

BUREAU OF FINANCIAL INSTITUTIONS
DEPARTMENT OF PROFESSIONAL AND FINANCIAL REGULATION
STATE OF MAINE

CERTIFICATE OF DISSOLUTION OF TD AMERITRADE TRUST COMPANY,
LONE TREE, COLORADO

On April 27, 2022, TD Ameritrade Online Holdings Corp., the sole owner of TD Ameritrade Trust Company, filed an application with the Kennebec Superior Court to voluntarily liquidate TD Ameritrade Trust Company pursuant to Title 9-B M.R.S. § 364. The application was filed as Docket No. AUGSC-CV-2022-00091.

On August 1, 2022, the Court issued its Order Approving Voluntary Liquidation and Plan of Liquidation. By its Order, the Court set November 7, 2022 as the Final Distribution Date of the plan of liquidation and ordered that on that date (i) all remaining allowed claims will be paid in full; (ii) all unasserted claims will be disallowed and forever barred; (iii) all disputed claims will be disallowed and forever barred; (iv) any remaining retained assets will be distributed as a dividend to the Owner or otherwise transferred at the Owner's direction; and

(v) TD Ameritrade Trust Company will be deemed dissolved.

In the Matter of: TD Ameritrade Trust Company, Docket No. AUGSC- CV-2022-00091 (Me. Super. Ct., Ken. Cnty. Aug. 1, 2022).

Pursuant to the Court's August 1, 2022 Order, TD Ameritrade Trust Company was dissolved on November 7, 2022. Therefore, I cause the charter of TD Ameritrade Trust Company to be canceled and the nondepository trust company to cease to exist.

By Order of the Superintendent, effective November 22, 2022.

/s/ Lloyd P. LaFountain, III
Superintendent
Gardiner, Maine
November 22, 2022