



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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Received
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STATEMENT OF SOURCES OF INCOME FOR LEGISLATORS
Maine Ethics Commission
2018 Calendar Year: January 1, 2018 - December 31, 2018

Check here if this statement is an amendment of a previously filed statement.

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FILING DEADLINE

Please file this statement with the Clerk of the House or Secretary of the Senate by 5:00 p.m., Friday, February 15, 2019.

GENERAL INSTRUCTIONS TO COMPLETE THIS FORM

- Complete all sections. If a section is not applicable, check the box labeled "None" for that section.
A glossary is located in the back of this form.
If completing this form by hand, PLEASE WRITE LEGIBLY. DO NOT USE RED INK
Report the sources of income for you, your spouse or domestic partner, and your dependent children.
Report only specific sources of income. Dollar amounts should not be reported.
Campaign contributions and Maine Clean Election Act payments should not be reported in this statement.
The completed statements are posted on the Commission's website and copies are made available to the public upon request.
Please keep a copy of this statement for your records.

IMPORTANT NOTICE: REQUIREMENT TO FILE AN UPDATED STATEMENT

Legislators are required to update their statement of sources of incomes within 30 days of a substantial change in income, reportable liabilities, or positions of the Legislator or an immediate family member (except dependent children) that occurs in the current calendar year. (1 M.R.S.A. § 1016-G(2)(B)) Updated statement forms are available at www.maine.gov/ethics or by calling the Commission. Substantial changes include but are not limited to:

- A new employer or other source of income that has paid the Legislator or immediate family member \$2,000 or more in the current year;
A new reportable liability of \$3,000 or more obtained during the current calendar year;
A new contract or other arrangement between the Legislator, immediate family member or associated organization and a State agency, board or commission for the lease or sale of goods or services with a value of more than \$10,000 during the current calendar year; and
A new position in a political action committee, ballot question committee, party committee, or non-profit or for profit organization.

Please call the Commission staff 207-287-4179 if you have any questions.
Thank you for your cooperation!

**Part 1. Income from Employment by Another** None. Check this box if you did not have income from employment by another.

| Name of Employer        | Address                    | Principal Type of Economic or Business Activity of Employer | Job Title  |
|-------------------------|----------------------------|---|------------|
| Maine State Legislature | State House<br>Augusta, ME | Government  | Legislator |
|                         |                            |   |            |

**Part 2. Income from Self-Employment** None. Check this box if you did not have income from self-employment.

| Name of Your Business/Trade Name                           | Address   | Principal Type of Economic or Business Activity           |
|--|---|---|
| NATIONAL WRITE YOUR CONGRESSMAN                            | 2435 N. CENTRAL EXPRESSWAY<br>RICHARDSON TX 75080 | LEGISLATIVE RESEARCH                                      |
|  |   |   |
| Name of Client or Customer, if required (see instructions) | Address   | Principal Type of Economic or Business Activity of Client |
| MAINE FIRST PROJECT  | 600 WESTERN AVE #3<br>AUGUSTA 04330               | NON-PROFIT ADVOCACY                                       |
|  |   |   |

**Part 3. Business Entities** None. Check this box if you and your immediate family did not own or control more than 5% of any business.

| Name of Business | Address | Principal Type of Economic or Business Activity |
|------------------|---------|---|
|                  |         |   |
|                  |         |   |

**Part 4. Income from the Practice of Law** None. Check this box if you did not have income from the practice of law.

| Name of Practice or Firm | Address | Your Major Areas of Practice | Firm's Major Areas of Practice | Position: Partner, Associate, Sole Practitioner |
|--------------------------|---------|------------------------------|--------------------------------|---|
|                          |         |                              |                                |   |
|                          |         |                              |                                |   |

**Part 5. Income from Any Other Source** None. Check this box if you did not have income from any other source.

| Name of Source     | Address                       | Description of Income |
|--------------------|-------------------------------|-----------------------|
| SOCIAL SECURITY    |                               | RETIREMENT BENEFITS   |
| NORTHERN TRUST CO. | POB 92963<br>CHICAGO IL 60675 | PENSION               |
|                    |                               |                       |

**Part 6-A. Compensation Income of Immediate Family Members** None. Check this box if no members of your immediate family received income of \$2,000 or more from employment or compensation.

| Name and Job Title<br>(do not list name of dependent child) | Employer's Name and Address | Principal Type of Economic or Business Activity of Employer |
|---|-----------------------------|---|
|   |                             |   |
|   |                             |   |
|   |                             |   |

**Part 6-B. Other Sources of Income of Immediate Family Members** None. Check this box if no members of your immediate family received income of \$2,000 or more from any other source.

| Name of Spouse or Partner<br>(do not list name of dependent child) | Source of Income<br>Name and Address | Type of Income      |
|--|--------------------------------------|---------------------|
| DEBORAH LOCKMAN  | SOCIAL SECURITY                      | RETIREMENT BENEFITS |
|  |                                      |                     |
|  |                                      |                     |

| Part 7. Loans  |                                   |   |
|--|-----------------------------------|---|
| <input checked="" type="checkbox"/> None. Check this box if you did not have reportable liabilities.   |                                   |   |
| Lender's Name  | Lender's Address                  | Principal Type of Economic or Business Activity of Lender |
|  |                                   |   |
|  |                                   |   |
| Part 8. Gifts, Including Travel and Accommodations   |                                   |   |
| <input checked="" type="checkbox"/> None. Check this box if you did not receive any gifts.   |                                   |   |
| Source of Gift   | Source of Gift                    | Source of Gift  |
| 1.   | 2.                                |   |
| 3.   | 4.                                |   |
| Part 9. Honoraria  |                                   |   |
| <input checked="" type="checkbox"/> None. Check this box if you did not receive honoraria.   |                                   |   |
| Source of Honoraria  | Source of Honoraria               | Source of Honoraria                                       |
| 1.   | 2.                                |   |
| 3.   | 4.                                |   |
| Part 10. Positions in Political Action, Ballot Question or Party Committees  |                                   |   |
| <input type="checkbox"/> None. Check this box if you and your immediate family were not a treasurer, or principal officer, decision-maker or fundraiser of a PAC, BQC, or Party Committee. |                                   |   |
| Name of Committee  | Name of Official or Family Member | Title   |
| 1. MAINE FIRST PAC   | SELF                              | PRINCIPAL OFFICER/<br>FUNRAISER                           |
| 2.   |                                   |   |
| 3.   |                                   |   |

**Part 11. Conducting Business with State Agencies**

None. Check this box if neither you nor your immediate family did business with any State agency.

| Name of Agency | Name of Individual/Organization Selling Goods or Services | Description of Good or Services |
|----------------|---|---------------------------------|
|                |   |                                 |
|                |   |                                 |
|                |   |                                 |

**Part 12. Representing Others Before State Agencies**

None. Check this box if neither you nor your immediate family represented another before a State agency.

| Name of Agency | Name of Individual Receiving Compensation |
|----------------|---|
|                |   |
|                |   |
|                |   |

**Part 13. Positions in For-Profit and Non-Profit Organizations**

None. Check this box if you and members your immediate family did not hold positions in any for-profit or non-profit organizations.

| Organization/Business and Address | Title     | Name of Position Holder | Relationship to Legislator  | Compensated Yes/No |
|-----------------------------------|-----------|-------------------------|---|--------------------|
| MAINE FIRST PROJECT               | PRESIDENT | SELF                    | <input checked="" type="checkbox"/> Self<br><input type="checkbox"/> Spouse<br><input type="checkbox"/> Dependent | YES                |
|                                   |           |                         | <input type="checkbox"/> Self<br><input type="checkbox"/> Spouse<br><input type="checkbox"/> Dependent            |                    |
|                                   |           |                         | <input type="checkbox"/> Self<br><input type="checkbox"/> Spouse<br><input type="checkbox"/> Dependent            |                    |

**SIGNATURE**

I CERTIFY THAT I HAVE EXAMINED THIS REPORT AND TO THE BEST OF MY KNOWLEDGE IT IS TRUE, CORRECT, AND COMPLETE.

  
 \_\_\_\_\_  
 Signature

2/11/19  
 \_\_\_\_\_  
 Date