after that or not. 3 That's up to the Commission. But there will be a vote. There are five Commission members here today, which 4 is a forum of the Commission, and there will have to be 5 three people to vote in favor of de-listing before it 6 can pass, and the only other thing we can do is we'll 7 8 have to make sure Commission members have a working microphone in front of them when you're speaking so 9 10 people can hear you. 11 So at this point I'm going to turn it over to the 12 chairman to start opening the deliberations. CHAIRMAN McPHERSON: I have a question. Did I 1.3 14 understand that if this proposal doesn't pass, then the 15 nips will stay, so to speak, and given a period of time, 16 the labels can be changed so that the 5-cent deposit 17 will be on the bottle? 18 MS. RICE: Yes. 19 MS. SHEEHAN: Yes, that's my understanding.

2019, I believe, is the changeover.

MS. FITZGERALD: Yes.

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MS. SHEEHAN: Which would give the industry time to repackage.

CHAIRMAN McPHERSON: All right. Any other comments or questions?

MS. RICE: We're in the discussion period?

CHAIRMAN McPHERSON: Yes, we're in the discussion period.

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MS. RICE: I have listened to the testimony and I've looked at this binder full of information, and I looked at the OUI data over the 11-year period given for 2006 through 2017. And the highest OUI convictions were in 2006 and 2007 when the nips' sales were the lowest versus about 500,000 nips' bottles versus 8.4 million at this point.

So I find that attributing the increase of OUIs to an increase in nip sales is not sustainable.

I also looked at the average of the OUIs, and although there was a little uptick in 2014, I don't think that is statistically significant, and 2014 was a year when we had cold winters and high snow levels, which may have influenced driving and the possibility of OUI convictions.

I think LD 56 should be given a chance to work and see how things go. That was just instituted by the Legislature, and that should help with the roadside debris.

There were some other suggestions that were made today that you may also look at is the pricing, education, and enforcement.

So I think that we should be -- not take a hammer to this kind of -- as a business decision, I think it would affect jobs, hurt small businesses, and affect consumer choice. That's the way I am looking at this situation.

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MS. SHEEHAN: Pat, I'm going to add to that as well. Looking over the information on the OUI, you know, one of my questions, too, was were those vacationland people? Were they from the state of Maine or were they from out of state with the OUI convictions?

I just see no correlation with the OUI and just the nip itself.

And then I took a look at our mission. Our mission is to achieve a social responsible manner, and I truly believe on this Commission that Pine State, our friends, have done great campaigns and that we do do that mission of keeping everything very responsible, and I found the debate of actual — the size — that the alcohol level is actually less in the nip achieving our social responsibility to our Mainers.

And then our social responsibility, too, can be jobs. These are very important jobs in the state of Maine and I find that very compelling.

LD 56, you know, a 5-cent redemption, I don't know if that will really solve anything, but if we do have

all these extra funds, I would really like to see money spent more on education and possibly even going towards more of our Maine state problem in the drug epidemic that we have here in the state.

That's my position.

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MR. DAVIS: Thank you. When I try to make a decision about something that's critical, I ask, what is the most important thing we're deciding on, and I've got all this book of data -- actually before that when I got a lot of information sent to me -- I asked where the critical information was, and to me the critical information was, what do OUIs result in?

OUIs result in crashes, and the crashes result in injury and death, and I haven't gotten any information about that aspect of this decision.

So I asked for some of that, fatality statistics, and I asked a variety of State agencies to provide me with as much information as they could about crashes and fatalities. So I look at the facts.

The basic facts are, nips are exponentially through the roof. You go from under a million to 8.5 million in a very short amount of time, and there's a whole host of reasons why that happens.

We then look at our roadsides and we find that they're all over the roadsides, and we pick them up,

obviously, that's an environmental problem. But why are they on the roadside? That's because somebody's throwing them out of a moving vehicle, which means they're drinking them in the vehicle. I mean, you can't escape that.

So then I said, OUIs. We've got a ten-year drop like a stone of OUIs, all of a sudden it stops and starts to go the other way. So I said, okay, there's a correlation there. Something's triggered that.

I then thought, well, what are the crashes like? What's happened with crashes? Well, crashes have increased also -- not significantly but there's enough movement that it went from in 2015 from less than 1,200 to almost 1,400.

And then what happened to fatalities? Well, that's when you get into the real mud because I don't care whose numbers you look at, they're different. So there's no consistency from Alcohol Alert, which is an agency that tracks fatalities, the National Highway Safety — the Transportation Safety Administration, they track fatalities, and the State Police; however, in those three short years, fatalities are up. Let me give you some examples.

In 2000- -- the State Police. In 2013, they were 26; in 2014, they were 27, and then in 2015, they're 39.

If you look at the National Highway, 2014, they're 37; 2015, they're 52. If you look at the Alcohol Alert, 2014, they were 49 and now they're 52.

Clearly there's an increase in fatalities, and so for me that's the most important issue. I mean, we make \$4 million. How many people in the room here would spend \$4 million to bring back somebody who had died of a loved one? And for me that is the crucial thing I'm going to decide on.

MR. RANDLETT: If you have written material or other items that you relied upon, I would ask that you make them available to the Commission and to the Bureau so they can be included in the record.

MR. DAVIS: Certainly. I want to caution you there. They're my handwritten draft paper notes.

MR. RANDLETT: I think they probably -- to the extent you did any individual figuring on this, I think it should be in the official record. If you could just provide those.

MR. DAVIS: I will.

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MS. FITZGERALD: Thank you. I would echo what at least a couple of my commissioners have said in terms of the reliance on some of the data.

I just know that from my perspective, which is Washington County, that we certainly understand the fear

of losing employment. There's no place in Maine that can afford to lose, you know, 25, 50, 75 jobs or whatever it is, and I am, I guess, almost persuaded by that alone.

We do see the nips by the side of the road in Washington County just like everybody else does, but the impact of de-listing them at this time, I think, does more harm than good, and I am persuaded by the process of allowing LD 56 to operate for a while.

We can always go back and amend it or change it or withdraw it; but I think that the Legislature debated it, as I'm sure they did, and felt that this was a reasonable action that for the entire state.

I mean, I have one perspective, my commissioner's have other perspectives. But the Legislature, we assume, they have the statewide perspective, and like it or not, I have to rely upon the fact that they used that perspective when voting on LD 56.

CHAIRMAN McPHERSON: Anything further?

MR. DAVIS: I'll make one other comment. You have an opportunity to be socially responsible, and one of the things you do, is if you do de-list this -- which is where I'm going to be coming out -- if you do de-list it, it's not a permanent situation.

If you did it for three years, you could see what

would happen to OUI and you would see what would happen to fatalities and injuries and crashes, and if those numbers change dramatically and went down, I think that would be a wonderful thing, but you'll never know unless you have the experiment, and the experiment is to de-list it and see what kind of impact de-listing has for two or three years.

You'll have a different governor, you'll have a different commission. They can certainly make a change and bring them back if that really happens.

But I suspect that something will happen. I think, from what I can tell, you will see a drop in all those things: OUIs, crashes, and fatalities.

You're going to stop some people -- not all people, obviously -- you'll stop some people from using those nips.

I mean, you go into any convenience store -- and I have a business of my own and we put these kinds of items, those cheap items that have great margin on them -- you put them right by the cash register, and we encourage people by putting them there as an impulse item.

I was in the store the other day and somebody bought four cans of Bud Light, their most favorite beer in the world -- and they bought four nips, and that's

1 how it goes.

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It's a very cheap impulse-driven product, and I suspect that there's going to be some deaths and injuries that are caused by those products.

CHAIRMAN McPHERSON: Thank you. The question in my mind is why has the sale of nips increased so in the convenience stores but in the big supermarkets, like Hannaford or Shaw's, the sales have gone down?

I think somewhere in here it's because you can go in and buy a sandwich or something and grab a nip or whatever. It just confuses me, that's all.

MS. SHEEHAN: Well, I think there's been discussion about this. That's a behavior that needs to be modified. That's -- we heard from, I believe, the mayor of Lewiston that he talked about basically that's a behavior. I mean, that's an addiction problem.

MR. DAVIS: I'd like to make a motion. I can't wait. I'd like to make a motion that we accept the de-listing of the 50 ml containers. Do I need to say anything more about that?

CHAIRMAN McPHERSON: Looking for a second.

It's been moved that we accept the Bureau's recommendation. Is there a second? The motion dies.

MR. DAVIS: That's kind of a macabre way to say it. It dies. Okay.

1 CHAIRMAN McPHERSON: Is there another motion?
2 MS. SHEEHAN: Motion to keep the 50 ml's as
3 current.

MS. RICE: Second.

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MR. RANDLETT: Just for the record, I think -- I think that without a motion to take a positive action that you'd be good, but I think just for the purpose of the record here, I don't think it would hurt to have an affirmative motion for some action that you have a record, and I think that could be a motion to reject the proposed de-listing of 50 ml spirits. I think that would give a more positive statement of the motion, and that would be what I recommend that you make.

MS. SHEEHAN: Thank you.

MS. RICE: I move to reject the motion to de-list the 50 ml bottles.

MS. SHEEHAN: I'll second that.

CHAIRMAN McPHERSON: It's been moved and seconded.

Is there further discussion?

MR. DAVIS: Yes, one more. When this issue came up, I thought it was -- and I'm a business person, I've been in business all my life -- I thought it was kind of a business decision, but the more I thought about it and I started looking in the paper, I started watching the paper for all the OUIs, and the deaths contributed by

OUIs.

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The one that struck me was a pedestrian walking along the street that was run over and killed -- wasn't in a car -- they were just walking on the street and they were run over and killed by a person who was under the influence.

You have that number of deaths in the state and you have a chance to do something about it, and try to experiment to see if maybe it might have some kind of impact on that.

Search your hearts to do that. Thank you.

MS. FITZGERALD: A couple of things. I think the suggestion to, you know, try it for three years and then if it doesn't work, undo it, if we listen to the time period to implement this was January of '19, this is not something that turns on the dime.

So that saying, well, you know, we can change this in two or three years, I think that's a whole other massive retooling of something, and I guess I would be a little concerned that it would be more difficult and what we tried to achieve would not be achieved.

But the other thing -- and no disrespect to my fellow commissioners -- but as a history major, if you remember prohibition -- and there's nobody in this room that is old enough to do that, so forget it -- but the

point is that we couldn't legislate morality back then, 1 2 and I don't think we should be doing that now. 3 CHAIRMAN McPHERSON: Anything further? MR. DAVIS: Nothing. 4 5 MS. SHEEHAN: Nothing. 6 CHAIRMAN McPHERSON: We'll call for a vote. 7 those in favor of leaving it, in favor of the motion. 8 Opposed. Three to one, and the chair would concur with the 9 10 majority. 11 MR. RANDLETT: Okay, that concludes the 12 deliberations. The motion has been made. The proposal 13 to de-list the 50 ml bottle has been rejected by a 4 to 14 1 margin. I will put that decision in written form explaining 15 16 the rationale for it, and it will be provided to the 17 Bureau for posting on its website. 18 That concludes my issue. 19 CHAIRMAN McPHERSON: The only other thing is we've 20 got to confirm the next meeting date. 21 August meeting is Tuesday, August 8th, and the 2.2 September meeting will be September 12th. With that a 23 motion to adjourn is in order. 2.4 MS. SHEEHAN: I make a motion to adjourn. 25 MS. RICE: Second.

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CHAIRMAN McPHERSON: Seconded and we adjourn. All
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          those in favor?
               That's unanimous. Thank you for your patience.
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               (The deposition was concluded at 12:33 p.m.)
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CERTIFICATE

I, Lisa Fitzgerald, a Notary Public in and for the State of Maine, hereby certify that on July 11, 2017, a hearing was held by the Maine State Liquor and Lottery Commission, and that this hearing was stenographically reported by me and later reduced to typewritten form with the aid of computer-aided transcription; and the foregoing is a full and true record of the testimony given by the witness.

I further certify that I am a disinterested person in the event or outcome of the above-named cause of action.

IN WITNESS WHEREOF, I subscribe my hand and affix my seal this July 17, 2017.

Lisi Fitzguald

LISA FITZGERALD, NOTARY PUBLIC Court Reporter

My commission expires: May 10, 2018

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\$	15 [2] - 22:15, 39:5	35 [1] - 28:5	6	accordance [1] - 3:3
Φ	15-cent [1] - 21:16	37 [1] - 71:2	O	according [1] - 50:20
\$140 [1] - 35:2	160 [2] - 42:23, 43:9	375-milliliter [1] -	6 [1] - 11:15	account [1] - 35:21
\$150 _[1] - 10:17	16th [1] - 9:7	27:11	60 [1] - 18:25	accurate [1] - 44:12
\$166,000 [1] - 30:10	17 [2] - 9:1, 79:12	39 [2] - 6:9, 70:25	62.99 [1] - 34:25	achieve [3] - 61:12,
\$250 [1] - 44:24	,	39 [2] - 0.9, 70.23		• • • • • • • • • • • • • • • • • • • •
	179 [1] - 1:9	1	66 [1] - 50:15	68:14, 76:21
\$35 _[1] - 33:11	18 [2] - 14:17, 22:24	4		achieved [4] - 2:19,
\$700,000 [1] - 31:13	185 [1] - 17:16	4 _[6] - 4:1, 10:11, 36:8,	7	4:17, 8:2, 76:21
\$84,000 [1] - 31:15	1919 _[1] - 48:11	71:6, 71:7, 77:13	75 (4) 70.0	achieving [1] - 68:19
	1980 [1] - 48:3	4,000 [1] - 11:13	75 [1] - 72:2	acknowledges [1] -
•	1981 [1] - 32:23	,	750 [3] - 6:2, 33:19,	5:19
140 70.45	1st [2] - 21:8, 22:25	45th [1] - 47:25	50:11	acquainted [1] - 16:20
'19 [1] - 76:15		48 [1] - 50:15	750-milliliter [2] -	act [1] - 19:15
'93 [1] - 32:24	2	49 [1] - 71:3	12:17, 34:25	action [9] - 6:20,
		_	750-millimeter [1] -	26:14, 26:25, 57:1,
0	2-mile [1] - 14:18	5	22:16	61:11, 72:13, 75:6,
	200-milliliter [1] -	5 0.05 0.4.04		75:9, 79:10
04070 [1] - 1:24	31:22	5 [6] - 3:25, 34:21,	8	actions [1] - 54:16
05 [1] - 50:21	2000 [1] - 70:24	39:5, 44:19, 47:10,		active [1] - 16:21
	2006 [2] - 67:7, 67:8	50:19	8 [3] - 10:8, 10:12,	actively [1] - 61:3
1	2007 [1] - 67:8	5-cent [9] - 16:15,	11:15	actual [2] - 35:14,
	2012 [1] - 41:1	19:16, 24:16, 24:21,	8.4 [1] - 67:9	68:18
1 [7] - 12:2, 21:17,	2013 [2] - 41:3, 70:24	25:3, 36:16, 56:24,	8.5 [1] - 69:21	adapting [1] - 7:4
34:4, 43:3, 56:15,	2014 [5] - 67:14,	66:16, 68:24	80-proof [1] - 50:17	add [5] - 10:16, 11:22,
56:17, 77:14	67:15, 70:25, 71:1,	5.5 [1] - 36:4	800-pound [1] - 47:4	12:8, 51:25, 68:6
1,200 [1] - 70:13	71:3	50 [50] - 4:20, 4:25,	81 [1] - 2:11	added [1] - 56:17
1,400 [1] - 70:14	2015 [3] - 70:13,	5:14, 5:17, 5:20, 6:9,	8th [1] - 77:21	addiction [2] - 53:21,
1-minute [1] - 4:3	70:25, 71:2	6:14, 6:18, 6:24,		74:16
1.49 [1] - 37:1	2016 [3] - 10:8, 10:12,	7:11, 7:13, 9:20,	9	adding [2] - 56:13,
1.5 [1] - 36:9	35:19	19:2, 19:18, 25:19,		60:11
1.6 [1] - 36:5	2017 [7] - 1:13, 2:2,	25:21, 25:24, 27:10,	9.99 [2] - 27:11, 27:12	addition [5] - 27:3,
1.75 [1] - 6:2	3:4, 9:7, 67:7, 79:3,	31:24, 33:3, 34:2,	90,000 [1] - 30:8	29:19, 50:13, 56:6,
10 [3] - 35:24, 39:5,	79:12	34:4, 35:6, 35:15,	954 [1] - 1:24	56:14
79:25	2018 [4] - 2:10, 10:10,	35:24, 35:25, 44:21,	99-cent [1] - 36:25	additional [1] - 42:6
100 [5] - 27:21, 30:20,	10:13, 79:25	45:16, 47:19, 48:2,		address [6] - 14:14,
30:21, 35:15, 48:4	2019 [3] - 21:8, 22:25,	48:20, 48:25, 49:13,	Α	17:6, 19:25, 56:24,
100-pound [1] - 50:20	66:20	50:4, 50:6, 50:13,		58:5, 61:20
10:00 [1] - 1:14	207-883-0378 [1] -	50:18, 50:22, 50:24,	A-u-s-t-i-n [1] - 14:8	•
10:36 [1] - 2:2	1:25	51:1, 51:7, 51:11,	a.m [2] - 1:14, 2:2	addressed [2] - 10:21,
10s [1] - 33:16		52:4, 72:2, 74:19,	Aaron [1] - 23:11	24:11
11 [5] - 1:13, 2:2,	23.99 [1] - 34:24	75:2, 75:11, 75:16,	abandon [1] - 55:23	addressing [1] - 26:13
16:16, 16:20, 79:3	25 [1] - 72:2	77:13	ability [3] - 7:23,	adequately [1] - 42:8
10.16, 16.26, 79.3 11-year [1] - 67:6	26 [1] - 70:25	50-milliliter [9] - 9:16,	12:17, 23:25	adjourn [3] - 77:23,
12 [3] - 20:16, 47:5,	27 [1] - 70:25	23:14, 24:9, 24:11,	able [2] - 63:23, 64:5	77:24, 78:1
47:6	28 [1] - 12:18	24:16, 24:19, 58:1,	above-named [1] -	adjustments [2] -
47.6 12-month [1] - 35:23	28-A [1] - 2:11	61:9, 61:14	79:10	31:17, 31:19
		50-milliliter-sized [2] -	absent [1] - 9:18	administration [2] -
12-ounce [1] - 50:19	3	2:8, 29:8	absolutely [2] - 25:11,	27:24, 70:20
120 [1] - 56:12	0 m 47:40	50-millilitere [6] -	39:13	admission [1] - 25:15
124 [2] - 41:21, 42:5	3 [1] - 47:10	25:1, 25:11, 27:1,	abuse [1] - 19:14	admonishment [1] -
127th [1] - 26:20	3,500 [2] - 11:10,	27:3, 59:23, 60:2	abuse [1] - 19:14	37:14
12:04 [1] - 65:15	11:12	500 [1] - 27:10	• •	ads [2] - 12:9, 12:10
12:12 [1] - 65:16	3,700 [3] - 11:12, 39:4,	500,000 [1] - 67:9	accept [4] - 3:21, 39:7,	advantageous [2] -
12:33 [1] - 78:4	47:1	52 [2] - 71:2, 71:3	74:18, 74:22	5:6, 41:15
12s [1] - 33:16	3,700-plus [1] - 35:19	56 [15] - 6:21, 7:7,	acceptable [1] - 37:2	advertising [1] - 12:24
12th [2] - 2:10, 77:22	30 [4] - 30:16, 43:6,	20:15, 24:8, 24:14,	accepted [1] - 57:9	advise [1] - 63:12
13 [1] - 10:13	48:23, 56:13	24:22, 27:6, 60:7,	access [1] - 33:1	adviser [1] - 23:12
130 [2] - 12:1, 30:7	30-plus [1] - 42:7	60:12, 61:12, 67:19,	accomplished [1] -	advocating [1] - 48:4
139.99 [1] - 35:2	31 [1] - 12:3	68:24, 72:9, 72:18	14:18	afar [1] - 13:12
13th [1] - 3:4	32 [1] - 10:19	30.2., . 2.0, . 2.10	accomplishment [2] -	affect [2] - 68:3
14 [1] - 10:9	33 [1] - 33:1		43:18, 43:22	affected [1] - 21:1
				·

affects [1] - 31:16 affix [1] - 79:11 afford [1] - 72:2 affordability [1] -25:13 agencies [1] - 69:17 agency [4] - 28:2, 32:24, 49:13, 70:19 agents [1] - 36:9 ages [1] - 50:2 aggressive [1] - 6:5 ago [4] - 39:5, 43:14, 55:20 agree [1] - 41:7 agreed [1] - 56:24 **aid** [1] - 79:6 aided [2] - 7:23, 79:6 alcohol [14] - 19:14, 25:1, 25:8, 26:11, 26:22, 29:16, 35:10, 35:21, 40:16, 48:24, 49:2, 49:5, 68:18, 70:18 Alcohol [1] - 71:2 Alcoholic [2] - 4:5, 9:22 alcoholic [4] - 2:7, 19:7, 23:20, 58:8 Alert [1] - 71:2 alert [1] - 70:18 alleged [1] - 6:16 alleviate [1] - 24:22 allow [3] - 18:23, 27:19, 41:4 allowed [1] - 65:12 allowing [4] - 3:9, 16:1, 22:25, 72:9 allows [1] - 50:9 almost [4] - 47:6, 48:14, 70:14, 72:3 alone [1] - 72:4 alongside [1] - 24:20 alternate [1] - 9:20 alternatively [1] -36:24 altogether [2] - 9:21, 60:14 amend [1] - 72:10 American [1] - 55:25 amount [2] - 61:5, 69:22 analyze [2] - 7:3, 7:5 Andy [3] - 39:17, 40:4, anecdotal [1] - 50:24 anecdotally [1] -53:14 annual [3] - 11:16, 30:10, 36:5

answer [7] - 8:6, 13:5, 24:23, 24:25, 29:10, 49:22, 51:17 answered [1] - 49:23 anticipated [1] - 25:18 apologize [2] - 8:20, 59:10 appeal [1] - 52:17 appealing [1] - 26:16 applications [1] -54:22 apply [1] - 49:1 appointments [1] -38:1 appreciate [3] - 9:4. 28:18, 54:11 approach [4] - 9:20, 19:25, 60:18, 65:10 appropriate [3] - 26:5, 47:16, 65:1 appropriately [1] -14:22 approved [1] - 47:17 arbitrary [1] - 6:19 argue [1] - 25:3 argues [1] - 48:18 argument [1] - 31:25 arguments [3] - 23:18, 52:15, 55:14 arise [2] - 7:9, 19:13 Armory [1] - 1:8 arose [1] - 6:21 articles [1] - 42:12 articulate [2] - 64:3, 64:23 aspect [1] - 69:15 asserted [1] - 52:3 assertion [3] - 52:7, 52:10, 53:13 asset [1] - 54:18 assist [1] - 63:14 assistant [1] - 63:12 associated [2] - 24:9, 36:19 association [1] -59:15 assume [3] - 15:3, 63:25, 72:16 assuming [2] - 63:23,

64:5

assumption [1] - 46:3

attempt [1] - 62:13

attention [2] - 18:15,

attentively [1] - 22:22

attitude [1] - 21:20

attorney [3] - 4:2,

attract [2] - 11:20,

37:8, 63:12

59:1 attribute [1] - 53:18 attributed [1] - 52:20 attributing [1] - 67:11 Auburn [5] - 16:25, 57:22, 57:25, 58:19, 58:23 August [2] - 77:21 Augusta [1] - 1:10 Austin [2] - 13:8, 14:7 **AUSTIN** [7] - 13:9, 17:10, 17:12, 17:14, 18:6, 18:11, 18:13 automatically [1] -29:20 available [5] - 5:6, 6:14, 29:15, 35:11, 71:12 Avenue [1] - 1:9 average [3] - 41:22, 53:2, 67:13 aware [1] - 61:21

В

BABLO [16] - 9:5, 21:1, 36:10, 39:20, 43:15, 43:23, 48:14, 48:18, 48:21, 49:11, 49:21, 49:23, 51:1, 51:7, 51:9, 61:13 BABLO's [4] - 49:8, 50:7, 51:14, 59:23 **BAC**[1] - 50:21 background [1] -41:10 bad [1] - 52:14 bag [1] - 17:19 bagful [1] - 18:4 **bags**[1] - 15:5 balance [2] - 4:11, 41:18 ballot [1] - 47:18 ban [5] - 11:4, 19:18, 27:17, 58:1, 58:6 Bangor [1] - 37:9 banking [1] - 45:6 banning [4] - 29:10, 29:16, 30:2, 48:24 bars [3] - 32:25, 48:24, 50:6 based [5] - 21:9, 38:4, 48:12, 49:11, 60:18 basic [1] - 69:20 basis [1] - 11:16 beaches [1] - 60:10 beachfront [1] - 20:17 Beam [1] - 41:1 beans [1] - 42:4 blows [1] - 11:12 **Bearor** [3] - 37:6, bluff [2] - 9:14, 9:15

37:8, 51:23 **BEAROR** [1] - 37:7 Bearor's [1] - 51:24 beautiful [1] - 20:17 became [3] - 15:4, 16:19, 20:15 become [4] - 14:20, 23:24, 24:20, 54:22 becomes [1] - 4:25 beer [11] - 31:9, 46:9, 47:4, 47:5, 47:6, 47:7, 47:8, 49:20, 50:19, 54:5, 73:24 beers [1] - 35:9 beg [1] - 39:22 begging [1] - 52:22 behalf [6] - 23:13, 54:9, 55:15, 56:8, 57:15, 57:23 behavior [9] - 15:8, 19:9, 29:13, 29:20, 37:20, 49:2, 51:9, 74:13, 74:16 believes [3] - 25:6, 25:24, 26:5 benefits [2] - 7:15, 33:2 best [3] - 9:8, 22:9, 59:4 better [2] - 9:17, 11:20 between [5] - 4:11, 31:23, 35:15, 38:7, 38:16 beverage [1] - 58:8 beverages [1] - 2:7 **Beverages** [3] - 4:6, 9:22, 23:20 Biddeford [2] - 20:12, 20:17 big [2] - 14:11, 74:7 bigger [1] - 35:4 bill [10] - 9:8, 9:16, 9:19, 9:21, 13:20, 14:14, 16:20, 19:16, 20:24, 24:15 Bill [1] - 60:12 binder [1] - 67:5 biodegradable [1] -60:21 bit [4] - 14:4, 18:1, 40:8, 44:8 bizarre [1] - 46:21 black [1] - 11:24 blame [1] - 54:7 blatantly [1] - 14:20 blind [2] - 26:1, 28:17 blood [1] - 30:1 blow [1] - 30:17

board [3] - 40:22, 42:15, 65:25 Bob [1] - 29:2 **bolster** [1] - 6:6 book [1] - 69:9 booze [1] - 33:21 border [1] - 49:8 Boston [6] - 40:7, 40:10, 42:6, 57:24, 58:13, 58:18 Boston's [1] - 42:3 bottle [15] - 14:14, 14:25. 15:11. 15:16. 15:23. 16:20. 20:11. 22:16, 33:6, 34:18, 34:25, 50:11, 58:4, 66:17, 77:13 Bottle [1] - 60:12 bottled [1] - 30:12 bottles [28] - 5:16, 6:9, 9:11, 9:16, 14:15, 14:21, 14:22, 15:17, 16:5, 16:6, 19:2, 19:18, 25:4, 29:10, 29:15, 29:16, 29:17, 29:19, 29:21, 42:18, 46:20, 47:8, 49:16, 51:11, 58:1, 60:3, 67:9, 75:16 **bottling** [5] - 30:6, 36:6, 42:16, 55:22, 56:17 bought [2] - 73:24, 73:25 box [1] - 47:18 Box [1] - 1:24 boxes [1] - 16:12 Boyce [1] - 1:23 brand [1] - 6:16 Brands [3] - 42:6, 58:13, 58:18 brands [4] - 6:10, 40:7, 40:10, 57:24 Brandy [1] - 42:3 break [7] - 35:17, 36:1, 65:4, 65:8, 65:10, 65:15, 65:19 Breathalyzer [2] -29:24, 29:25 **brew** [2] - 54:5, 54:6 brief [1] - 44:6 briefly [1] - 51:25 bring [5] - 8:8, 20:13, 57:11, 71:7, 73:10 **bringing** [1] - 57:6 brings [1] - 42:5 **broad** [2] - 50:5, 59:2 brother [1] - 32:21

brought [6] - 20:11,

20:13, 36:14, 37:21,

59:25, 62:22 42:21 Chair [3] - 4:7, 54:11, citizen [1] - 54:10 79:25 brown [1] - 47:22 cameras [1] - 32:12 61:19 Commission [46] citizens [3] - 5:2, 1:6, 2:16, 2:20, 2:22, Brown [5] - 39:20, campaigns [1] - 68:16 Chairman [1] - 23:9 56:23, 60:9 40:1, 41:6, 47:23, candy [1] - 34:20 chairman [7] - 4:4, claim [1] - 35:7 3:8, 3:15, 3:17, 3:21, 56:1 canes [1] - 34:21 4:5, 20:9, 37:8, classic [1] - 11:19 5:9, 6:4, 7:17, 7:20, BROWN [3] - 47:23, cannot [2] - 42:8, 51:20, 64:10, 66:12 Clean [1] - 60:4 9:23, 19:11, 23:10, 47:24, 51:6 23:23, 25:13, 25:19, 42:17 CHAIRMAN [47] - 2:4, clean [1] - 27:19 27:14. 27:19. 43:7. **Bud** [3] - 10:25, 16:13, cans [5] - 14:15, 8:9, 8:13, 8:23, 13:7, clear [10] - 4:25, 9:6, 46:18, 51:13, 54:12, 73:24 15:17, 16:6, 47:7, 17:8, 17:13, 18:4, 24:18, 24:25, 38:5, 55:6, 61:20, 61:23, budget [1] - 10:11 73:24 18:9, 18:12, 18:18, 41:20, 52:11, 52:24, 63:9, 63:13, 63:17, 20:7, 23:7, 28:10, building [1] - 41:9 capacity [1] - 51:22 63:23, 64:8, 64:11, 28:25, 29:2, 31:1, built [1] - 6:1 capital [1] - 43:3 clearly [5] - 3:5, 13:18, 64:15, 65:11, 65:12, bureau [1] - 3:3 32:17, 37:4, 37:6, car [2] - 25:2, 76:4 15:20, 33:10, 71:4 65:18, 65:22, 65:25, 40:4, 43:11, 47:22, Bureau [14] - 2:7, clever [1] - 15:25 care [6] - 9:12, 12:5, 66:3, 66:4, 66:5, 51:4, 51:19, 55:4, 2:21, 2:25, 4:5, 4:8, 15:12, 62:8, 62:9, clink [1] - 15:18 68:15, 71:12, 79:4 57:20, 59:7, 61:17, close [3] - 3:15, 16:7, 5:19, 6:5, 7:15, 9:22, 70:16 Commission's [5] -61:24, 62:3, 62:14, 23:20, 27:22, 57:25, career [3] - 40:15, 55:22 2:12, 3:23, 4:10, 62:16, 62:22, 63:4, 71:12, 77:17 40:18, 48:4 closer [2] - 15:21, 7:25, 64:1 66:13, 66:24, 67:2, Bureau's [11] - 2:23, careful [2] - 3:19, 24:5 40:21 72:19, 74:5, 74:21, commissioner [2] -3:17, 4:10, 5:10, 6:1, carry [1] - 15:17 **closing** [1] - 7:15 75:1, 75:18, 77:3, 13:11, 43:15 6:17, 23:14, 52:4, carrying [2] - 10:23, closure [1] - 41:5 77:6, 77:19, 78:1 commissioner's [1] -52:25, 59:22, 74:22 58:19 cloud [1] - 57:6 **chairman's** [1] - 37:14 72:14 business [69] - 4:10, Casco [1] - 14:9 **co**[1] - 51:23 chairs [1] - 21:18 commissioners [4] -4:15, 4:16, 4:19, case [2] - 50:19, 58:12 co-counsel [1] - 51:23 **chamber** [2] - 55:8, 43:12, 54:22, 71:22, 4:22, 5:22, 5:24, 6:9, cases [1] - 34:15 codes [1] - 5:6 76:23 57:23 6:10, 7:5, 8:1, 8:4, cash [3] - 45:6, 46:14, **COE** [1] - 41:6 Commissioners [1] -Chamber [2] - 28:21 9:13, 10:5, 10:6, 73:20 coffee [3] - 42:3, 42:4, chambers [1] - 13:22 51:21 10:8, 11:23, 13:4, cast [1] - 54:14 46:9 commit [1] - 33:6 chance [4] - 34:16, 14:6, 16:17, 16:21, catching [1] - 49:18 cold [1] - 67:16 commitment [3] -65:4, 67:19, 76:8 19:5, 20:5, 21:2, categories [2] - 6:11, collaboration [1] -54:24, 56:4, 58:22 22:10, 23:24, 23:25, change [9] - 8:4, committed [2] - 27:22, 21:14, 21:20, 29:13, 24:2, 27:23, 28:8, categorized [1] collected [1] - 20:12 28:22, 30:21, 31:12, 50:25, 72:10, 73:3, 28:8 15:22 collectors [1] - 15:11 committee [9] - 9:7, 31:16, 31:18, 32:23, 73:9, 76:17 category [2] - 6:16, combed [1] - 60:10 13:21, 16:17, 20:10, 36:4, 36:7, 36:12, **changed** [1] - 66:16 combining [1] - 16:20 46:1 36:17, 43:1, 43:20, 20:23, 21:19, 21:23, changeover [1] -Cathleen [2] - 32:17, coming [1] - 72:23 44:14, 45:2, 45:7, 22:11, 23:2 66:20 32:20 commenced [1] - 2:1 45:16, 45:19, 45:20, committee's [1] - 6:22 changes [4] - 21:4, caused [2] - 47:12, commend [1] - 20:21 46:2, 46:6, 47:5, 22:14, 22:22, 35:8 commonly [1] - 29:9 74:4 comment [6] - 3:21, 49:8, 54:18, 55:1, changing [2] - 29:14, commonsense [1] caution [1] - 71:14 59:8, 63:5, 63:8, 55:22, 55:23, 56:11, 5:10 56:7 celebrating [1] - 56:20 63:19, 72:20 56:15, 57:2, 57:15, communication [1] characterization [1] center [1] - 15:18 commentaries [1] -58:14, 58:16, 65:13, 9:9 50:7 centers [1] - 17:25 38:12 68:2, 73:18, 75:21, characterize [2] community [4] -CEO [3] - 47:24, 56:1, commented [2] -75:22, 75:23 20:17, 21:2, 57:12, 27:16, 27:18 57:22 46:25, 55:18 businesses [5] - 20:3, 58:21 cheap [2] - 73:19, 74:2 certain [1] - 46:21 comments [16] - 2:22, 55:15, 57:4, 62:10, **companies** [1] - 9:13 cheaper [3] - 12:6, certainly [7] - 5:23, 3:1, 3:6, 3:10, 3:12, 68:3 22:16, 34:3 company [16] - 13:3, 7:6, 10:3, 58:2, 3:18, 5:10, 6:16, buy [11] - 12:5, 12:11, check [2] - 35:14, 15:14, 16:24, 30:3, 71:14, 71:25, 73:9 51:25, 52:2, 52:3, 33:15, 33:17, 33:19, 30:15, 30:18, 37:11, 49:17 CERTIFICATE [1] -52:8, 52:9, 61:18, 33:20, 34:4, 34:7, 39:19, 39:21, 39:23, chemical [1] - 40:16 79:1 66:24 34:18, 35:3, 74:10 41:4, 44:1, 44:16, chief [2] - 17:3, 53:17 certify [2] - 79:3, 79:9 Commerce [3] - 28:21, buying [1] - 27:9 55:25, 56:23, 58:25 childlike [1] - 32:11 57:23 Chadbourne [2] buys [1] - 33:8 Company [5] - 37:9, choice [4] - 15:20, 23:7, 23:11 commerce [2] - 16:19, byways [1] - 14:16 43:25, 47:25, 51:24, 29:13, 30:22, 68:4 CHADBOURNE [2] -55:8 55:25 **choose** [1] - 47:15 23:8, 28:13 commercials [1] -C company's [1] - 56:5 Chris [1] - 53:16 chair [11] - 3:7, 3:13, 12:12 compared [1] - 46:15 circumstances [1] **commission** [8] - 3:9, 8:12, 20:21, 20:22, calculations [1] - 11:9 compelling [4] -36:14 28:13, 53:14, 55:5, 9:5, 26:14, 26:24, callousness [1] -37:17, 55:13, 68:23 cities [1] - 16:25 63:20, 66:8, 73:9, 77:9

compete [1] - 57:12 complete [1] - 41:19 completed [1] - 47:25 compliance [1] -36:20 composting [1] -60:20 compounding [2] -45:5, 45:6 compulsive [1] - 15:4 compute [1] - 53:25 **computer** [1] - 79:6 computer-aided [1] -79:6 conceal [2] - 26:3, 27:5 concealability [1] -5:5 concealable [1] - 5:8 concealed [1] - 35:18 concealment [2] -26:17, 46:7 concern [4] - 17:4, 19:13, 27:2, 57:4 concerned [5] - 6:8, 19:20, 26:9, 26:21, 76:20 concerning [1] - 6:21 concerns [7] - 6:23, 7:7, 7:22, 10:20, 14:14, 19:4, 26:13 conclude [2] - 7:13, 47:14 concluded [1] - 78:4 concludes [3] - 61:17, 77:11, 77:18 concluding [1] - 46:17 conclusion [4] - 5:11, 47:11, 52:4, 52:12 conclusions [1] - 59:4 **concur** [1] - 77:9 **conduct** [1] - 4:18 conducted [1] - 3:20 confirm [1] - 77:20 confirmation [1] -54:23 confronted [1] - 42:12 confuses [1] - 74:11 connect [1] - 39:10 connection [3] -38:16, 40:10, 42:2 connects [2] - 38:24, 39:12 Connors [2] - 55:4, 55:7 **CONNORS** [1] - 55:5 Conrad [2] - 57:20, 57:21 CONRAD [1] - 57:21

conscious [1] - 29:13

consensus [2] - 60:2, contrary [2] - 6:19, 64:6 consequence [2] contrast [1] - 50:16 6:25, 37:22 contribute [1] - 54:24 consider [5] - 2:6, 3:17, 16:1, 42:10, 42.25 consideration [11] -2:17, 3:19, 22:18, 26:24, 27:6, 35:7, 35:9, 45:9, 57:19, 61:16, 64:22 considered [1] - 19:17 consistency [2] -57:3, 70:18 consistent [3] - 26:14, 27:1, 46:14 constant [1] - 15:14 constantly [2] - 7:2, 7:5 constituents [1] -20:12 consume [1] - 33:25 consumed [1] - 15:2 consumer [7] - 6:14, 33:8, 34:1, 35:12, 50:5, 50:9, 68:4 Consumers [1] -46:22 consumers [16] -5:18, 33:5, 33:14, 34:2. 34:10. 34:14. 34:16, 35:25, 46:12, 46:19, 46:22, 47:14, 49:3, 51:2, 51:8 consuming [4] - 5:12, 5:15, 25:1, 50:22 consumption [6] -33:15, 35:21, 38:16, 39:12, 50:10, 50:12 contact [1] - 38:14 container [6] - 7:11, 12:17, 25:7, 26:18, 29:12, 33:17 containers [19] - 5:17, 10:22, 24:10, 24:11, 24:16, 24:19, 24:24, 25:1, 25:11, 27:1, 27:4, 30:23, 33:20, 49:2, 52:4, 60:11, 60:14, 61:7, 74:19 containing [1] - 19:7 contains [1] - 29:21 content [1] - 35:10 continue [3] - 32:2, 41:5, 63:6 continued [1] - 28:23 continues [3] - 23:24,

30:17, 43:20

contract [1] - 43:17

contributed [1] -75:25 contributes [1] -19:12 contributing [1] - 52:5 contributor [1] - 60:3 control [5] - 13:24, 19:15, 33:13, 50:5, convenience [4] -5:12, 12:20, 73:17, 74:7 convenient [2] - 33:7, 46:13 conversation [1] -24:8 convictions [8] -35:19, 38:8, 38:17, 38:25, 39:13, 67:7, 67:18, 68:10 convincing [1] - 55:13 Coors [1] - 16:12 corporate [1] - 56:23 correct [1] - 19:25 correlate [2] - 11:16, 62:13 correlated [2] - 11:7, 11:13 correlation [4] - 38:7, 48:21, 68:11, 70:9 correlations [2] -12:8, 22:5 corresponding [1] -34:19 cost [3] - 11:21, 34:3, 41:15 costs [8] - 9:12, 12:15, 35:2, 36:6, 36:18, 36:23, 37:3, 41:11 counsel [2] - 51:23 counter [1] - 5:1 countries [1] - 48:2 County [4] - 9:2, 71:25, 72:6 couple [4] - 64:9, 65:20, 71:22, 76:12 coupled [1] - 4:21 course [2] - 25:24, 61:10 Court [1] - 79:18 court [3] - 53:3, 53:6 courtesy [2] - 28:11, 28:14 cover [1] - 12:15

covers [1] - 48:14

crack [1] - 49:17

38:6

craft [3] - 54:5, 54:6 crashes [8] - 69:13, 69:18, 70:10, 70:11, 73:2. 73:13 create [2] - 17:24, 43:16 created [1] - 60:4 creates [2] - 5:6, 42:13 creative [1] - 18:2 credits [1] - 56:3 crime [1] - 25:9 criminal [3] - 19:8, 21:22. 23:2 critical [3] - 69:7, 69:11 cross [1] - 49:8 cross-border [1] -49:8 crucial [1] - 71:8 cry [1] - 22:2 culprit [2] - 31:14 culprits [1] - 32:3 **cumulative** [1] - 47:12 current [4] - 44:23, 55:21, 75:3 cuts [1] - 9:12 cutting [1] - 41:11 cycle [1] - 21:10

D

dad [1] - 52:17 daily [1] - 42:12 **Dana** [2] - 55:4, 55:7 dangerous [3] - 46:3, 48:16, 58:7 Daniel [1] - 18:20 dare [1] - 32:8 data [7] - 5:9, 11:18, 49:24, 53:11, 67:6, 69:9, 71:23 date [2] - 21:7, 77:20 dated [1] - 3:4 dates [1] - 48:3 **DAVIS** [9] - 65:4, 69:6, 71:14, 71:20, 72:20, 74:17, 74:24, 75:20, 77:4 dawned [1] - 15:11 days [2] - 17:20, 48:23 de [49] - 2:10, 2:15, 2:22, 3:16, 6:8, 6:18, 7:10, 9:15, 9:23, 9:25, 10:3, 16:2, 19:2, 19:21, 23:14, 25:21, 26:13, 27:13, 29:8, 30:13, 30:14, 33:3, 35:6, 36:2, 36:15, 37:17, 45:16, 47:19, 55:10, 55:14,

64:12, 64:18, 64:19, 64:24, 64:25, 65:25, 66:6, 72:7, 72:22, 72:23, 73:6, 74:19, 75:11, 75:15, 77:13 de-list [18] - 9:15. 9:23, 9:25, 10:3, 16:2, 23:14, 36:15, 45:16, 59:23, 61:14, 64:18, 64:19, 65:25, 72:22, 72:23, 73:6, 75:15, 77:13 de-listed [8] - 2:10, 2:15, 30:13, 30:14, 55:14, 64:12, 64:24, 64:25 de-listing [23] - 2:22, 3:16, 6:8, 6:18, 7:10, 19:2, 19:21, 25:21, 26:13, 27:13, 29:8, 33:3, 35:6, 36:2, 37:17, 47:19, 55:10, 61:8, 66:6, 72:7, 73:6, 74:19, 75:11 deal [4] - 14:17, 34:3, 34:7, 54:1 dealing [1] - 48:23 death [1] - 69:14 deaths [3] - 74:3, 75:25, 76:7 debate [4] - 24:17, 54:5, 59:4, 68:18 debated [1] - 72:11 debris [1] - 67:22 debt [1] - 28:1 decide [2] - 10:2, 71:9 decided [3] - 9:5, 9:8, 25:19 deciding [2] - 42:17, 69:8 decimated [1] - 63:3 decision [32] - 3:19, 3:23, 6:17, 6:19, 7:24, 8:3, 18:17, 20:6, 27:14, 28:6, 38:4, 39:6, 58:10, 59:5, 63:6, 63:10, 63:18, 63:24, 64:2, 64:3, 64:5, 64:11, 64:18, 65:1, 65:24, 68:2, 69:7, 69:15, 75:23, 77:15 decisions [2] - 27:20, 60:25 decline [1] - 51:14 decrease [1] - 53:8 decreases [1] - 49:1

dedicated [2] - 41:7,

41:21

59:23, 61:8, 61:14,

dedication [1] - 56:4 deep [1] - 58:20 definitive [2] - 29:23, 58:3 degree [1] - 46:1 delayed [1] - 21:8 deliberate [4] - 3:16, 55:2, 63:10, 65:8 deliberation [3] -18:16, 55:3, 65:18 deliberations [6] -3:20, 63:13, 64:4, 64:8, 66:12, 77:12 delivery [1] - 61:14 **Delle** [1] - 34:23 demand [2] - 15:23, 51:9 denying [1] - 47:14 departed [1] - 43:23 department [2] - 29:6, 52:19 deposit [14] - 9:9, 11:2, 12:15, 12:22, 16:2, 19:16, 21:16, 24:16, 24:21, 25:3, 36:16, 36:19, 56:24, 66:16 deposition [1] - 78:4 describe [2] - 39:8, 42:8 design [1] - 14:13 designed [1] - 26:15 desirable [1] - 46:20 despite [2] - 38:6, 42:25 detective [1] - 29:5 deter [1] - 29:17 detergents [1] - 46:10 determination [2] -64:21, 64:23 determinations [1] -2:16 **determine** [3] - 2:13, 29:24, 64:11 **determined** [1] - 41:8 development [1] -16:18 diagram [1] - 11:7 dialogue [1] - 7:18 dictates [1] - 61:8 die [1] - 15:11 die-hard [1] - 15:11 died [1] - 71:7 dies [2] - 74:23, 74:25 difference [3] - 31:23, 35:14, 35:16 different [5] - 10:1, 40:17, 70:17, 73:8, 73:9 difficult [4] - 21:25,

39:6, 54:14, 76:20 dilemma [1] - 4:23 diligence [1] - 7:5 dime [1] - 76:16 dinners [1] - 46:10 direct [4] - 9:22, 38:7, 58:13, 58:15 directly [2] - 11:13, 12:9 Director [2] - 23:18, 24:4 director [7] - 4:5, 4:8, 23:19, 39:20, 43:15, 43:19, 59:13 disagree [4] - 19:25, 45:18, 52:6, 52:11 disagreement [1] -20:1 disagreements [1] -20:4 disallow [1] - 26:25 discard [1] - 25:9 discarded [1] - 61:7 discarding [3] - 5:16, 24:19, 25:18 discipline [1] - 7:2 discontinuance [1] -59:21 discontinue [1] - 9:20 discontinued [1] -2:14 discount [1] - 32:21 discourse [1] - 6:21 discrepancy [1] - 27:8 discretion [1] - 3:8 discretionary [2] -64:15. 64:20 discriminatory [2] -48:12, 51:14 discuss [1] - 63:10 discussion [8] -53:24, 58:2, 65:23, 66:2, 67:1, 67:2, 74:12, 75:19 discussions [2] -6:22, 7:7 disinterested [1] -79:9 dispute [1] - 53:12 disputed [1] - 52:3 disputing [1] - 52:10 disrespect [1] - 76:22 distinguished [1] -23:9 distribution [2] -43:17, 58:8 district [2] - 9:1, 62:8 District [2] - 18:25, 20:16

ditches [1] - 14:24

dog [1] - 17:15 dollar [2] - 30:9, 31:5 dollars [1] - 10:12 done [4] - 21:9, 44:18, 45:6, 68:16 door[1] - 55:16 down [13] - 11:23, 15:15, 16:8, 20:16, 31:17, 41:5, 42:23, 44:19, 45:23, 45:25, 49:17, 73:3, 74:8 draft [1] - 71:15 dramatically [4] -34:13, 39:3, 46:12, dreadful [1] - 44:10 drink [7] - 12:24, 26:18, 29:11, 29:17, 30:22, 32:3, 50:18 drinking [17] - 6:24, 7:12, 12:12, 17:4, 25:18, 25:22, 25:25, 26:6, 26:16, 29:11, 30:20, 35:17, 48:19, 49:19, 49:20, 52:5, 70:4 drinking/driving [1] -31:8 **drinks** [1] - 46:9 drinks' [1] - 6:10 drive [7] - 12:16, 12:25. 29:12. 29:18. 30:22. 32:3. 51:9 driven [1] - 74:2 driver [2] - 29:24, 29:25 drivers [3] - 38:21, 38:22, 49:17 drives [1] - 12:24 driving [24] - 6:25, 7:12, 12:13, 17:4, 19:4, 19:12, 19:14, 21:24, 22:6, 25:8, 25:18, 25:22, 26:1, 26:6, 29:11, 29:22, 30:20, 35:17, 38:20, 48:19, 52:6, 53:22, 58:5, 67:17 **drop** [2] - 70:6, 73:12 dropped [1] - 39:3 drug [2] - 26:11, 69:3 drugged [2] - 38:20, 49:17 drunk [8] - 19:4, 19:12, 19:14, 21:24, 38:20, 38:22, 51:9, 58:5 due [6] - 13:21, 19:6,

29:12, 40:18, 43:4,

49:15

dump [1] - 15:6 **dumping** [1] - 60:6 during [10] - 6:16, 7:7, 7:19, 8:7, 15:19, 24:13, 24:17, 45:21, 63:13, 64:3

Ε early [1] - 50:2 easier [3] - 22:23, 25:25, 26:2 easily [2] - 7:12, 35:18 easy [2] - 5:17, 27:5 echo [1] - 71:21 economic [7] - 4:12, 16:18, 43:2, 44:9, 49:6, 57:14, 58:15 economically [1] -59:17 economy [4] - 56:21, 57:11, 58:19, 58:23 **Ed** [2] - 37:6, 37:8 editorial [1] - 42:15 educate [1] - 17:16 education [3] - 50:2, 67:25, 69:2 effect [2] - 22:24, 47:12 effective [1] - 2:10 effects [2] - 7:9, 7:11 efficiencies [1] - 4:14 efficiently [1] - 40:13 eight [2] - 16:17, 47:1 either [2] - 48:13, 49:4 **elaborate** [1] - 45:11 elected [1] - 48:7 **electorate** [1] - 47:20 eliminate [5] - 25:22, 25:23, 34:15, 36:18, 36:22 elsewhere [2] - 6:3, 34:10 emerging [1] - 54:6 **emotion** [1] - 44:8 emphasis [1] - 16:10 emphasize [1] - 20:19 employ [1] - 33:1 employee [1] - 56:14 employees [14] - 12:3, 30:3, 33:1, 36:13, 39:23, 40:9, 41:21, 41:25, 42:6, 54:19, 56:2, 56:4, 62:9, 62:10 employment [3] -57:8, 58:14, 72:1 employs [3] - 11:25,

30:7, 56:12

emptied [1] - 15:3

empties [1] - 25:5 empty [6] - 5:16, 9:11, 10:23, 15:13, 16:13, 24:19 en [1] - 15:9 enabling [1] - 28:1 encountered [1] -41:7 encourage [5] - 14:15, 26:16, 60:5, 61:3, 73:21 encouraged [1] -28:20 end [4] - 4:1, 44:21, 59:8, 63:4 endearing [1] - 15:6 ended [1] - 63:8 ending [2] - 24:24, 27:4 enforce [1] - 38:15 enforcement [12] -12:20, 26:17, 27:6, 32:4, 32:6, 38:9, 38:12, 48:7, 50:2, 50:24, 63:2, 67:25 enjoy [1] - 15:8 ensure [2] - 24:2, 59:2 ensuring [2] - 4:16, 8.1 entertain [1] - 8:11 enthusiasts [1] - 60:5 entire [2] - 43:8, 72:13 environment [3] -20:23, 56:7, 58:16 environmental [2] -14:14, 70:1 environmentally [1] -59:18 epidemic [1] - 69:3 equal [1] - 29:20 equally [2] - 45:15, 62:21 **equation** [2] - 45:14, 46:5 **escape** [1] - 70:5 **especially** [2] - 5:5, 5:8 establish [1] - 61:1 established [1] - 60:2 establishes [2] -60:19, 60:24 evaluate [1] - 36:25 event [1] - 79:10 eventually [1] - 16:14 everywhere [2] -35:12, 35:13 evidence [16] - 4:24, 25:9, 37:16, 38:4,

39:9, 46:2, 48:18,

48:21, 49:11, 49:24,

50:24, 52:24, 58:3, 62:20, 64:22 exactly [1] - 58:25 examined [1] - 24:11 example [4] - 11:19, 33:10, 53:3, 57:5 examples [1] - 70:23 exceed [1] - 31:10 exceeded [1] - 28:3 excuse [2] - 43:15, executive [3] - 17:3, 17:4, 59:12 exercise [2] - 3:8, 16:4 expand [3] - 41:24, 56:18, 59:2 expanding [1] - 58:20 expansion [3] - 27:21, 30:9, 30:18 expect [1] - 50:21 expectation [1] -25:16 experience [3] -17:18, 33:4, 54:13 experiencing [2] -51:2, 51:8 experiment [3] - 73:5, 76:9 expires [1] - 79:25 explain [1] - 64:2 **explaining** [1] - 77:15 explains [1] - 2:21 exploded [1] - 25:15 explosive [1] - 34:6 exponentially [1] -69:20 extended [1] - 3:11 extent [2] - 16:5, 71:17 extra [3] - 33:21, 40:2, 69:1 extremely [2] - 5:8, 30:14 eye [2] - 26:1, 28:17 evesore [1] - 15:15

F

face [2] - 11:4, 56:25 Facebook [1] - 60:8 faces [1] - 30:4 facilitated [1] - 51:10 facilitates [1] - 7:12 facility [6] - 30:6, 41:3, 55:24, 56:2, 56:18, 58:20 facing [1] - 57:4 fact [11] - 5:15, 7:11, 11:10, 42:25, 46:20, 49:11, 49:18, 50:7,

52:9, 55:20, 72:17 fact-based [1] - 49:11 factor [3] - 6:24, 41:17, 42:18 facts [3] - 51:12, 69:19, 69:20 failed [1] - 9:19 fair [6] - 18:17, 53:8, 53:9, 53:18, 54:3, 54:7 faith [1] - 32:5 fall [1] - 40:23 fallacy [1] - 52:22 false [1] - 51:11 family [4] - 40:17, 40:20, 40:24, 55:25 family-owned [1] -55:25 far [4] - 15:20, 25:8, 25:15, 64:14 fatalities [8] - 69:19, 70:15, 70:19, 70:21, 70:22, 71:4, 73:2, 73:13 fatality [1] - 69:16 fault [1] - 62:21 faulty [1] - 62:19 favor [4] - 66:6, 77:7, 78:2 favorite [2] - 34:23, 73:24 fear [1] - 71:25 federal [2] - 38:19, 49:16 feedback [1] - 21:9 fees [1] - 36:6 feet [1] - 30:8 fellow [1] - 76:23 felt [2] - 40:22, 72:12 few [2] - 17:12, 43:14 fewer [1] - 36:12 fidget [1] - 22:7 field [1] - 57:12 figure [2] - 35:20, 45:12 figures [1] - 44:6 figuring [1] - 71:17 fill [1] - 34:12 final [4] - 3:23, 42:5, 63:24, 64:5 finally [4] - 12:14, 27:13, 46:17, 54:9 financial [1] - 7:9 fine [2] - 9:24, 65:9 finish [1] - 28:12 fire [2] - 15:9, 44:14 fireball [1] - 30:11

Fireball [3] - 15:20,

18:8, 50:22

48:20, 61:8, 64:9 FITZGERALD [4] -66:21, 71:21, 76:12, 79:17 Fitzgerald [1] - 79:2 five [7] - 31:4, 41:22, 53:4, 55:20, 65:8, 65:14, 66:4 five-minute [1] - 65:8 flashback [1] - 52:14 flavored [1] - 42:3 focus [2] - 22:8, 37:24 folks [3] - 13:15, 15:2, 45:5 folks' [1] - 14:24 **follow** [1] - 59:4 followed [1] - 3:1 following [1] - 60:19 follows [1] - 41:16 food [1] - 40:16 foods [1] - 46:9 forbearance [1] -18:22 forecast [1] - 44:12 foregoing [1] - 79:7 foreign [1] - 55:21 forest's [1] - 10:20 forge [1] - 23:25 forget [2] - 47:9, 76:25 forgive [1] - 44:9 forgiveness [1] -18:22 form [3] - 26:22, 77:15, 79:6 former [1] - 39:19 forth [1] - 46:7 fortunate [1] - 48:1 **forum** [2] - 60:8, 66:5 forward [3] - 20:13, 28:23, 50:12 foundation [1] - 6:2 four [5] - 53:4, 55:12, 55:20, 73:24, 73:25 fourth [1] - 10:7 Franklin [1] - 9:2 frankly [2] - 31:19, 46:8 free [4] - 32:15, 50:11, 62:25, 63:1 free-pouring [1] -50:11 frequent [1] - 5:12 fresh [1] - 42:10 friendly [1] - 59:18 friends [1] - 68:15 front [3] - 32:12,

37:25, 66:9

first [8] - 20:10, 21:7,

24:25, 25:14, 40:9,

Frye [1] - 14:10 full [7] - 30:16, 33:6, 43:5, 56:12, 56:13, 67:5. 79:7 full-time [4] - 30:16, 43:5, 56:12, 56:13 **function** [1] - 64:15 fund [4] - 10:11, 10:15, 54:20, 55:1 fundamental [1] -24:23 funding [1] - 38:19 funds [1] - 69:1 future [7] - 5:22. 10:16, 27:15, 28:9, 43:9, 44:13, 57:7

G

gallon [1] - 34:5

gathering [1] - 15:4

Gavine [1] - 34:23

gee [1] - 13:15 Gees [1] - 12:10 general [6] - 10:11, 10:15, 40:12, 54:20, 55:1, 63:12 **gentlemen** [1] - 13:10 genuine [1] - 4:23 George [1] - 53:16 Gerry [4] - 39:18, 43:11, 43:13 gift [2] - 34:22, 34:23 gin [1] - 33:24 given [5] - 4:2, 66:15, 67:6, 67:19, 79:8 glad [1] - 13:5 glassware [1] - 41:14 goal [1] - 4:18 goals [1] - 61:12 Golden [2] - 18:21, 18:25 gong [1] - 23:5 gorilla [1] - 47:4 government [2] - 20:4, 38:19 governor [7] - 19:17, 23:12, 23:13, 28:12, 31:7, 32:7, 73:8 Governor [9] - 9:10, 19:24, 24:4, 25:6, 25:24, 26:5, 26:9, 27:22, 60:13 governor's [2] - 19:22, 24:15 grab [1] - 74:10 graceful [1] - 31:20 graduate [1] - 11:17 Grand [1] - 33:10 grant [1] - 49:16

Gray [1] - 14:7 gray [3] - 14:9, 14:19, 15:6 great [9] - 28:1, 34:7, 35:1, 43:21, 54:19, 54:25, 68:16, 73:19 greater [1] - 25:9 Greeley [1] - 53:16 Greg [2] - 4:7, 23:24 Grohman [3] - 20:8, 20:16, 27:9 GROHMAN [1] - 20:9 gross [6] - 31:13, 31:15, 36:5, 36:8, 36:9, 44:24 group [1] - 33:13 grow [6] - 28:8, 30:17, 32:3, 36:17, 37:1, 56:18 growing [3] - 44:22, 46:11, 60:1 grown [1] - 47:10 growth [16] - 2:18, 4:15, 4:16, 4:20, 5:22, 6:1, 7:25, 8:1, 24:2, 28:23, 44:15, 44:18, 44:21, 45:22, 50:16, 58:14 guess [3] - 8:15, 72:3, 76:19 guessing [1] - 49:14 guests [1] - 33:23 guidance [2] - 60:15, 63:15 guiding [2] - 4:11, 60:25 **guilty** [2] - 53:5, 53:7

gratified [1] - 43:19

Н

guys [1] - 65:6

Hale/Rick [1] - 53:16 half [7] - 5:5, 30:11, 34:5, 35:15, 39:24, 45:3, 48:15 hammer [1] - 68:1 Hampshire [2] -46:23, 57:13 hand [3] - 19:13, 21:13, 79:11 handed [1] - 27:8 handle [1] - 9:8 handled [1] - 32:4 handling [2] - 24:5, 36:6 hands [3] - 13:21, 15:19, 17:18 hands-on [2] - 15:19, 17:18

handwritten [1] -71:15 **HANDY** [4] - 62:4, 62:15, 62:17, 62:24 Handy [1] - 62:7 **Hannaford** [1] - 74:8 **happy** [4] - 8:6, 51:17, 55:11, 65:5 hard [3] - 15:11, 51:12, 56:4 hardened [1] - 14:4 harder [1] - 26:3 harm [2] - 49:6, 72:8 heading [1] - 52:10 healthy [1] - 59:4 hear [7] - 8:25, 10:25, 38:5, 43:19, 53:15, 59:10, 66:10 heard [14] - 21:2, 21:24, 22:2, 28:4, 37:17, 38:11, 39:2, 41:10, 46:4, 46:6, 55:24, 56:3, 64:22, 74:14 hearing [7] - 2:1, 13:12, 20:25, 65:15, 65:16, 79:3, 79:5 hearings [1] - 59:25 hearted [1] - 16:23 hearts [1] - 76:11 heavily [1] - 14:19 heavy [1] - 16:23 heavy-hearted [1] -16:23 held [1] - 79:4 help [3] - 19:15, 59:1, 67:21 Hence [1] - 16:1 Herald [1] - 42:15 hereby [1] - 79:3 Hi [1] - 31:2 hierarchy [4] - 59:20, 60:15, 60:16, 61:11 high [3] - 44:3, 47:3, 67:16 higher [4] - 34:16, 35:10, 47:2, 47:3 highest [1] - 67:7 highlight [2] - 21:6, 42:1 Highway [1] - 71:1 highway [1] - 70:19 highways [1] - 14:16 history [3] - 14:12, 41:1, 76:23 hold [2] - 30:19, 43:4 Holden [1] - 53:17 holiday [1] - 34:20 holidays [1] - 34:18 home [4] - 13:15,

15:9, 17:20, 33:25 hometown [1] - 14:8 hope [2] - 8:24, 40:19 hoping [1] - 14:14 Horton [3] - 59:7, 59:9, 59:12 hospital [1] - 28:1 host [2] - 19:8, 69:22 house [3] - 18:25, 20:16, 33:21 huge [1] - 34:21 hunt [1] - 15:16 hurt [2] - 68:3, 75:8 hurting [1] - 20:3

idea [3] - 31:11, 41:2, 62:25 ideal [1] - 43:1 Ignite [1] - 15:24 illegal [5] - 26:17, 29:11, 29:20, 52:5, 60:6 illuminated [2] - 24:8, 28:17 impact [13] - 6:9, 22:12, 30:3, 43:3, 44:9, 57:7, 57:10, 57:14, 58:13, 58:15, 72:7, 73:6, 76:10 impacted [3] - 28:6, 44:10, 62:10 impaired [1] - 53:22 **impairment** [1] - 54:1 impending [1] - 36:16 implement [2] - 22:24, 76:15 implementation [1] -21:7 implications [3] -26:21, 54:19, 58:7 implore [1] - 22:11 important [10] - 21:13, 39:22, 42:24, 45:15, 48:9, 54:17, 58:18, 68:22, 69:8, 71:5 importantly [3] -53:20, 54:17, 59:1 impose [2] - 9:19, 20:25 imposed [1] - 24:21 **improve** [1] - 43:16 impulse [2] - 73:21, 74:2 influenced [1] - 67:17 impulse-driven [1] **information** [15] - 3:7, 74:2 IN [1] - 79:11

incentive [2] - 28:3,

46:19

inception [1] - 14:13 incidents [1] - 25:23 incineration [1] include [2] - 10:13, included [1] - 71:13 includes [2] - 7:17, including [5] - 22:7, 27:7, 27:21, 41:25, income [1] - 58:11 inconsistent [1] - 7:13 increase [17] - 11:14, 11:15, 11:20, 12:9, 12:14, 22:6, 35:9, 39:1, 43:2, 47:13, 49:12, 49:13, 49:15, 52:20, 67:11, 67:12, increased [7] - 9:12, 9:19, 26:10, 28:3, 48:18, 70:12, 74:6 increases [1] - 54:8 increasing [4] - 51:1, 51:7, 59:3, 60:3 increasingly [1] - 4:25 incremental [1] - 46:1 independent [3] -36:11, 38:2, 47:17 indicated [1] - 30:15 indicates [3] - 5:14, 44:15, 45:8 indicates) [1] - 18:8 **individual** [1] - 71:17 individuals [3] - 25:6, 29:15, 39:16 individuals' [1] indulge [1] - 40:1 indulgence [1] - 39:22 industries [3] - 40:16, 58:9, 58:24 industry [13] - 6:3, 6:12, 10:18, 24:1, 32:2, 47:9, 48:1, 48:5, 48:6, 50:8, 54:6, 56:8, 66:22 inflicting [1] - 49:6 influence [6] - 22:7, 23:3, 25:23, 29:23, 35:20, 76:6

incentives [1] - 25:8

60:22

34:17

10:24

60:22

71:4

30:23

6:20, 7:23, 21:12,

62:16, 62:18, 63:6,

65:21, 67:5, 68:7,

69:10, 69:11, 69:12,

injury [1] - 69:14 inordinately [1] - 52:5 input [1] - 3:22 insights [1] - 6:21 insignificant [1] - 45:4 inspection [1] - 15:21 instance [2] - 26:19, 29:21 instead [3] - 55:23, 56:20, 56:22 instituted [1] - 67:20 integral [1] - 5:23 integrated [1] - 60:18 integrity [1] - 44:3 intent [1] - 2:17 intention [1] - 41:4 intentional [1] - 21:9 interactive [1] - 7:16 interest [3] - 4:14, 8:5, 23:17 interesting [1] - 41:1 interests [1] - 4:12 introduce [1] - 37:11 inundated [1] - 60:8 investment [6] - 12:2, 31:6, 43:4, 43:5, 56:17, 57:7 investments [1] -28:18 involved [1] - 36:3 involvement [1] - 48:3 Island [1] - 14:10 issue [18] - 4:9, 7:1, 13:3, 17:17, 19:13, 20:13, 22:9, 24:5, 25:17, 28:16, 31:8, 42:8, 56:24, 59:5, 64:6, 71:5, 75:20, 77:18 issued [1] - 3:24 issues [8] - 7:22, 21:24, 23:3, 24:9, 24:10, 58:5, 63:10, 65:23 item [5] - 10:7, 11:1, 21:17, 22:18, 73:22 items [10] - 2:13, 2:14, 10:20, 30:13, 31:22, 64:12, 64:24, 71:11,

73:19

itself [3] - 39:12,

40:25, 68:12

69:14, 69:18

initial [1] - 36:22

74:4

injuries [2] - 73:2,

informed [1] - 7:24

J

January [5] - 2:10, 21:8, 22:25, 40:22, 76:15 Jared [1] - 18:24 jaunt [2] - 14:18, 15:15 jest [1] - 15:24 Jim [1] - 62:6 job [5] - 37:11, 38:2, 40:12, 49:7, 59:3 jobs [12] - 17:1, 19:23, 19:24, 30:14, 36:12, 42:16, 42:20, 56:18, 68:3, 68:22, 72:2 join [1] - 62:24 joined [1] - 28:20 **Josh** [1] - 51:19 Joshua [1] - 51:21 journey [1] - 14:2 judgment [1] - 23:25 judicial [1] - 53:15 juice [1] - 16:12 July [4] - 1:13, 2:2, 79:3, 79:12 **June** [1] - 3:4 justice [2] - 21:22, 23:2

K

keep[4] - 3:14, 50:10, 60:4, 75:2 keeping [2] - 15:14, 68:17 Kennebec [1] - 9:2 Keurigs [1] - 50:6 killed [2] - 76:3, 76:5 kind [10] - 17:15, 20:24, 21:20, 22:19, 52:13, 68:2, 73:6, 74:24, 75:22, 76:9 kinds [5] - 18:6, 21:18, 21:24, 22:5, 73:18 knead [1] - 11:18 known [4] - 19:3, 23:24, 29:9, 31:7 knows [4] - 6:4, 31:18, 32:13, 45:6

L

label [1] - 23:1 labeling [1] - 36:21 labels [3] - 21:11, 21:12, 66:16 labor[1] - 16:19 lack [2] - 30:23, 57:3 lacking [1] - 39:9

ladies [1] - 13:10 lake [1] - 14:11 landfills [2] - 60:22, 60:23 lands [1] - 60:7 large [3] - 40:13, 46:1, 49:15 large-sized [1] - 49:15 larger [9] - 5:7, 26:3, 29:16, 45:17, 45:21, 45:22, 46:15, 46:19, 50:17 largest [3] - 10:7, 10:20, 58:23 last [16] - 3:12, 14:17, 14:19, 16:10, 17:19, 20:14, 24:13, 31:13, 33:17, 39:1, 39:3, 43:14, 46:5, 51:5, 51:6, 63:22 lastly [2] - 47:9, 60:23 laundry [1] - 46:10 law [17] - 5:18, 12:19, 19:15, 26:17, 26:23, 27:5, 32:4, 32:5, 35:17, 36:1, 36:19, 38:9, 38:12, 38:14, 48:7, 50:24, 64:14 lawful [1] - 25:10 lawns [1] - 14:24 laws [1] - 25:7 **LD** [15] - 6:21, 7:7, 20:15, 24:8, 24:14, 24:22, 27:6, 60:7, 60:12, 61:12, 67:19, 68:24, 72:9, 72:18 lead [4] - 19:7, 36:2, 48:17, 48:19 leadership [2] - 23:20, 23:22 leads [1] - 5:10 learned [3] - 13:14, 48:8, 48:9 learnings [1] - 7:4 least [2] - 40:1, 71:22 leave [1] - 8:17 leaving [1] - 77:7 led [4] - 20:1, 25:13, 44:15, 45:19 left [2] - 4:1, 33:21 legal [2] - 47:15, 63:15 legislate [1] - 77:1 legislation [10] - 10:4, 13:16, 13:20, 20:14, 20:20, 21:4, 21:5, 21:15, 21:21, 22:23 legislative [3] - 6:22. 26:8, 59:25 Legislator [1] - 13:14 legislators [2] - 32:8,

37:23 Legislators [1] - 9:10 Legislature [14] -4:13, 17:16, 19:14, 19:17, 19:24, 24:14, 24:18, 26:9, 26:20, 53:24, 59:20, 67:21, 72:11, 72:15 Legislature's [1] -2:17 Leighton [1] - 1:23 length [1] - 3:11 LePage [11] - 9:14, 23:12, 23:13, 24:4, 25:6, 25:24, 26:5, 26:9, 27:22, 27:24, 60:13 less [9] - 36:12, 42:19, 43:1, 49:9, 50:16, 50:18, 50:21, 68:19, 70:13 lessons [2] - 48:8, 48:9 level [2] - 6:13, 68:18 levels [3] - 53:25, 58:14, 67:16 Lewiston [24] - 16:25, 19:1, 29:5, 29:6, 30:3, 30:7, 30:9, 30:12, 39:18, 40:7, 40:14, 41:8, 41:13, 43:9, 55:21, 56:11, 56:18, 57:11, 57:22, 57:25, 58:12, 58:19, 58:23, 74:15 Lewiston-Auburn [1] - 57:25 Lewiston/Auburn [1] -31:4 **Libby** [1] - 13:7 license [1] - 32:25 life [2] - 13:22, 75:22 lifestyle [1] - 46:13 Light [3] - 10:25, 16:13, 73:24 light [3] - 6:23, 57:14, 59:25 likely [3] - 6:8, 6:15, 25:12 limit [3] - 28:10, 33:14, 34:13 limited [2] - 3:25, 47:13 limiting [1] - 35:5 lines [4] - 28:5, 28:24, 41:22, 41:23 linked [1] - 58:24 Liquor [4] - 1:6, 9:23, 23:10, 79:4

liquor [21] - 7:17,

35:13, 36:4, 54:18, 55:6, 55:22, 56:8, 63:1, 63:2 **Lisa**[1] - 79:2 LISA [1] - 79:17 list [25] - 8:13, 8:18, 9:15, 9:23, 9:25, 10:3, 16:2, 23:14, 25:13, 25:19, 35:1, 36:15, 39:24, 45:16, 59:23, 60:24, 61:14, 64:18, 64:19, 65:25, 72:22, 72:23, 73:6, 75:15, 77:13 listed [13] - 2:9, 2:10, 2:13, 2:15, 25:16, 27:7, 30:13, 30:14, 55:14, 64:12, 64:24, 64:25 listen [3] - 52:24, 63:21, 76:14 listened [2] - 22:22, 67:4 listening [1] - 32:18 listing [25] - 2:22, 3:16, 6:8, 6:18, 7:10, 19:2, 19:21, 25:21, 26:13, 26:23, 27:13, 29:8, 31:22, 33:3, 35:6, 36:2, 37:17, 47:19, 55:10, 61:8, 66:6, 72:7, 73:6, 74:19, 75:11 literally [2] - 22:2, 60:8 litre [1] - 6:2 litter [10] - 5:14, 6:23, 14:16, 19:15, 24:22, 56:25, 58:5, 60:1, 60:6, 61:12 littering [2] - 9:11, 11:5 littler [1] - 37:23 live [1] - 32:13 lived [1] - 40:17 livelihood [1] - 42:13 livelihoods [1] - 19:20 lively [1] - 13:22 local [4] - 28:20, 30:21, 51:22, 57:10 locally [2] - 28:19, 42:3 location [2] - 41:15, 43:1 locations [1] - 40:18 logic [2] - 48:25, 61:8 logical [2] - 41:12,

10:4, 10:11, 10:15,

10:23, 11:8, 16:13,

16:14, 19:2, 19:5,

28:2, 31:3, 31:10,

52:22 logically [1] - 48:17 logistics [1] - 41:11 lonely [1] - 16:11 long-range [1] - 58:7 long-term [1] - 28:22 look [21] - 4:24, 6:25, 11:20, 21:22, 28:22, 34:10, 44:11, 44:12, 44:25, 46:8, 52:25, 53:1, 53:9, 60:14, 67:24, 68:13, 69:19, 69:24, 70:17, 71:1, 71:2 looked [4] - 26:18, 67:5, 67:6, 67:13 looking [5] - 43:2, 68:4, 68:7, 74:21, 75:24 lose [5] - 14:5, 19:24, 36:8, 36:9, 72:2 losing [2] - 16:24, 72:1 loss [1] - 36:3 **Lottery** [6] - 1:6, 7:17, 9:22, 23:10, 55:6, 79:4 lottery [2] - 2:8, 23:21 loved [1] - 71:8 **low** [2] - 5:5, 41:15 low-cost [1] - 41:15 lower [2] - 39:5, 50:15 lowest [1] - 67:8 luck [1] - 55:3 luxury [1] - 35:1

М

M-u-s-c-h-i-n-s-k-i [1] - 40:6 macabre [1] - 74:24 Macdonald [2] - 29:2, 29:4 MACDONALD [2] -29:3, 29:4 magnitude [1] - 58:10 main [1] - 30:13 Maine [73] - 1:6, 1:8, 1:10, 1:24, 2:9, 2:11, 5:3, 6:3, 10:24, 12:1, 13:3, 16:3, 16:22, 18:25, 20:5, 23:10, 23:16, 24:2, 24:21, 25:20, 26:7, 26:20, 27:23, 30:11, 31:4, 34:9, 34:11, 35:19, 36:4, 37:2, 40:7, 40:10, 40:11, 40:19, 41:13, 41:20, 42:2, 42:6, 42:18, 43:3,

43:9, 44:3, 44:10, 44:23, 47:16, 48:3, 48:15, 49:3, 50:13, 51:2, 51:8, 54:17, 54:20, 55:7, 56:6, 57:16, 58:1, 58:5, 58:9, 58:12, 59:14, 59:20, 60:1, 60:4, 61:2, 64:13, 68:9, 68:23, 69:3, 72:1, 79:3, 79:4 Maine's [9] - 24:24, 25:7, 27:4, 56:8, 58:23, 60:10, 60:15, 61:7, 61:11 Mainers [3] - 42:17, 56:12, 68:20 maintain [1] - 5:21 major [3] - 54:19, 57:1, 76:23 majority [3] - 35:25, 36:10, 77:10 manage [3] - 4:18, 4:21, 5:24 manageable [1] - 7:10 management [3] -23:22, 60:17, 61:1 manager [3] - 39:18, 40:7, 55:24 mandate [2] - 4:13, 4:21 manner [7] - 2:19, 4:17, 4:22, 5:25, 8:2, 54:5, 68:14 manufacturers [2] -21:10, 28:19 manufacturing [2] -40:15, 58:24 margin [2] - 73:19, 77:14 marijuana [2] - 47:18, 53:23 Mark [5] - 39:20, 47:22, 47:23, 56:1, 63:11 mark [1] - 41:6 MARK [1] - 47:24 market [7] - 4:20, 6:7, 16:3, 24:12, 58:25, 62:25, 63:1 marketers [1] - 5:2 marketing [7] - 6:6, 7:14, 7:19, 15:25, 34:14, 56:8 marketplace [1] - 35:8 markets [1] - 28:3 Martin [1] - 20:16

mason [1] - 13:8

76:19

massive [2] - 45:4,

master [1] - 32:19 material [2] - 12:23, 71:10 materials [1] - 41:14 matter [3] - 35:14, 39:23, 64:20 maximize [2] - 4:14, 7:25 Mayan [1] - 33:10 Maynard [1] - 42:2 mayor [2] - 29:4, 74:14 McDonald's [1] - 11:4 McPherson [49] - 2:4, 8:9, 8:13, 8:23, 13:7, 17:8, 17:13, 18:4, 18:9, 18:12, 18:18, 20:7, 23:7, 23:9, 28:10, 28:25, 29:2, 31:1, 32:17, 37:4, 37:6, 40:4, 43:11, 47:22, 51:4, 51:19, 55:4, 57:20, 59:7, 61:17, 61:19, 61:24, 62:3, 62:14, 62:16, 62:22, 63:4, 66:13, 66:24, 67:2, 72:19, 74:5, 74:21, 75:1, 75:18, 77:3, 77:6, 77:19, 78:1 mean [11] - 13:19, 17:21, 18:5, 29:22, 34:11, 65:5, 70:4, 71:5, 72:14, 73:17, 74:16 means [4] - 31:12, 36:12, 43:21, 70:3 measured [2] - 45:19, 50:12 measures [1] - 61:3 media [1] - 27:16 medical [2] - 33:1, 53:23 medicinal [1] - 47:18 meeting [10] - 2:4, 2:6, 2:25, 3:4, 3:15, 63:8, 65:7, 77:20, 77:21, 77:22 meetings [1] - 7:20 member [6] - 3:10, 21:22, 23:1, 57:24, 58:19, 65:25 members [22] - 2:23, 3:2, 3:9, 20:10, 21:2, 23:9, 24:18, 54:11, 55:5, 60:11, 63:7, 63:9, 63:13, 63:17, 63:20, 64:8, 65:10, 65:11, 65:12, 65:22, 66:4, 66:8

members' [1] - 64:11 memories [1] - 42:11 mentioned [2] - 24:13, 27.9 message [3] - 9:18, 9:24, 26:8 methadone [1] - 53:22 method [1] - 61:15 Metropolitan [1] -57:23 microphone [1] - 66:9 microscope [2] -54:16, 54:18 microwaved [1] -46:10 might [10] - 13:6, 15:23, 33:15, 33:16, 35:3, 44:25, 47:12, 53:5, 66:2, 76:9 migrate [2] - 6:15, 46:2 milliliters [3] - 27:10, 27:21 millimeter [3] - 9:21, 19:2, 19:18 million [21] - 10:8, 10:9, 10:12, 10:17, 12:2, 30:9, 31:5, 35:24, 36:4, 36:5, 36:8, 36:9, 43:3, 44:24, 56:17, 67:9, 69:21. 71:6. 71:7 million-dollar [1] -30:9 mind [2] - 32:11, 74:6 minds [1] - 42:23 MINEO [2] - 4:7, 8:11 Mineo [6] - 4:7, 11:3, 23:18, 23:19, 24:4, 52:19 Mineo's [4] - 9:4, 43:19, 52:1, 52:12 miniature [1] - 25:3 minimum [1] - 37:19 minor [1] - 7:11 minute [3] - 4:1, 51:4, 65:8 minutes [4] - 3:25, 4:1, 40:2, 65:14 miss [1] - 15:18 mission [5] - 5:24, 7:25, 68:13, 68:16 mistake [1] - 16:11 mix [1] - 6:11 ml [35] - 4:20, 5:1, 5:17, 5:20, 6:2, 6:9, 6:14, 6:18, 6:24,

7:11, 7:13, 25:21,

33:19, 34:2, 34:4,

25:25, 31:24, 33:3,

51:11, 52:4, 74:19, 75:11, 75:16, 77:13 ml's [13] - 5:15, 25:19, 35:6. 35:24. 44:21. 45:16, 50:4, 50:6, 50:13, 50:24, 51:7, 75:2 model [2] - 5:22, 8:4 moderation [1] -50:14 modernized [1] -56:12 modified [1] - 74:14 mom [1] - 52:16 moment [2] - 37:13, 37:24 money [3] - 34:8, 49:16, 69:1 monitored [1] - 45:19 monthly [1] - 7:20 months [3] - 16:10, 22:25, 24:14 morality [1] - 77:1 moreover [1] - 34:13 morning [11] - 13:10, 13:11, 18:19, 18:21, 28:4, 29:3, 37:7, 40:5, 47:23, 51:20 most [9] - 11:1, 42:24, 46:3. 48:9. 50:8. 59:1, 69:8, 71:5, 73:24 mother [1] - 52:18 motion [15] - 65:24, 74:17, 74:18, 74:23, 75:1, 75:2, 75:6, 75:9, 75:10, 75:12, 75:15, 77:7, 77:12, 77:23, 77:24 motor [2] - 5:9, 26:12 motorists [2] - 24:25, 25:4 move [5] - 16:16, 40:20, 41:2, 50:4, 75:15 moved [2] - 74:22, 75:18 movement [1] - 70:13 moving [4] - 5:13, 5:15, 12:3, 70:3 MR [29] - 4:7, 8:11, 23:8, 28:13, 29:3, 31:2, 37:7, 40:5, 43:12, 47:23, 51:6, 51:20, 55:5, 63:7, 65:4, 65:5, 65:17, 69:6, 71:10, 71:14,

35:15, 35:25, 47:19,

48:20, 48:25, 49:13,

50:18, 50:22, 51:1,

71:16, 71:20, 72:20, 74:17, 74:24, 75:5, 75:20, 77:4, 77:11 MRRA [2] - 60:4, 61:13 MS [27] - 17:11, 23:6. 29:1, 32:16, 32:18, 37:5, 47:21, 57:21, 59:11, 66:18, 66:19, 66:21, 66:22, 67:1, 67:4, 68:6, 71:21, 74:12, 75:2, 75:4, 75:14, 75:15, 75:17, 76:12, 77:5, 77:24, 77:25 mud [1] - 70:16 multiplied [1] - 56:15 multitudes [1] - 61:6 Muschinski [3] -39:17, 40:4, 40:6 MUSCHINSKI [1] -40:5 must [4] - 2:16, 4:11, 7:12, 45:23 mystery [1] - 46:15

Ν

name [16] - 3:5, 3:6, 18:20, 18:24, 23:11, 29:3, 31:2, 32:20, 37:8, 40:5, 43:12, 47:23, 55:7, 59:11, 62:6, 63:11 named [1] - 79:10 National [1] - 71:1 national [2] - 58:25, 70:19 nationally [1] - 44:2 natural [2] - 20:23, 21:10 nearby [1] - 41:14 nearly [1] - 35:24 neatly [1] - 15:22 necessary [1] - 21:12 necklace [1] - 18:1 need [10] - 17:2, 17:6, 17:17, 18:2, 31:19, 33:9, 33:11, 33:22, 63:6, 74:19 needed [1] - 60:14 needs [4] - 29:14, 34:12, 45:9, 74:13 negative [1] - 57:10 negatives [1] - 41:18 neighbor[1] - 57:13 neighboring [1] - 49:5 net [2] - 10:10, 38:22 never [4] - 10:19, 16:5, 45:1, 73:4

New [2] - 46:23, 57:13 Newport [1] - 51:22 news [3] - 42:12, 50:7, 56:19 next [6] - 4:2, 10:17, 11:12, 11:13, 22:1, 77:20 NHTSA [1] - 50:20 niche [1] - 58:24 nickel [1] - 11:2 night [1] - 15:24 **nip** [25] - 9:11, 9:16, 11:11, 11:14, 12:11, 15:9, 29:10, 29:15, 29:16, 29:19, 29:21, 31:11, 31:13, 33:4, 33:7, 36:25, 38:24, 47:7, 52:12, 52:20, 54:3, 67:12, 68:12, 68:19, 74:10 nips [46] - 9:21, 10:8, 10:14, 11:16, 12:5, 12:15, 12:25, 14:23, 15:2, 15:13, 15:22, 16:2, 16:6, 16:11, 17:6, 19:3, 19:16, 20:12, 22:15, 29:9, 30:2, 30:11, 33:4, 33:16, 34:9, 34:13, 34:19, 36:2, 36:15, 38:7, 38:16, 39:13, 42:16, 52:13, 53:19, 56:16, 59:22, 60:9, 66:15, 69:20, 72:5, 73:16, 73:25, 74:6 nips' [6] - 10:16, 11:7, 11:8, 60:2, 67:8, 67:9 nobody [3] - 52:3, 53:7, 76:24 none [3] - 38:8, 38:10, 48:22 nonevidence [1] -48:12 nonevidence-based [1] - 48:12 nonlegislator [1] -9:17 **nonprofit** [1] - 59:15 **nonspirits** [1] - 34:12 normally [1] - 35:3 Notary [1] - 79:2 NOTARY [1] - 79:17 **notes** [1] - 71:15 nothing [7] - 31:24, 38:23, 39:8, 39:12,

39:13, 77:4, 77:5

notice [5] - 3:4, 3:23,

4:3, 46:24, 53:15

new [2] - 6:20, 31:4

notified [1] - 61:25 nowhere [2] - 62:1, 62:4 nugget [1] - 13:13 number [9] - 14:21, 42:17, 45:3, 45:4, 45:8, 47:2, 47:3, 76:7 numbers [3] - 41:25, 70:17, 73:3 numerous [1] - 57:25

0

objectively [1] - 4:24 oblige [1] - 61:23 obvious [1] - 14:20 obviously [4] - 9:3, 38:21, 70:1, 73:15 occupying [1] - 30:8 odd [1] - 22:17 offer [1] - 33:23 offered [1] - 17:1 offering [1] - 19:1 office [2] - 17:3, 28:11 officer's [1] - 17:4 official [1] - 71:18 officials [1] - 48:7 offset [1] - 37:2 often [2] - 13:14, 19:8 old [1] - 76:25 once [4] - 13:20, 15:3, 41:17, 57:11 one [26] - 4:1, 10:23, 12:8, 13:13, 18:9, 26:8, 28:6, 30:13, 31:4, 32:7, 34:18, 38:5, 40:2, 42:25, 46:18, 50:19, 58:23, 62:8, 68:8, 71:8, 72:14, 72:20, 72:21, 75:20, 76:2, 77:9 one's [1] - 9:15 ongoing [2] - 36:6, 48:10 open [4] - 11:23, 25:7, 55:16, 62:25 opening [2] - 64:10, 66:12 openly [1] - 7:22 operate [1] - 72:9 operating [4] - 23:3, 25:23, 26:11, 35:20 operation [1] - 42:7 operations [3] - 2:8, 30:18. 40:14 Operations [2] - 9:23, 23:21 operator [1] - 29:22 opioid [1] - 53:21

opioids [1] - 53:20 opportunities [2] -34:14, 59:3 opportunity [8] - 4:8, 8:3, 21:11, 23:19, 36:17, 43:10, 63:3, 72.21 opposed [3] - 30:20, 33:3, 77:8 **opposite** [1] - 50:6 opposition [4] - 19:1, 29:7, 55:9, 58:15 optimistic [1] - 45:1 optimum [1] - 61:10 oral [4] - 3:1, 3:18, 3:24 order [5] - 2:5, 14:15, 60:20, 62:6, 77:23 orderly [2] - 3:14, 4:19 organization [4] -11:25, 44:2, 44:4, 59:16 originally [1] - 43:24 others' [1] - 16:8 ought [1] - 24:10 OUI [26] - 38:8, 38:16, 38:18, 38:25, 39:13, 46:24, 47:13, 48:10, 49:1, 49:10, 49:12, 50:1. 50:25. 52:24. 53:5. 53:7. 54:7. 62:13, 67:6, 67:7, 67:18, 68:7, 68:10, 68:11, 73:1 OUIs [21] - 11:8, 11:10, 11:12, 12:9, 35:6, 47:1, 52:13, 52:21, 53:8, 53:12, 53:18, 67:11, 67:13, 69:12, 69:13, 70:6, 70:7, 73:13, 75:25,

76:1
ounce [1] - 34:3
ourselves [1] - 35:5
outcome [1] - 79:10
outdoor [1] - 60:5
outlay [1] - 46:14
outside [2] - 12:20,
34:11
overall [1] - 5:22

override [1] - 19:21 overrode [2] - 19:19, 24:15 oversight [1] - 6:4 overstay [1] - 28:14

own [6] - 6:12, 13:23, 31:3, 32:21, 65:13, 73:18

owned [1] - 55:25 **owner** [3] - 22:10,

55:21, 55:24 **Oxford** [1] - 31:5

Ρ

p.m [3] - 65:15, 65:16, 78:4 pack [2] - 34:23, 46:11 package [4] - 34:4, 34:5, 35:3, 50:9 packaged [1] - 25:10 packages [2] - 35:18, packaging [4] - 26:3, 26:15, 27:20, 61:6 packed [1] - 39:9 packs [1] - 35:10 page [3] - 51:5, 51:6, 60:8 paper [4] - 10:18, 71:15, 75:24, 75:25 paramount [1] - 57:4 pardon [1] - 62:3 parents [1] - 52:15 part [10] - 2:6, 16:24, 19:1, 30:16, 37:21, 42:24, 43:6, 46:5, 50:4, 56:14 part-time [3] - 30:16, 43:6, 56:14 PARTICIPANT [3] -59:9, 61:19, 62:1 particular [8] - 14:20, 20:21, 22:15, 33:10, 37:18, 53:4, 58:4, 58:18 particular-sized [1] -58:4 particularly [3] -26:11, 28:7, 44:2 parties [1] - 55:18 partner [1] - 28:22 partnering [1] - 24:1 partners [2] - 24:1, partnership [1] - 48:6 parts [1] - 9:2 pass [3] - 9:24, 66:7, 66:14 passed [3] - 19:15, 24:14, 26:23 passes [2] - 9:16, 9:21 passionate [2] -21:23, 32:15 past [4] - 26:14, 27:1, 34:20, 54:13 pat [1] - 68:6 path [5] - 4:19, 6:7, 7:14, 40:18, 41:5

patience [1] - 78:3

pause [1] - 55:2 pay [1] - 30:10 paying [1] - 42:16 pedestrian [1] - 76:2 penalties [1] - 9:19 pent [2] - 51:3, 51:8 pent-up [2] - 51:3, 51:8 people [25] - 11:24, 12:1, 12:16, 12:24, 13:1, 18:2, 22:1, 29:11, 30:7, 33:5, 33:20, 34:22, 35:7, 39:25, 44:6, 53:5, 61:20, 66:6, 66:10, 68:9, 71:6, 73:14, 73:15, 73:21 peoples' [1] - 42:23 per [2] - 33:12, 34:3 percent [7] - 10:14, 11:15, 11:16, 30:20, 30:21, 47:10, 50:19 percentage [1] - 44:20 perform [3] - 7:3, 43:25, 49:22 performance [1] -43:20 perhaps [2] - 22:13, 36:19 period [8] - 35:23, 53:10, 56:9, 66:15. 67:1. 67:3. 67:6. 76:15 permanent [3] - 12:3, 27:13, 72:24 permission [2] - 3:13, 8:14 person [3] - 75:21, 76:5, 79:9 personal [2] - 16:8, 38:14 personally [2] - 21:25, 31:12 personnel [3] - 38:9, 38:12, 38:15 perspective [4] -71:24, 72:14, 72:16, 72:18 perspectives [1] -72:15 persuaded [2] - 72:3, 72:8 **PH**[1] - 31:2 phenomena [1] -39:10 phenomenon[1] -45:5 physical [2] - 29:19,

47:5

pick [3] - 36:6, 60:6,

69:25 pick-up [1] - 36:6 picked [5] - 12:23, 15:1, 16:14, 17:19, 17:23 pickers [1] - 15:16 picture [1] - 17:18 pictures [2] - 17:17, 60:9 piece [4] - 13:16, 13:20, 42:23, 62:19 piling [1] - 61:7 Pine [1] - 68:15 pint [1] - 35:15 pints [3] - 16:13 placate [1] - 12:4 place [10] - 7:2, 7:19, 10:1, 24:15, 24:25, 25:14, 40:23, 59:12, 61:8, 72:1 plain [1] - 20:2 plainly [1] - 38:5 plan [1] - 43:4 plank [1] - 4:10 planned [1] - 57:7 plans [3] - 12:1, 41:24, 56:16 plant [11] - 22:18, 39:18, 40:6, 40:9, 40:25, 41:2, 41:13, 42:11, 42:25, 62:7 plants [1] - 40:15 plastic [2] - 15:5, 41:14 play [1] - 54:13 playing [1] - 57:12 plead [1] - 53:5 pleading [1] - 53:7 pleased [1] - 23:1 pleasure [1] - 55:8 **plus** [1] - 17:16 PO [1] - 1:24 point [23] - 13:24, 17:22, 18:10, 27:14, 36:14, 38:24, 42:5, 46:17, 55:19, 62:18, 62:19, 63:9, 63:19, 63:22, 64:7, 64:9, 65:7, 65:11, 65:23, 66:11, 67:10, 77:1 pointed [1] - 64:10 points [3] - 44:5, 44:20, 49:18 poised [1] - 30:8 police [5] - 29:5, 29:6, 53:16, 70:21, 70:24 policy [9] - 20:1, 23:12, 46:20, 47:16, 53:24, 54:4, 57:4, 60:16, 61:2

political [2] - 20:3, 38:1 politicians [1] - 37:21 **pony** [1] - 17:15 pool [1] - 56:14 poorly [1] - 39:9 popular [3] - 11:1, 15:21, 18:8 population [1] - 59:3 portability [1] - 5:4 portable [1] - 5:8 portion [5] - 2:1, 33:13, 50:5, 57:1, portions [1] - 14:9 Portland [1] - 32:22 position [3] - 40:10, 40:19, 69:5 positions [3] - 30:16, 42:7, 43:5 positive [7] - 14:1, 14:3, 14:6, 16:24, 43:2, 75:6, 75:12 positively [1] - 44:14 positives [1] - 41:18 possession [1] - 26:4 possibility [3] - 14:5, 56:13, 67:17 possible [3] - 36:19, 56:25, 61:21 possibly [1] - 69:2 posted [2] - 61:24, 63:25 posting [1] - 77:17 potential [2] - 5:23, 56:1 pouring [1] - 50:11 powdered [1] - 26:22 practice [2] - 7:6, 27:2 **practices** [1] - 59:19 precedent [2] - 48:16, 58:7 precipitous [1] - 37:20 precise [1] - 50:10 predictability [1] -57:3 predominantly [1] -5:11 preference [1] - 12:6 premature [1] - 58:6 premise [2] - 49:19, 49:20 prepared [1] - 55:22 preprint [1] - 21:11 presence [1] - 29:19 present [3] - 39:16, 39:25, 55:9 presentation [5] - 3:1, 3:25, 8:15, 39:24,

40:2 presentations [1] presented [7] - 23:18, 39:7. 39:14. 48:22. 49:24, 55:17, 58:3 presently [1] - 41:21 presents [2] - 4:22, 49.11 president [4] - 39:20, 47:24, 55:7, 57:22 Press [1] - 42:15 presume [1] - 64:5 pretty [2] - 17:8, 21:17 prevent [1] - 9:11 previous [2] - 47:2, 55:12 previously [1] - 37:15 price [7] - 5:4, 5:5, 6:5, 12:14, 27:11, 35:1, 37:1 priced [1] - 34:16 prices [1] - 34:2 pricing [3] - 27:7, 36:25, 67:24 primarily [1] - 37:11 principle [2] - 4:11, 60:25 priorities [1] - 60:19 priority [1] - 60:17 private [2] - 43:23, 60.7 privilege [1] - 14:10 probable [1] - 56:10 problem [15] - 8:21, 12:22, 20:1, 22:8, 24:20, 24:22, 28:17, 37:23. 48:11. 49:10. 60:1, 65:19, 69:3, 70:1, 74:16 problematic [1] - 19:8 problems [1] - 55:17 proceedings [2] -6:17, 8:7 process [9] - 7:21, 20:21, 22:21, 54:23, 63:15, 63:20, 63:21, 65:2, 72:8 processing [1] - 60:21 produce [1] - 42:1 produced [1] - 30:13 producer [1] - 58:12 product [18] - 25:12, 25:14, 25:15, 28:5, 28:24, 33:6, 33:10, 34:6, 34:17, 35:1, 35:2, 35:4, 35:8, 37:18, 47:15, 51:15, 55:10, 74:2 production [3] - 41:2,

41:12, 58:8 productions [1] -41:22 productive [1] - 41:7 productively [1] -40.13 products [23] - 2:9, 4:21, 9:24, 19:6, 19:7, 19:11, 23:15, 25:21, 25:25, 26:4, 26:15, 34:11, 34:19, 34:24, 34:25, 42:1, 48:20, 49:1, 50:5, 50:15, 50:17, 59:24, 74:4 professional [1] - 44:1 professionals [1] -59:17 profit [7] - 5:20, 10:6, 10:10, 28:1, 31:15, 36:5, 44:24 profit-making [1] -10:6 profitable [1] - 16:24 profits [3] - 27:25, 36:9, 36:10 program [1] - 60:4 programs [5] - 6:6, 7:4, 7:18, 9:12, 50:3 progress [1] - 27:23 prohibited [1] - 10:24 prohibiting [3] -26:23, 48:19, 48:25 prohibition [9] -48:10, 48:12, 48:14, 48:17, 48:23, 49:4, 49:25, 51:16, 76:24 **Prohibition** [1] - 48:11 prohibitionist's [1] -32:1 projected [3] - 10:9, 10:12, 36:5 projection [1] - 10:16 projections [1] - 45:7 proliferation [1] -35:11 promote [2] - 58:17, 61:3 **proof** [2] - 14:1, 50:15 prop [2] - 20:11, 32:19 **propensity** [1] - 51:9 proper [1] - 63:2 property [2] - 30:10, 45:10 proposal [11] - 2:24, 9:3, 24:17, 27:16, 27:18, 36:15, 51:14, 56:22, 57:9, 66:14. 77:12

propose [1] - 6:19

29:7, 48:13, 48:14, 60:12, 75:11 proposing [1] - 20:14 proposition [2] -38:10, 38:13 **proud** [2] - 43:17, 43:25 provide [2] - 69:17, 71:19 provided [3] - 5:9, 38:19, 77:16 provides [2] - 36:16, 42:16 providing [1] - 46:19 Public [1] - 79:2 PUBLIC [1] - 79:17 public [35] - 2:23, 3:2, 3:4, 3:11, 3:15, 3:20, 3:21, 4:14, 7:20, 8:5, 21:23, 23:2, 24:17, 24:18, 26:6, 26:10, 26:21, 27:2, 30:24, 47:16, 52:3, 52:8, 54:1, 54:4, 54:24, 59:8, 60:7, 61:18, 63:5, 63:7, 63:8, 63:19, 63:20, 64:1, 65:10 **public's** [1] - 65:21 **punish** [1] - 56:22 purchase [3] - 34:3, 35:25, 46:19 purchased [2] - 41:1, 41:3 purchasers [2] - 5:11, 5:14 purchases [2] - 49:5, 49:13 pure [1] - 43:1 purely [1] - 63:19 **purpose** [1] - 75:7 purposes [1] - 2:25 put [12] - 12:2, 12:10, 12:22, 13:4, 13:16, 30:18, 54:16, 54:17, 63:25, 73:18, 73:20, 77:15 puts [2] - 19:11, 42:12 putting [2] - 15:5, 73:21

proposed [9] - 2:21,

3:16, 21:5, 21:15,

Q

quantify [1] - 53:25 quantities [1] - 33:9 questioned [1] - 52:15 questions [15] - 3:9, 3:12, 8:7, 8:9, 8:12, 13:6, 27:3, 27:7, 32:14, 49:23, 51:18, 63:16, 65:2, 66:25, 68:8 quick [1] - 13:13 quickly [2] - 17:13, 46:25 quite [4] - 31:19, 43:19, 44:3, 46:7 quote [3] - 4:13, 15:24, 61:2 quoted [1] - 44:6

R

R-e-i-d [1] - 43:13 **R-o-o-p**[1] - 31:3 raised [2] - 7:7, 27:6 raising [1] - 27:3 **RANDLETT** [7] - 63:7, 65:5, 65:17, 71:10, 71:16, 75:5, 77:11 Randlett [1] - 63:11 range [3] - 34:25, 58:7, 59:2 rapid [1] - 4:20 rapidly [1] - 60:3 rash [1] - 6:18 rate [2] - 39:4, 44:18 rather [4] - 37:17, 37:20, 39:11, 52:2 rationale [2] - 41:16, 77:16 raw [1] - 41:13 **Raymond** [1] - 14:9 reach [3] - 63:18, 63:24, 64:5 reached [1] - 38:14 reaction [1] - 6:20 read [2] - 15:22, 38:11 real [4] - 17:5, 22:13, 45:8, 70:16 realize [1] - 34:2 realized [1] - 16:5 really [17] - 5:4, 12:5, 13:16, 13:19, 18:2, 21:2, 21:13, 22:10, 22:20, 22:25, 28:16, 35:16, 42:23, 47:15, 68:25, 69:1, 73:10 rearview [1] - 44:11 reason [3] - 46:23, 64:17, 64:18 reasonable [3] - 3:11, 47:11, 72:13 reasons [5] - 2:21, 44:16, 64:2, 64:23, 69:23 rebalanced [1] - 6:12

Rebecca [2] - 57:20,

/
57:21
received [2] - 7:23, 9:9
recent [5] - 5:19,
28:15, 38:18, 47:1,
59:25
recently [1] - 28:20
recipe [1] - 33:12
recipes [1] - 33:9
recognize [2] - 4:4,
37:14
recoil [1] - 46:7
recommend [10] -
6:18, 10:3, 10:22,
11:3, 11:22, 12:4,
12:14, 12:19, 51:13,
75:13
recommendation [7] -
23:14, 38:3, 39:8,
57:16, 59:23, 61:13,
74:23
recommendations [3]
- 2:7, 2:20, 3:18
record [11] - 3:7,
27:25, 38:23, 52:11,
E0:11 71:10 71:10
53:11, 71:13, 71:18,
75:5, 75:8, 75:10,
79:7
recovery [1] - 59:14
recreational [1] -
47:18
recycling [4] - 15:7,
59:16, 59:18, 60:20
redemption [4] -
15:18, 17:24, 37:2,
68:24
redo [1] - 11:9
reduce [5] - 14:16,
35:6, 45:3, 58:10,
58:11
reduced [1] - 79:6
reduces [1] - 60:21
reducing [1] - 61:5
reduction [3] - 60:20,
61:3, 61:12
referred [1] - 14:22
refundable [1] - 15:17
regaining [1] - 49:7
regard [1] - 63:15
regarding [2] - 2:23,
60:16
regardless [2] - 38:2,
42:22
region [3] - 57:24,
58:16, 59:2
register [1] - 73:20
regulated [1] - 63:1
regulation [1] - 48:5
regulation[i] 40.5
regulators [1] - 48:7
regulators [1] - 48:7 regulatory [1] - 56:7
regulators [1] - 48:7

```
Reid [3] - 39:18,
                             61:22, 62:2, 62:5
 43:11, 43:13
                           requires [2] - 7:6, 16:7
reject [4] - 39:7, 57:16,
                           requiring [1] - 19:15
 75:10. 75:15
                           research [3] - 7:3,
rejected [1] - 77:13
                             15:19, 16:17
related [6] - 5:20,
                           reseller's [1] - 32:24
 21:24, 21:25, 23:3,
                            resident [1] - 51:21
 49:13, 60:25
                           resource [2] - 54:25,
relationship [3] - 5:7,
                             59:14
 7:16, 53:21
                            resources [1] - 20:23
relatively [1] - 56:9
                            respect [1] - 19:6
reliance [1] - 71:23
                            respectfully [7] -
relied [1] - 71:11
                             46:18, 51:13, 52:6,
relies [1] - 7:15
                             52:11, 52:21, 53:1,
relook [1] - 9:5
                             53:12
                            respectively [1] -
rely [1] - 72:17
                             45:18
remain [4] - 4:11,
                            respond [2] - 7:4, 7:6
 27:22, 28:7, 58:22
                           responsibilities [1] -
remember [3] - 11:11,
 55:19, 76:24
                             5:2
remind [1] - 13:17
                            responsibility [7] -
                             2:12, 4:12, 30:24,
reminded [1] - 7:24
remove [1] - 34:9
                             48:5, 50:14, 68:20,
removed [1] - 24:12
                             68:21
                            responsible [18] -
removing [2] - 34:13,
                             2:19, 4:17, 4:19,
 58:4
                             4:22, 5:25, 7:14, 8:2,
repackage [1] - 66:23
                             8:4, 24:3, 27:17,
repayment [1] - 28:1
                             34:14, 48:6, 50:9,
repeat [3] - 23:17,
                             50:25, 61:10, 68:14,
 37:15, 51:24
                             68:17, 72:21
repetition [1] - 8:16
                            responsibly [1] -
reply [1] - 52:1
                             36:17
report [2] - 11:7, 39:2
                           restaurants [2] -
reported [2] - 49:12,
                             32:25, 48:24
 79:5
                           restrict [1] - 34:15
Reporter [1] - 79:18
                           result [3] - 69:12,
reports [1] - 27:16
                             69:13
represent [6] - 9:1,
                           resulted [1] - 21:4
 14:8, 18:25, 50:11,
                           resulting [1] - 58:14
 50:13, 59:14
                           results [1] - 27:25
representative [6] -
                            resumed [1] - 65:16
 13:8, 14:7, 18:18,
                            retail [1] - 57:12
 18:21, 20:7, 62:6
                            retailers [2] - 57:25,
Representative [1] -
                             58:11
 27:9
REPRESENTATIVE
                            retain [1] - 59:1
 [8] - 13:9, 18:19,
                           retired [1] - 29:5
                           retirement [1] - 33:2
 18:24, 20:9, 62:4,
                           retooling [1] - 76:19
 62:15, 62:17, 62:24
                           return [2] - 10:14,
representatives [1] -
 37:12
                             14:15
                           returns [1] - 5:20
represented [1] - 28:7
                           reuse [1] - 60:20
representing [3] - 5:2,
                           revealing [1] - 39:11
 14:10, 37:9
represents [2] - 10:10,
                           revenue [8] - 2:18,
                             4:16, 8:1, 17:24,
 36:10
reprint [1] - 23:1
                             36:3, 56:14, 57:8,
```

58:11

64:14

review [2] - 43:20,

request [1] - 3:3

requirement [3] -

required [1] - 49:21

reviewed [1] - 52:1 revised [1] - 2:11 revisit [1] - 27:14 rewarding [1] - 56:20 **RICE** [12] - 17:11, 23:6, 29:1, 32:16, 37:5, 47:21, 66:18, 67:1, 67:4, 75:4, 75:15, 77:25 rich [1] - 7:17 Rick [1] - 32:19 rise [1] - 26:7 risk [2] - 19:21, 26:10 risks [1] - 49:1 road [6] - 11:2, 14:23, 15:15, 16:9, 25:5, 72:5 roads [9] - 8:5, 14:19, 16:7, 24:20, 24:24, 27:5, 42:18, 49:9, 60:10 roadside [5] - 5:14, 60:1, 60:6, 67:21, 70:2 roadsides [3] - 61:7, 69:24, 69:25 roasted [1] - 42:4 Robert [1] - 29:3 robust [1] - 50:1 role [2] - 44:1, 54:12 romanticize [1] -12:13 roof [1] - 69:21 **room** [3] - 47:4, 71:6, 76:24 Roop [2] - 31:1, 31:2 **ROOP** [1] - 31:2 roots [1] - 58:20 roster [1] - 22:1 roughly [1] - 35:23 **route** [1] - 15:9 routine [1] - 14:17 **RSVP**[2] - 32:21, 32:23 rule [1] - 32:2 rum [1] - 33:24 run [4] - 40:12, 41:22, 76:3, 76:5 running [1] - 4:3 runs [1] - 5:1

S

S-u-l-l-i-v-a-n [1] 32:20
safe [2] - 42:19, 49:9
safely [1] - 40:13
safer [1] - 8:5
Safety [2] - 70:20
safety [8] - 8:5, 21:23,

23:2, 26:7, 26:21, 27:2, 30:24, 54:2 sale [13] - 2:9, 2:13, 2:18, 9:20, 23:15, 24:9, 25:19, 29:10, 34:9, 51:10, 58:1, 58:4. 74:6 sales [35] - 4:20, 4:25, 5:20, 10:7, 10:15, 10:17, 11:8, 11:11, 11:14, 11:15, 22:7, 25:15, 26:25, 31:9, 31:10, 31:11, 31:13, 35:9, 45:11, 48:20, 51:1, 52:12, 52:20, 54:4, 54:6, 58:8, 59:22, 63:1, 67:8, 67:12, 74:8 sales' [4] - 7:18, 10:20, 27:11, 39:2 samplers [1] - 33:5 sandwich [1] - 74:10 Saturdays [1] - 41:24 **save** [2] - 34:7, 36:5 Saviello [7] - 8:18. 8:20. 9:1. 9:13. 20:22, 31:20, 62:25 **SAVIELLO** [2] - 8:21, 8:24 **savvy** [1] - 34:1 **saw** [4] - 11:7, 56:1, 56:3, 56:6 Sazerac [20] - 11:25, 13:1, 30:6, 37:9, 39:18, 40:7, 40:14, 40:22, 41:3, 41:20, 43:24, 47:25, 51:23, 54:9, 54:20, 55:25, 56:6, 57:24, 58:13, 58:22 Sazerac's [1] - 52:9 Scarborough [1] -1:24 scenario [1] - 50:20 **scheduled** [1] - 53:6 school [1] - 11:17 scope [1] - 65:6 seal [1] - 79:11 search [1] - 76:11 season [1] - 34:20 seats [1] - 65:17 Sebago [1] - 14:11 second [11] - 11:1, 33:13, 40:25, 44:17, 49:3, 58:23, 74:21, 74:23, 75:4, 75:17, 77:25 **seconded** [3] - 66:1, 75:18, 78:1 Section [1] - 2:11

sector [1] - 43:24 see [20] - 11:23, 17:20, 18:2, 20:17, 21:3, 22:3, 28:22, 34:17, 36:25, 62:1, 62:5, 67:20, 68:11, 69:1, 72:5, 72:25, 73:1, 73:6, 73:12, 76:9 seed [1] - 22:19 seeing [1] - 28:23 seek [2] - 4:14, 59:1 seeks [1] - 58:17 seem [3] - 25:17, 37:16, 37:19 sell [2] - 32:25, 34:16 selling [5] - 5:16, 10:14, 19:5, 26:21, 34:4 **sells** [1] - 34:24 Senate [1] - 9:1 senator [1] - 13:8 Senator [5] - 8:18, 8:25, 9:13, 13:7, 62:25 **SENATOR** [8] - 8:21, 8:24, 17:10, 17:12, 17:14, 18:6, 18:11, 18:13 senators [1] - 32:8 senior [1] - 23:11 **sense** [3] - 36:7, 41:12, 41:19 sensible [1] - 22:22 sensitive [1] - 24:5 sent [1] - 69:10 separate [1] - 22:9 **September** [2] - 77:22 serious [5] - 6:25. 17:5. 42:13. 48:11. 49:10 **seriously** [4] - 19:19, 26:10, 30:2, 35:4 serve [4] - 23:11, 35:11, 46:11, 47:6 served [1] - 16:16 service [2] - 54:11, 54:24 session [1] - 26:8 set [1] - 59:20 sets [3] - 48:16, 58:6, 60:16 seven [1] - 41:22 several [6] - 24:9, 24:14, 27:6, 40:17, 42:1, 44:6 sexy [1] - 12:10 Shagoury [2] - 18:18, 18:20 SHAGOURY [3] -18:19, 18:20, 18:24

shall [1] - 60:18 share [4] - 7:22, 14:2, 17:3, 19:4 sharing [2] - 7:18, 59:15 **sharp** [1] - 50:16 **Shaw's** [1] - 74:8 SHEEHAN [9] - 66:19, 66:22, 68:6, 74:12, 75:2, 75:14, 75:17, 77:5, 77:24 Shelly [1] - 59:11 shelves [1] - 19:12 shift [3] - 6:11, 41:23, 49:4 shifts [1] - 41:23 shining [1] - 57:5 shirts [1] - 11:24 **short** [3] - 56:9, 69:22, 70:22 shoulders [1] - 14:24 **show** [6] - 13:7, 17:12, 17:15, 17:17, 17:18, 53:16 showed [1] - 13:9 shown [1] - 6:23 shut [1] - 31:17 shutdown [2] - 42:11, 56:10 shutter [1] - 41:2 side [9] - 11:2, 14:23, 16:7, 16:9, 24:24, 25:5, 27:4, 45:14, sign [4] - 11:23, 61:21, 62:2, 62:5 sign-up [1] - 61:21 significance [1] -56:21 significant [7] - 21:4, 24:20, 36:3, 44:1, 57:10, 58:22, 67:15 significantly [2] -50:23, 70:12 similar [1] - 35:23 similarly [1] - 26:20 simple [1] - 20:2 simpler [1] - 22:23 simply [10] - 9:25, 25:9, 34:12, 45:16, 46:2, 47:14, 49:4, 49:14, 51:11, 55:19 single [6] - 35:11, 46:11, 47:6, 51:15, 61:5, 61:14 single-serve [3] -35:11, 46:11, 47:6 single-use [2] - 61:5, 61:14

sit [2] - 12:20, 22:1

sits [1] - 14:11 sitting [1] - 4:2 situation [2] - 68:5, 72:24 six [2] - 16:10, 31:3 **size** [19] - 5:1, 5:4, 5:7, 5:12, 5:20, 5:21, 5:23, 6:11, 6:15, 6:18, 6:24, 7:13, 25:14, 25:15, 35:15, 35:16, 46:15, 51:15, 68:18 sized [5] - 6:9, 23:15, 27:20, 49:15, 58:4 sizes [11] - 5:7, 6:2, 6:6, 35:11, 36:22, 45:17, 45:21, 45:22, 46:11, 48:23, 50:17 skip [1] - 27:9 Skowhegan [1] - 53:3 skyrocketed [1] -11:11 **slate** [1] - 27:19 slated [1] - 42:11 slower [1] - 16:8 small [9] - 14:25, 22:10, 27:20, 33:8, 49:12, 60:11, 60:13, 62:10, 68:3 **small-sized** [1] - 27:20 smaller [3] - 33:20, 34:5. 46:14 smile [1] - 11:4 snack [2] - 46:9, 50:6 snow [1] - 67:16 **snowball** [1] - 39:9 so-called [1] - 59:22 sobriety [1] - 49:17 social [5] - 4:12, 50:14, 68:14, 68:19, 68:21 socially [8] - 2:19, 4:17, 4:22, 5:24, 8:2, 50:8, 61:9, 72:21 soft [2] - 26:18, 46:9 sold [7] - 10:9, 10:10, 19:6, 30:11, 35:24, 48:15, 50:9 solid [6] - 59:16, 59:19, 60:15, 60:16, 60:25, 61:11 solution [2] - 22:3, 61:10 **solve** [2] - 37:23, 68:25 someone [2] - 35:16, 41:10 something's [1] - 70:9 somewhat [2] - 16:8, 22:17

somewhere [1] - 74:9 sorry [2] - 29:25, 59:9 sort [3] - 20:25, 51:2, 51:8 **sound** [1] - 23:25 source [1] - 38:2 sources [1] - 61:4 speaking [2] - 37:12, specifically [1] - 52:10 **spectacle** [1] - 43:8 **spell** [1] - 3:5 spend [2] - 33:11, 71:7 spent [1] - 69:2 spike [1] - 38:25 **spinners** [1] - 22:7 spirit [17] - 2:8, 5:1, 23:15, 23:23, 24:2, 25:21, 26:4, 27:4, 27:23, 35:21, 36:17, 46:20, 47:9, 49:1, 51:15, 59:24, 60:14 spirits [16] - 2:18, 5:13, 24:10, 25:10, 26:25, 27:21, 29:8, 33:25, 35:22, 46:12, 47:6, 47:19, 48:15, 49:21, 61:15, 75:11 spirits' [6] - 4:15, 4:19, 4:20, 4:21, 5:22, 6:3 spirts [2] - 5:17, 61:9 **spite** [1] - 56:25 square [1] - 30:8 stage [1] - 63:17 stake [3] - 19:23, 42:13, 43:8 stakeholders [1] -21:20 stance [1] - 31:8 stand [1] - 36:9 standard [2] - 50:18, 64:16 standpoint [2] - 43:2, 54:2 stands [1] - 36:8 start [8] - 3:6, 8:13, 8:18, 10:2, 20:5, 36:22, 65:18, 66:12 start-up [1] - 36:22 started [3] - 14:2, 75:24 **starting** [1] - 40:23 starts [1] - 70:8 **STATE** [16] - 8:21, 8:24, 13:9, 17:10, 17:12, 17:14, 18:6, 18:11, 18:13, 18:19, 18:24, 20:9, 62:4,

62:15, 62:17, 62:24 State [20] - 1:6, 1:8, 2:9, 10:4, 10:24, 19:5, 23:10, 36:8, 37:1, 38:24, 44:9, 46:20, 46:23, 49:7, 56:15, 58:10, 60:16, 68:15, 79:2, 79:4 state [43] - 2:14, 3:5, 5:1, 7:17, 9:12, 12:1, 12:2, 14:7, 16:3, 19:7, 27:25, 31:3, 32:6, 34:6, 43:17, 44:2, 44:23, 47:10, 47:17, 48:15, 49:6, 54:10, 55:8, 56:16, 56:21, 57:8, 57:14, 57:16, 58:17, 59:20, 61:2, 63:2, 64:13, 68:9, 68:10, 68:22, 69:3, 69:4, 69:17, 70:21, 70:24, 72:13, 76:7 **state's** [4] - 4:15, 23:23, 27:24, 59:15 State's [3] - 28:21, 38:6, 59:19 statement [2] - 42:22, 75:12 statements [2] - 9:4, 64:10 states [1] - 49:5 statewide [1] - 72:16 **station** [1] - 15:7 statistical [1] - 11:6 statistically [1] - 67:15 **statistics** [1] - 69:16 status [1] - 12:3 statute [2] - 60:24, 61:2 **statutes** [1] - 2:12 stay [5] - 14:25, 16:2, 17:22, 63:21, 66:15 stays [1] - 17:22 stenographically [1] -79:5 step [5] - 16:23, 27:18, 44:19, 50:12, 50:14 Stephen [2] - 31:1, 31:2 Steven [1] - 32:21 sticker [1] - 21:13 still [4] - 6:8, 12:16, 45:3 stone [1] - 70:7 stop [5] - 12:23, 29:12, 55:2, 73:14, 73:15 stopping [1] - 61:6 stops [5] - 12:21,

12:22, 38:18, 38:20, 70:7 store [4] - 28:2, 32:24, 73:17, 73:23 stores [7] - 5:12, 10:14, 12:21, 31:3, 31:10, 36:11, 74:7 stories [1] - 21:25 **story** [1] - 56:10 **strangely** [1] - 47:19 strategic [1] - 5:21 **strategies** [2] - 7:3, 7:22 strategy [2] - 6:1, 56:8 stream [1] - 17:24 street [2] - 76:3, 76:4 streets [1] - 16:4 strengthen [1] - 6:7 strikes [1] - 46:21 strong [6] - 7:16, 18:3, 23:24, 27:23, 50:2, 55:9 strongly [4] - 33:3, 48:5, 52:2, 57:15 struck [2] - 22:17, 76:2 study [2] - 49:21, 58:2 stuff [1] - 12:11 subject [2] - 29:24, 29:25 submission [1] -52:25 submit [1] - 13:20 submits [1] - 11:18 submitted [1] - 3:2 **subscribe** [1] - 79:11 substantial [2] -48:18, 50:11 substantially [2] -47:2, 58:11 substantive [1] - 17:1 success [5] - 34:21, 56:5, 56:10, 56:21, 56:23 successful [2] - 14:6, 21:21 sudden [1] - 70:7 Sue [2] - 13:15, 14:8 sue [1] - 14:7 SUE [1] - 14:8 suggest [8] - 11:9, 11:19, 36:13, 45:21, 46:18, 52:21, 53:1, 54:14 suggested [3] - 45:15, 52:2, 60:13 suggestion [3] - 16:1, 18:3, 76:13 suggestions [2] -

38:6, 67:23

suggests [2] - 51:1, 51:7 suitable [1] - 41:13 Sullivan [2] - 32:17, 32.20 **SULLIVAN** [1] - 32:18 sum [1] - 22:20 summer [1] - 20:14 Suntory [1] - 41:1 supermarkets [1] **supplier** [1] - 56:16 suppliers [5] - 28:5, 28:19, 36:20, 36:21, 41:14 support [10] - 10:4, 23:13, 38:10, 42:7, 48:21, 49:11, 49:24, 50:3, 59:17, 59:21 supported [1] - 60:11 supporting [2] -16:21, 38:12 supportive [2] - 30:21, 58:16 supports [2] - 6:5, 61:13 supposed [2] - 10:9, 48:25 **surge** [1] - 5:19 **surpassing** [1] - 25:16 surprised [1] - 42:21 surrounding [1] - 24:8 suspect [3] - 30:17, 73:11, 74:3 sustainable [3] - 24:3, 59:18, 67:12 **Swanson** [2] - 57:20, 57:21 SWANSON [1] - 57:21 switch [1] - 34:12 system [1] - 7:2 systems [1] - 41:12

taxpayer [2] - 30:6,

taxpayers [1] - 54:20

Tea [1] - 16:12

tearing [1] - 41:9

teaspoon [2] - 33:9,

temporary [2] - 7:10,

ten [9] - 10:17, 27:10,

39:3, 44:22, 47:1,

ten-year [3] - 53:1,

term [2] - 15:6, 28:22

testify [4] - 9:3, 22:2,

testimony [12] - 11:1,

13:25, 18:23, 19:1,

27:8, 32:18, 37:16,

38:4, 39:14, 50:23,

53:10, 70:6

terms [2] - 63:14,

23:13, 59:12

67:4, 79:8

tests [1] - 30:1

tetra [1] - 35:10

THC [1] - 53:25

theory [3] - 49:8,

thereby [1] - 61:6

therefore [1] - 52:13

thinking [1] - 22:19

thoughtful [1] - 6:20

20:19, 22:20, 33:4.

34:24, 39:16, 39:24,

66:6, 70:22, 72:25,

73:7, 76:13, 76:18,

throughout [2] - 24:1,

49:12. 51:11

third [1] - 41:23

threat [1] - 26:6

77:9

three [14] - 16:18,

47:10, 53:1, 53:10,

54:10

33:12

42:7

70:6

71:22

Т

40:14 throwing [2] - 25:2, table [1] - 13:2 70:3 tabs [1] - 50:10 Thursday [2] - 53:6, tackle [1] - 50:1 53:9 tackled [1] - 50:1 tiny [2] - 42:18, 60:2 tactical [1] - 6:6 tip [1] - 16:15 tactics [2] - 7:3, 7:19 title [2] - 2:11, 12:18 Tardy [2] - 51:19, today [37] - 4:9, 7:21, 51:21 10:1, 14:1, 20:2, **TARDY** [1] - 51:20 20:6, 22:18, 23:12, 24:6. 28:7. 29:7. target [1] - 51:15 32:19. 36:15. 37:10. targeted [2] - 49:4, 37:22, 38:3, 43:10. 49:25 48:13, 51:22, 54:16, tax [1] - 10:15 55:9, 55:15, 55:17, taxes [4] - 30:10, 56:3, 56:9, 56:12, 45:10, 45:11

today's [3] - 2:25, 8:3, 28:6 together [2] - 21:19, 43:16 tolerate [1] - 49:3 toll [1] - 42:8 Tom [2] - 8:25, 31:20 tonight [1] - 30:5 took [3] - 6:25, 19:19, 68:13 top[1] - 45:12 toss [1] - 25:5 tossed [1] - 15:3 total [1] - 48:17 touch [3] - 44:5, 44:16, 47:19 tough [1] - 53:24 towards [2] - 50:14, 69:2 track [1] - 70:21 tracks [1] - 70:19 trade [2] - 6:6, 7:18 trajectory [2] - 44:15, 45:22 transcription [1] -79:7 transfer [1] - 15:6 transition [1] - 30:16 transparent [1] - 7:21 transportation [1] -41:16 Transportation [1] -70:20 travel [1] - 18:13 traveled [1] - 14:19 treated [1] - 22:9 treatment [2] - 53:21, 53:22 tremendous [1] -14:21 trend [1] - 50:5 trial [1] - 25:12 tried [2] - 20:25, 76:21 triggered [1] - 70:9 trimmed [1] - 39:24 **trips** [1] - 16:8 trivialized [1] - 49:10 troubled [1] - 52:1 troublesome [1] -15:12 truck [1] - 25:2 true [3] - 15:16, 19:10, 79:7

truly [2] - 55:16, 68:14

try [9] - 3:14, 8:17,

56:22, 57:5, 57:9,

63:24, 64:4, 66:4,

67:24

57:17, 59:13, 63:23,

12:11, 35:2, 35:3, 52:17, 69:6, 76:8, 76:13 trying [3] - 33:6, 37:23, 39:10 Tucker [1] - 20:22 Tuesday [2] - 1:13, 77:21 turn [4] - 26:1, 28:17, 64:7, 66:11 turned [2] - 14:4, 17:23 turns [1] - 76:16 TV [1] - 12:12 twice [2] - 25:4, 32:10 twin [1] - 16:25 Twisted [1] - 16:12 two [14] - 14:19, 17:20, 21:4, 32:8, 33:17, 34:19, 39:1, 39:10, 40:2, 41:23, 44:5, 73:7, 76:18 **Tyler** [1] - 53:16 type [3] - 33:8, 48:12, 58:25 types [1] - 33:4 **typewritten** [1] - 79:6 typical [1] - 34:20 typically [3] - 33:16, 33:24, 50:15

U

ultimately [3] - 20:14, 21:21, 36:12 unable [1] - 59:13 unacceptably [1] -42:19 **unanimous** [1] - 78:3 unattended [1] - 37:22 uncertainty [1] - 57:6 undeniable [1] - 27:25 under [13] - 2:11, 12:18, 22:6, 23:3, 23:22, 25:23, 27:24, 29:22, 35:20, 54:16, 54:18, 69:21, 76:5 underage [1] - 26:16 underestimate [1] -44.11 **undo** [1] - 76:14 undoing [1] - 49:6 unease [1] - 42:13 unfair [1] - 53:19 unfortunate [3] -19:10, 22:13, 30:15 unilateral [1] - 59:5 unique [1] - 36:16 unit [1] - 48:15 units [1] - 35:24

unless [2] - 41:16, 73:4 unlevel [1] - 57:11 unnecessary [1] -61:5 unreasonable [1] -21:17 unrest [1] - 43:4 unsupported [2] -51:12, 51:14 up [39] - 8:8, 11:12, 12:23, 15:1, 15:5, 16:7, 16:14, 17:19, 17:23, 18:2, 22:20, 23:4, 24:24, 27:4, 32:9, 36:4, 36:6, 36:22, 39:1, 41:9, 42:14, 51:3, 51:8, 52:12, 52:13, 54:4, 54:6, 60:6, 61:7, 61:21, 62:2, 62:5, 63:17, 64:19, 65:6, 66:3, 69:25, 70:22, 75:21 **up-close** [1] - 16:7 updating [1] - 21:10 **uphold** [2] - 59:19, 61:11

٧

uptick [3] - 53:12,

urge [2] - 43:7, 57:15

53:18, 67:14

usage [1] - 26:11

uses [3] - 25:10,

utilization [1] - 6:5

utilize [1] - 29:16

25:12, 42:3

vacationland [1] -68:9 value [1] - 44:23 valued [1] - 17:1 **VAPs**[1] - 34:17 variety [2] - 30:12, 69:17 various [2] - 40:15, 55:18 vast [1] - 35:25 vehicle [5] - 15:4, 26:12, 29:21, 70:3, 70:4 vehicles [4] - 5:9, 5:13, 5:15, 15:3 versus [4] - 41:9, 50:10, 67:9 veto [7] - 9:18, 9:24, 19:16, 19:19, 19:22, 24:15, 26:8 via [1] - 49:17

victor [1] - 59:7 Victor [2] - 59:9, 59:12 view [1] - 47:17 viewing [1] - 64:1 vindictive [1] - 32:7 violate [1] - 5:18 **violating** [1] - 25:7 violators [2] - 49:18, 49:19 vodka [2] - 33:24, 42:2 volume [2] - 47:5, 60:21 voluntary [1] - 36:20 vote [9] - 3:16, 19:21, 32:10, 63:14, 64:2, 66:1, 66:3, 66:6, 77:6 voted [1] - 32:10 voters [1] - 47:17 votes [2] - 54:14, 63:23 voting [1] - 72:18

W

wait [1] - 74:18

wake [1] - 42:14

walked [2] - 10:19, 60:9 walking [4] - 10:7, 14:18, 76:2, 76:4 wants [3] - 32:12, 35:17, 37:1 washed [1] - 15:21 Washington [2] -71:25, 72:6 waste [11] - 59:16, 59:19, 60:15, 60:17, 60:18, 60:21, 60:22, 61:1, 61:3, 61:11 wasteful [1] - 61:14 watching [1] - 75:24 water [1] - 46:9 ways [1] - 11:20 website [4] - 62:1, 62:5, 64:1, 77:17 Wednesday [1] - 53:4 Wednesdays [1] -53:4 wee [3] - 14:21, 14:22 week [1] - 33:17 weeks [1] - 33:17 weighing [1] - 7:10 weight [1] - 5:7 welcome [2] - 28:14, 63:21 well-being [1] - 43:8 Western [1] - 1:9 whatsoever [3] -

13:24, 36:7, 51:12

WHEREOF [1] - 79:11 whole [4] - 18:4, 19:8, 69:22, 76:18 wholeheartedly [1] -41.6 wholesale [2] - 4:15, 43:16 widespread [1] -25:17 wife [1] - 40:19 willing [1] - 13:3 willingness [1] - 54:24 window [2] - 5:16, 15:10 windows [1] - 25:2 wine [2] - 35:10, 49:20 45:7, 49:7, 73:4 64:16

Υ

year [13] - 11:13, 31:13, 44:12, 44:19, 44:20, 47:10, 47:25, 53:1, 53:10, 60:5, 67:16, 70:6

years [25] - 6:10,
10:17, 10:19, 13:14,
14:18, 14:20, 16:16,
16:17, 16:18, 16:21,
38:18, 39:1, 39:3,
39:5, 40:16, 43:14,
44:22, 47:2, 47:11,
55:20, 70:22, 72:25,
73:7, 76:13, 76:18

yield [1] - 55:11

youth [1] - 26:16

winters [1] - 67:16 withdraw [1] - 72:11 witness [1] - 79:8 WITNESS [1] - 79:11 woman [1] - 50:20 wonder [1] - 39:2 wonderful [4] - 14:10, word [1] - 19:19 words [2] - 46:6, workers [5] - 19:20, 19:23, 20:3, 43:6, 43:9 workforce [4] - 41:8, 41:17, 41:21, 56:7 workout [1] - 14:17 works [1] - 39:18 world [2] - 32:5, 73:25 worse [1] - 8:22 worst [1] - 50:19 worst-case [1] - 50:19 worth [1] - 41:8 worthless [3] - 15:12, 15:13 wrap [1] - 23:4 wrapped [1] - 33:16 wrappers [1] - 11:4 **WRIGHT** [1] - 59:11 Wright [1] - 59:11 writing [2] - 59:21, 63:25 written [12] - 2:20, 2:22, 3:2, 3:18, 3:23, 18:23, 39:14, 52:8, 52:9, 64:2, 71:10, 77:15