



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners

From: Jonathan Wayne, Executive Director

Date: May 20, 2020

Re: Recommended Findings of Violation and Potential Penalties against
Seven Unregistered Candidates

Duty to register as a candidate. Under 21-A M.R.S.A. § 1013-A(1)(A), candidates for state office are required to appoint a treasurer within 10 days of becoming a candidate and, within another 10 days, register as a candidate with the Commission. Registration is not difficult. A copy of the paper form is attached. Sections 2-A, 3, and 4 are optional, and Section 6 does not apply to most candidates. Candidates may complete an electronic form on the Commission's website, provided that they print it out, sign it and mail it to the Commission.

Registrations received to date. Most candidates are aware of the registration requirement through prior campaign experience or because they have heard about it from other candidates or the political parties. To date, 337 House candidates and 87 Senate candidates have registered (in addition to 73 county candidates).

Unregistered Candidates. Candidates who are unenrolled in a political party were required to qualify for the ballot by March 15, 2020 by filing nominating petitions with the Secretary of State. The Commission staff has diligently tried to get all 2020 candidates for legislative and county office to register by sending them notices and registration forms.

Prior to your May 27 meeting, if time permits, we will try to telephone them when numbers are available.

As of today, six House candidates and one county candidate have not registered with the Commission. We sent them the following notices by U.S. Mail to the addresses as shown on the website of the Maine Secretary of State:

Name	District/ County	Date on Ballot	1st Notice	2nd Notice	3rd Notice
Abercrombie, Susan	HD 42	03/16/20		04/02/20	05/08/20
Cohen, Edward	HD 109	03/09/20	03/11/20	03/30/20	05/07/20
Delong, Tammy	HD 146	06/16/20	03/17/20	03/30/20	05/07/20
Leafsong, Sage	HD 135	03/09/20	03/11/20	03/30/20	05/07/20
Leparulo, Karry	HD 92	03/13/20	03/17/20	03/30/20	05/07/20
Perkins, Chad	HD 120	03/16/20	03/17/20	03/30/20	05/07/20
Schwartz, Ian	Hancock Cty Cmmsr	03/10/20	03/11/20	03/30/20	05/07/20

Recommendation by Commission staff. The Commission staff recommends that, at your May 27 meeting, you find that the candidates violated 21-A M.R.S.A. § 1013-A(1)(A) by failing to timely register with the Commission. It might be appropriate to assess a penalty of up to \$100 against each of them, pursuant to 21-A M.R.S.A. § 1020-A(1). Given the unusual meeting procedures for the May 27 meeting, we may propose postponing any enforcement actions until the June 24 meeting. We will give this further consideration in advance of the May 27 meeting.

Thank you for your consideration of this item.



2020 CANDIDATE REGISTRATION

Notice: Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment? Yes No

1. CANDIDATE INFORMATION		
Are you running as a (check one): <input type="checkbox"/> Maine Clean Election Act candidate <input type="checkbox"/> traditionally financed candidate		
Title <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Mr. <input type="checkbox"/> Dr. <input type="checkbox"/> Honorable	Party affiliation	Office sought & District #
Name: First	MI or Middle Name	Last
County (for candidates for county office)		
Mailing address		Phone
City	Zip code	Alternate Phone
E-mail (required)		Fax

2. TREASURER INFORMATION		
Name: First	MI or Middle Name	Last
Phone		
Mailing address		Fax
City	Zip code	E-mail (required)

DESIGNATION OF TREASURER: A candidate for office must appoint a treasurer no later than 10 days after becoming a candidate, and before accepting contributions, making expenditures or incurring obligations. No later than 10 days after appointing a treasurer, the candidate must register with the Commission the name and address of the candidate and treasurer. The treasurer is responsible for maintaining campaign records and for filing reports. A MCEA candidate may serve as treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A))

2A. DEPUTY TREASURER INFORMATION (optional)		
Name: First	MI or Middle Name	Last
Phone		
Mailing address		Fax
City	Zip code	E-mail (required)

DESIGNATION OF DEPUTY TREASURER (optional): The candidate may appoint a deputy treasurer and notify the Commission no later than 10 days after the appointment. The deputy, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. A MCEA candidate may serve as deputy treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A)) (21-A MRSA § 1013-A (1)(A)(1))

3. AUTHORIZED AGENT INFORMATION (optional)		
Name	Phone	Email (required)
Name	Phone	Email (required)

DESIGNATION OF AUTHORIZED AGENT (optional): Please use this section to designate individuals, other than the treasurer and deputy treasurer, authorized to file reports on your behalf.

4. POLITICAL COMMITTEE INFORMATION (optional)		
Name	Phone	
Address of campaign headquarters	City	Zip code

DESIGNATION OF POLITICAL COMMITTEE (optional): The candidate may form a political or campaign committee. Within 10 days of forming the committee and before accepting contributions, making expenditures or incurring obligations, the candidate must:

- appoint a treasurer (the candidate may have only one treasurer who is listed in Section 2) and
- register the committee and its officers, if any are appointed, with the Commission. (21-A MRSA § 1013-A (1) (B))

Committee Officers (use additional pages, if necessary):

Name	Title	Phone
Mailing address	City	Zip code
		E-mail

Name	Title	Phone
Mailing address	City	Zip code
		E-mail

5. CERTIFICATION	
I, _____, certify that the information in this registration is true, accurate and complete. (Print Candidate's Full Name)	
Signature of Candidate _____	Date _____

6. REPORTING EXEMPTION REQUEST	
Only county and municipal candidates and legislative candidates in an uncontested primary election may request an exemption.	
A candidate may request an exemption from the obligation to appoint a treasurer and file campaign finance reports if the candidate does not accept any cash or in-kind contributions or make any expenditures for his or her campaign. You cannot request a reporting exemption if you use your or your spouse's/domestic partner's personal funds to pay for your campaign expenses. To request an exemption, complete the statement below and sections 1 & 5, have the form notarized, and submit it to the Commission.	
STATEMENT OF ELIGIBILITY FOR A REPORTING EXEMPTION: I, the undersigned, swear or affirm that I will not accept contributions, make expenditures or incur obligations associated with my candidacy.	
Signature of candidate _____	Date _____
Subscribed and sworn (affirmed) to before me this _____ day of _____, 20____.	
Signature of Notary/Attorney-at-law _____ (Seal is optional)	My commission expires _____ (Date)
REVOCAION NOTICE: The foregoing statement may be revoked. Prior to revocation, the candidate must appoint a treasurer. A revocation notice must be in the form of an amended registration which must be filed with the Commission no later than 10 days after the date the treasurer is appointed. The notice must be filed before contributions are accepted or expenditures made. A late revocation notice is subject to the same penalties applicable to late campaign finance reports.	



2020 COUNTY CANDIDATE REGISTRATION

Notice: Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment? Yes No

1. CANDIDATE INFORMATION			
Title <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Mr. <input type="checkbox"/> Dr. <input type="checkbox"/> Honorable	Gender (Optional) <input type="checkbox"/> F <input type="checkbox"/> M <input type="checkbox"/> X	Party affiliation	Office Sought & District #
Name: First	MI or Middle Name	Last	County
Mailing Address			Phone
City		Zip Code	Alternate Phone
E-mail (Required)			

2. TREASURER INFORMATION			
Name: First	MI or Middle Name	Last	Phone
Mailing Address			
City	Zip Code	E-mail (Required)	

DESIGNATION OF TREASURER: A candidate for office must appoint a treasurer no later than 10 days after becoming a candidate, and before accepting contributions, making expenditures or incurring obligations. No later than 10 days after appointing a treasurer, the candidate must register with the Commission the name and address of the candidate and treasurer. The treasurer is responsible for maintaining campaign records and for filing reports. A MCEA candidate may serve as treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A))

2A. DEPUTY TREASURER INFORMATION (optional)			
Name: First	MI or Middle Name	Last	Phone
Mailing Address			
City	Zip Code	E-mail (Required)	

DESIGNATION OF DEPUTY TREASURER (optional): The candidate may appoint a deputy treasurer and notify the Commission no later than 10 days after the appointment. The deputy, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. A MCEA candidate may serve as deputy treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A)) (21-A MRSA § 1013-A (1)(A)(1))

3. AUTHORIZED AGENT INFORMATION (optional)		
Name	Phone	Email (Required)
Name	Phone	Email (Required)

DESIGNATION OF AUTHORIZED AGENT (optional): Please use this section to designate individuals, other than the treasurer and deputy treasurer, authorized to file reports on your behalf.

4. POLITICAL COMMITTEE INFORMATION (optional)		
Name	Phone	
Address of Campaign Headquarters	City	Zip code

DESIGNATION OF POLITICAL COMMITTEE (optional): The candidate may form a political or campaign committee. Within 10 days of forming the committee and before accepting contributions, making expenditures or incurring obligations, the candidate must:

- appoint a treasurer (the candidate may have only one treasurer who is listed in Section 2) and
- register the committee and its officers, if any are appointed, with the Commission. (21-A MRSA § 1013-A (1) (B))

Committee Officers (use additional pages, if necessary):

Name	Title	Phone
Mailing Address	City	Zip Code
		E-mail

Name	Title	Phone
Mailing Address	City	Zip Code
		E-mail

5. CERTIFICATION	
I, _____, certify that the information in this registration is true, accurate and complete. (Print Candidate's Full Name)	
Signature of Candidate _____	Date _____

6. REPORTING EXEMPTION REQUEST	
Only county and municipal candidates and legislative candidates in an uncontested primary election may request an exemption.	
A candidate may request an exemption from the obligation to appoint a treasurer and file campaign finance reports if the candidate does not accept any cash or in-kind contributions or make any expenditures for his or her campaign. You cannot request a reporting exemption if you use your or your spouse's/domestic partner's personal funds to pay for your campaign expenses. To request an exemption, complete the statement below and sections 1 & 5, have the form notarized, and submit it to the Commission.	
STATEMENT OF ELIGIBILITY FOR A REPORTING EXEMPTION: I, the undersigned, swear or affirm that I will not accept contributions, make expenditures or incur obligations associated with my candidacy.	
Signature of candidate _____	Date _____
Subscribed and sworn (affirmed) to before me this _____ day of _____, 20_____.	
Signature of Notary/Attorney-at-law _____ (Seal is optional)	My commission expires _____ (Date)
REVOCATION NOTICE: The foregoing statement may be revoked. Prior to revocation, the candidate must appoint a treasurer. A revocation notice must be in the form of an amended registration which must be filed with the Commission no later than 10 days after the date the treasurer is appointed. The notice must be filed before contributions are accepted or expenditures made. A late revocation notice is subject to the same penalties applicable to late campaign finance reports.	

Second Notice

To: Susan Abercrombie
From: Emma Burke, Candidate Registrar
Date: April 2, 2020
Re: Registering with the Ethics Commission

This letter is to follow up on a phone conversation on March 17, 2020, and emails sent to you on March 17, 2020 and March 27, 2020 regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.commerce.com and click on the "Register" link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

If you do not plan to raise or spend any money, you may be eligible for an exemption from filing campaign finance reports. Please see Box 6 on the Candidate Registration form.

If you do not register as a candidate, you may be found in violation and assessed a financial penalty at the Commission's next meeting.

If you have any questions about these requirements, please call me at 287-4727 or email me at emma.burke@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,





STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 8, 2020

Ms. Susan Abercrombie
40 Malilly Road
Portland, ME 04103

Notice of Penalty for Failing to Register

Dear Ms. Abercrombie:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.mainecampaignfinance.com and click the "Register" link in the upper right corner to register online.

Your name has been placed on the agenda of the Commission's May 27, 2020 meeting for a finding of legal violation and the possible assessment of a \$100 penalty. The easiest way to avoid a penalty is to register promptly, but you are welcome to participate in the meeting electronically to argue against the \$100 penalty and finding of legal violation. The meeting will begin at 9:00 a.m. and will be conducted through Zoom telephone conferencing. The meeting will be streamed to YouTube for the public to access.

As a candidate on the primary election ballot, you are also required to file campaign finance reports – even if you do not plan to raise or spend any money to promote your election. Certain candidates may request an exemption from filing campaign finance reports, if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,

Jonathan Wayne
Executive Director

To: Edward Cohen

From: Erin Gordon, Candidate Registrar

Date: March 11, 2020

Re: Registering with the Ethics Commission

You are listed as a candidate for the Legislature with the Secretary of State's office. The Maine Election Law requires that candidates also register with the Ethics Commission before raising or spending any money for their campaigns. We have included instructions in this letter for how to register with our office.

You may go to our website at www.maine.gov/ethics and print off the relevant registration documents by going to the Forms & Guides page, and clicking on the appropriate option for your office and financing type. Please complete and sign the necessary forms and return them by mail to our office. You may also register online by going to www.mainecampaignfinance.com and clicking the "Sign In/Register" link. Please be aware if you register online you are still required to print, sign and return the registration materials to the Ethics Commission.

If you do not plan on raising or spending any money, or if you intend on withdrawing from the race after the primary election, you still have to register with the Commission. Some candidates may request an exemption from filing campaign finance reports if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions about these requirements, please contact your Candidate Registrar at 287-3651 or erin.gordon@maine.gov.

Thank you.

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Second Notice

To: Edward Cohen
From: Erin Gordon, Candidate Registrar
Date: March 30, 2020
Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 11, 2020** regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.commerce.com and click on the "Register" link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

If you do not plan to raise or spend any money, you may be eligible for an exemption from filing campaign finance reports. Please see Box 6 on the Candidate Registration form.

If you do not register as a candidate, you may be found in violation and assessed a financial penalty at the Commission's next meeting.

If you have any questions about these requirements, please call me at 287-3651 or email me at erin.gordon@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Mr. Edward Cohen
49 Burleigh Street
Waterville, ME 04901

Notice of Penalty for Failing to Register

Dear Mr. Cohen:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

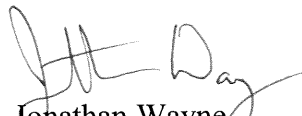
Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.maine campaign finance.com and click the "Register" link in the upper right corner to register online.

Your name has been placed on the agenda of the Commission's May 27, 2020 meeting for a finding of legal violation and the possible assessment of a \$100 penalty. The easiest way to avoid a penalty is to register promptly, but you are welcome to participate in the meeting electronically to argue against the \$100 penalty and finding of legal violation. The meeting will begin at 9:00 a.m. and will be conducted through Zoom telephone conferencing. The meeting will be streamed to YouTube for the public to access.

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If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,


Jonathan Wayne
Executive Director

To: Tammy Delong

From: Erin Gordon, Candidate Registrar

Date: March 17, 2020

Re: Registering with the Ethics Commission

You are listed as a candidate for the Legislature with the Secretary of State's office. The Maine Election Law requires that candidates also register with the Ethics Commission before raising or spending any money for their campaigns. We have included instructions in this letter for how to register with our office.

You may go to our website at www.maine.gov/ethics and print off the relevant registration documents by going to the Forms & Guides page, and clicking on the appropriate option for your office and financing type. Please complete and sign the necessary forms and return them by mail to our office. You may also register online by going to www.mainelection.com and clicking the "Sign In/Register" link. Please be aware if you register online you are still required to print, sign and return the registration materials to the Ethics Commission.

If you do not plan on raising or spending any money, or if you intend on withdrawing from the race after the primary election, you still have to register with the Commission. Some candidates may request an exemption from filing campaign finance reports if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions about these requirements, please contact your Candidate Registrar at 287-3651 or erin.gordon@maine.gov.

Thank you.

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Second Notice

To: Tammy Delong
From: Erin Gordon, Candidate Registrar
Date: March 30, 2020
Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 17, 2020** regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.commerce.com and click on the “Register” link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

If you do not plan to raise or spend any money, you may be eligible for an exemption from filing campaign finance reports. Please see Box 6 on the Candidate Registration form.

If you do not register as a candidate, you may be found in violation and assessed a financial penalty at the Commission’s next meeting.

If you have any questions about these requirements, please call me at 287-3651 or email me at erin.gordon@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Ms. Tammy Delong
11 York Street
Mars Hill, ME 04758

Notice of Penalty for Failing to Register

Dear Ms. Delong:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.mainecampaignfinance.com and click the "Register" link in the upper right corner to register online.

Your name has been placed on the agenda of the Commission's May 27, 2020 meeting for a finding of legal violation and the possible assessment of a \$100 penalty. The easiest way to avoid a penalty is to register promptly, but you are welcome to participate in the meeting electronically to argue against the \$100 penalty and finding of legal violation. The meeting will begin at 9:00 a.m. and will be conducted through Zoom telephone conferencing. The meeting will be streamed to YouTube for the public to access.

As a candidate on the primary election ballot, you are also required to file campaign finance reports – even if you do not plan to raise or spend any money to promote your election. Certain candidates may request an exemption from filing campaign finance reports, if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,

Jonathan Wayne
Executive Director

To: Sage Leafsong

From: Erin Gordon, Candidate Registrar

Date: March 11, 2020

Re: Registering with the Ethics Commission

You are listed as a candidate for the Legislature with the Secretary of State's office. The Maine Election Law requires that candidates also register with the Ethics Commission before raising or spending any money for their campaigns. We have included instructions in this letter for how to register with our office.

You may go to our website at www.maine.gov/ethics and print off the relevant registration documents by going to the Forms & Guides page, and clicking on the appropriate option for your office and financing type. Please complete and sign the necessary forms and return them by mail to our office. You may also register online by going to www.mainelection.com and clicking the "Sign In/Register" link. Please be aware if you register online you are still required to print, sign and return the registration materials to the Ethics Commission.

If you do not plan on raising or spending any money, or if you intend on withdrawing from the race after the primary election, you still have to register with the Commission. Some candidates may request an exemption from filing campaign finance reports if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions about these requirements, please contact your Candidate Registrar at 287-3651 or erin.gordon@maine.gov.

Thank you.

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Second Notice

To: Sage Leafsong
From: Erin Gordon, Candidate Registrar
Date: March 30, 2020
Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 13, 2020** regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

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Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Ms. Sage Leafsong
105 LedgeLawn Avenue
Bar Harbor, ME 04609

Notice of Penalty for Failing to Register

Dear Ms. Leafsong:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

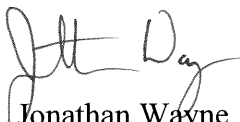
Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.maine campaign finance.com and click the "Register" link in the upper right corner to register online.

Your name has been placed on the agenda of the Commission's May 27, 2020 meeting for a finding of legal violation and the possible assessment of a \$100 penalty. The easiest way to avoid a penalty is to register promptly, but you are welcome to participate in the meeting electronically to argue against the \$100 penalty and finding of legal violation. The meeting will begin at 9:00 a.m. and will be conducted through Zoom telephone conferencing. The meeting will be streamed to YouTube for the public to access.

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If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,


Jonathan Wayne
Executive Director

To: Karry Leparulo

From: Erin Gordon, Candidate Registrar

Date: March 17, 2020

Re: Registering with the Ethics Commission

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If you have any questions about these requirements, please contact your Candidate Registrar at 287-3651 or erin.gordon@maine.gov.

Thank you.

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Second Notice

To: Karry Leparulo
From: Erin Gordon, Candidate Registrar
Date: March 30, 2020
Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 17, 2020** regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.commerce.com and click on the "Register" link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

If you do not plan to raise or spend any money, you may be eligible for an exemption from filing campaign finance reports. Please see Box 6 on the Candidate Registration form.

If you do not register as a candidate, you may be found in violation and assessed a financial penalty at the Commission's next meeting.

If you have any questions about these requirements, please call me at 287-3651 or email me at erin.gordon@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Ms. Karry Leparulo
252 Clark Island Road
Spruce Head, ME 04859

Notice of Penalty for Failing to Register

Dear Ms. Leparulo:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

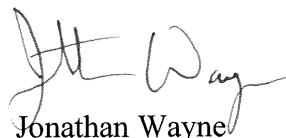
Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.maine campaign finance.com and click the "Register" link in the upper right corner to register online.

Your name has been placed on the agenda of the Commission's May 27, 2020 meeting for a finding of legal violation and the possible assessment of a \$100 penalty. The easiest way to avoid a penalty is to register promptly, but you are welcome to participate in the meeting electronically to argue against the \$100 penalty and finding of legal violation. The meeting will begin at 9:00 a.m. and will be conducted through Zoom telephone conferencing. The meeting will be streamed to YouTube for the public to access.

As a candidate on the primary election ballot, you are also required to file campaign finance reports – even if you do not plan to raise or spend any money to promote your election. Certain candidates may request an exemption from filing campaign finance reports, if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,


Jonathan Wayne
Executive Director

To: Chad Perkins

From: Erin Gordon, Candidate Registrar

Date: March 17, 2020

Re: Registering with the Ethics Commission

You are listed as a candidate for the Legislature with the Secretary of State's office. The Maine Election Law requires that candidates also register with the Ethics Commission before raising or spending any money for their campaigns. We have included instructions in this letter for how to register with our office.

You may go to our website at www.maine.gov/ethics and print off the relevant registration documents by going to the Forms & Guides page, and clicking on the appropriate option for your office and financing type. Please complete and sign the necessary forms and return them by mail to our office. You may also register online by going to www.mainelection.com and clicking the "Sign In/Register" link. Please be aware if you register online you are still required to print, sign and return the registration materials to the Ethics Commission.

If you do not plan on raising or spending any money, or if you intend on withdrawing from the race after the primary election, you still have to register with the Commission. Some candidates may request an exemption from filing campaign finance reports if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions about these requirements, please contact your Candidate Registrar at 287-3651 or erin.gordon@maine.gov.

Thank you.

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Second Notice

To: Chad Perkins

From: Erin Gordon, Candidate Registrar

Date: March 30, 2020

Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 17, 2020** regarding your candidacy for the Maine Legislature. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.com and click on the "Register" link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

If you do not plan to raise or spend any money, you may be eligible for an exemption from filing campaign finance reports. Please see Box 6 on the Candidate Registration form.

If you do not register as a candidate, you may be found in violation and assessed a financial penalty at the Commission's next meeting.

If you have any questions about these requirements, please call me at 287-3651 or email me at erin.gordon@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Mr. Chad Perkins
784 East Dover Road
Dover Foxcroft, ME 04426

Notice of Penalty for Failing to Register

Dear Mr. Perkins:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

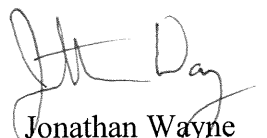
Please complete the enclosed blank registration form and return it to our office in the stamped, return envelope. Or, go to www.maine campaign finance.com and click the “Register” link in the upper right corner to register online.

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If you have any questions, please call our office at 287-4179 and ask to speak to a Candidate Registrar. Thank you.

Sincerely,


Jonathan Wayne
Executive Director

To: Ian J. Schwartz

From: Samantha Dickson, Candidate Registrar

Date: March 11, 2020

Re: Registering with the Ethics Commission

You are listed as a candidate for Hancock County with the Secretary of State's office. The Maine Election Law requires that candidates also register with the Ethics Commission before raising or spending any money for their campaigns. We have included instructions in this letter for how to register with our office.

You may go to our website at www.maine.gov/ethics and print off the relevant registration documents by going to the Forms & Guides page, and clicking on the appropriate option for your office and financing type. Please complete and sign the necessary forms and return them to our office. You may also register online by going to www.maine.com and clicking the "Sign In/Register" link. Please be aware if you register online you are still required to print, sign and return the registration materials to the Ethics Commission.

If you do not plan on raising or spending any money, or if you intend on withdrawing from the race after the primary election, you still have to register with the Commission. Some candidates may request an exemption from filing campaign finance reports if they do not plan to raise or spend any money. Please see Item 6 on the Candidate Registration form.

If you have any questions about these requirements, please contact your Candidate Registrar at 287-6221 or samantha.dickson@maine.gov.

Thank you.

Second Notice

To: Ian Schwartz

From: Erin Gordon, Candidate Registrar

Date: March 30, 2020

Re: Registering with the Ethics Commission

This letter is to follow up on correspondence sent to you on **March 11, 2020** regarding your candidacy for Hancock County. Maine Election Law requires that candidates:

- register with the Ethics Commission, and
- file campaign finance reports with the Commission.

These requirements apply even if you intend to withdraw as a candidate after the primary election. Failure to register and to file campaign finance reports could result in financial penalties against you.

Please complete the Candidate Registration form and return it to our office. Or, go to www.maine.commerce.com and click on the "Register" link to register online (provided you print, sign, and return the registration materials to the Ethics Commission by mail or email).

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If you have any questions about these requirements, please call me at 287-3651 or email me at erin.gordon@maine.gov. Our website (www.maine.gov/ethics) also has campaign forms and guides and other information that you may find useful.

Sincerely,



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

May 7, 2020

Mr. Ian Schwartz
3 Upper Dunbar Road
Seal Harbor, ME 04675

Notice of Penalty for Failing to Register

Dear Mr. Schwartz:

This notice is to follow up on letters sent to you previously, requesting that you register as a candidate with the Maine Ethics Commission. Because you have qualified for the primary election ballot as a legislative or county candidate, this is a legal requirement even if you are a placeholder candidate. (21-A M.R.S.A. § 1013-A(1)(A))

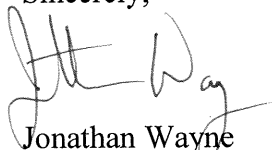
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Sincerely,


Jonathan Wayne
Executive Director