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Maine Ethics Commission

**2011 STATEMENT OF SOURCES OF INCOME (1 M.R.S.A. §§ 1016-A - 1019)**

Covering the calendar year January 1, 2011 through December 31, 2011

Please file this statement with the Clerk of the House or Secretary of the Senate by 5:00 p.m. on February 15, 2012. Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

**LEGISLATOR INFORMATION**

Name <i>Emily Ann Cain</i>		Office: <input checked="" type="checkbox"/> House <input type="checkbox"/> Senate
Mailing address <i>103 Forest Ave.</i>		District <i>19</i>
City, zip code <i>ORONO 04473</i>		Phone <i>207-866-3753</i>

**PART 1. INCOME DERIVED FROM EMPLOYMENT BY ANOTHER**

List the name and address of each private or public employer, including the Legislature and any agency or subdivision of the State, from whom you received compensation of \$1,000 or more. Specify the principal type of economic activity of each employer.

None

Name of Employer	Address	Principal Type of Economic Activity of Employer
<i>Maine State House of Representatives</i>	<i>2 State House Augusta, ME</i>	<i>Government</i>
<i>University of Maine Honors College</i>	<i>Colvin Hall ORONO, ME</i>	<i>Education</i>

**PART 2. INCOME DERIVED FROM SELF-EMPLOYMENT OR LAW PRACTICE**

A. List the name and address of your business or law firm, if any, and list the major areas of economic activity or practice from which you derived income. If associated with a partnership, firm, professional association, or similar business entity, list the major areas of economic activity or practice of that entity.

None

Name and Address of Business Entity or Law Firm	Major Areas of Economic Activity/ Law Practice (self)	Major Areas of Economic Activity/ Law Practice (partnership, association, firm or similar business entity)
Name: Address:		
Name: Address:		

**PART 2 (continued). INCOME DERIVED FROM SELF-EMPLOYMENT**

B. List each source of income derived from self-employment or law practice that represents more than 10% of your gross income or \$1,000, whichever is greater, and specify the principal type of economic activity of the entity or person from whom you derived such income. If this form of disclosure is prohibited by law, rule, or an established code of professional ethics, specify only the principal type of economic activity of the entity or person from whom the income was derived.

Name and Address of Source	Principal Type of Economic Activity of Entity or Person Who is the Source of the Income
Name: Address:	
Name: Address:	

**PART 3. OTHER SOURCES OF INCOME**

List each source of income of \$1,000 or more not listed in Parts 1 or 2 of this form. Do not include gifts or honoraria. If none, check the box.

None

Name and Address of Source	Kind of Income (investments, leases, etc.)
Name: Address:	
Name: Address:	
Name: Address:	

**PART 4. REPORTABLE LIABILITIES**

List the names of creditors for any unsecured loans of \$3,000 or more that you received during the reporting period, and list the major areas of economic activity of each creditor. Do not list credit card liabilities, educational loans, loans from a relative, or business loans from regulated financial institutions. If none, check the box.

None

Name and Address of Creditor	Principal Type of Economic Activity of Creditor
Name: Address:	
Name: Address:	

**PART 5. GIFTS, INCLUDING TRAVEL AND ACCOMMODATIONS**

List the specific source of gifts received during the reporting period with an aggregate value of more than \$300. If none, check the box.

None

Name of Source of Gift	Name of Source of Gift
1.	3.
2.	4.

**PART 6. HONORARIA**

List the source of any honoraria accepted for appearances or speeches. If none, check the box.

None

Name of Source of Honoraria	Name of Source of Honoraria
1.	3.
2.	4.

**PART 7. REPRESENTATION BEFORE STATE AGENCIES**

List each executive branch agency before which you represented or assisted others for compensation of any amount. If none, check the box.

None

Name of Agency	Name of Agency
1.	3.
2.	4.

**PART 8. BUSINESS WITH STATE AGENCIES**

List each executive branch agency to which you or a member of your immediate family sold goods or services with a value in excess of \$1,000 during the reporting period. Indicate whether you or a family member sold the goods or services. If none, check the box.

None

Name of Agency	Name of Agency
1.	3.
2.	4.

**PART 9. INCOME RECEIVED BY MEMBERS OF IMMEDIATE FAMILY**

List the type of economic activity representing each source of income of \$1,000 or more received by your spouse or domestic partner or dependent child(ren) during the reporting period and the kind of income represented. If your spouse or domestic partner received income of \$1,000 or more, list his or her name and job title. List only the job title of dependent children who received income of \$1000 or more. Do not include gifts.

Name of Spouse or Domestic Partner and Job Title	Type of Economic Activity Representing Source of Income Received	Kind of Income
Name: <u>Daniel Williams</u> Job Title: <u>① UMaine Foundation Planned Giving Officer</u> <u>② Emerald Group, LLC, Partner</u>	1. <u>① Fundraising</u> 2. <u>② Real Estate</u> 3. _____	1. <u>Employment</u> 2. <u>Self employment</u> 3. _____
Dependent Child(ren) - Job Titles Only		
Job Title:		
Job Title:		
Job Title:		

**PART 10. OFFICER OR DIRECTOR POSITIONS**

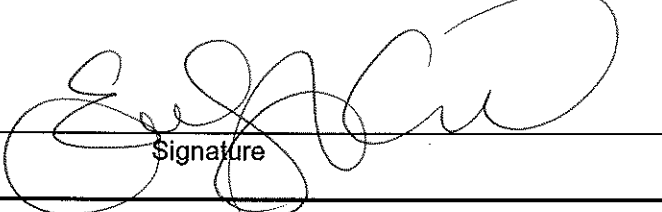
List any for-profit or nonprofit corporation, firm, association, partnership or business in which you or a member of your immediate family held any office, trusteeship, directorship, or position of any nature. Indicate whether you or a family member held the position and whether the position was compensated. If a family member is listed, indicate your relationship and the name of the family member.

None

Organization/Business and Address	Title	Position Held By:	Family Member's Name	Compensated?

**SIGNATURE**

A Legislator who willfully fails to file a required statement is subject to a fine of up to \$100. (1 M.R.S.A. § 1017-A)  
 The intentional filing of a false statement is a Class E crime. If the Commission concludes that it appears that a Legislator has willfully filed a false statement, it shall refer its findings of fact to the Attorney General. (1 M.R.S.A. § 1019)

  
 \_\_\_\_\_  
 Signature

14 Feb 2012  
 Date

**ADDITIONAL INFORMATION**

Please provide any additional information below (and on additional sheets if needed). Indicate the part or section number for the information you are providing. Use additional pages, if necessary.

Part/Section Number	